

A detailed wooden netsuke carving of a bear with a cub. The bear is standing on its hind legs, leaning over the cub. The cub is lying on its side, looking up at the bear. The carving is made of dark, polished wood and shows fine details of the animals' fur and features.

**THE JULIUS AND ARLETTE KATCHEN  
COLLECTION OF FINE NETSUKE: PART III**

Tuesday 6 November 2018

**Bonhams**

LONDON





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# THE JULIUS AND ARLETTE KATCHEN COLLECTION OF FINE NETSUKE: PART III

Tuesday 6 November 2018 at 2pm  
New Bond Street, London

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<b>JOMON period</b>	ca.10,000BC - ca.300BC
<b>YAYOI period</b>	ca.300BC - ca.300AD
<b>KOFUN period</b>	ca.593 - 710
<b>NARA period</b>	710 - 794
<b>HEIAN period</b>	794 - 1185
<b>KAMAKURA period</b>	1185 - 1333
<b>MUROMACHI period</b>	1333 - 1573
NANBOKUCHO era	1336 - 1392
<b>MOMOYAMA period</b>	1573 - 1615
BUNROKU era	1592 - 1596
KEICHO era	1596 - 1615
<b>EDO period</b>	1615 - 1868
KAN'EI era	1624 - 1644
KANBUN era	1661 - 1673
GENROKU era	1688 - 1704
AN'EI era	1772 - 1781
TENMEI era	1781 - 1789
KANSEI era	1789 - 1801
KYOWA era	1801 - 1804
BUNKA era	1804 - 1818
BUNSEI era	1818 - 1830
TENPO era	1830 - 1844
KOKA era	1844 - 1848
KAEI era	1848 - 1854
ANSEI era	1854 - 1860
MAN'EN era	1860 - 1861
BUNKYU era	1861 - 1864
GENJI era	1864 - 1865
KEIO era	1865 - 1868
<b>MEIJI era</b>	1868 - 1912
<b>TAISHO era</b>	1912 - 1926
<b>SHOWA era</b>	1926 - 1989
<b>HEISEI era</b>	1989 - present

# JULIUS AND ARLETTE KATCHEN

## VIRTUOSO COLLECTORS

Born in 1926 in Long Branch, New Jersey, Julius Katchen was one of the finest pianists of the twentieth century. The son of a lawyer and a former concert pianist, he studied music with his grandparents, Russian immigrants who had taught at the Moscow and Warsaw conservatoires. Julius was a child prodigy: in addition to the outstanding musical ability he displayed from an early age, he became an expert swimmer and table-tennis player and later completed a four-year philosophy course in three years.

Julius and his wife Arlette shared two great interests: music and netsuke. It was on a concert tour of Japan in 1953 that they first came across netsuke, making a purchase in Kyoto (lot 123, The Julius and Arlette Katchen Collection of Fine Netsuke: Part I, 8 November 2016). From then on they tried—whenever possible and wherever they were—to visit dealers and auction rooms in between performances at most of the world's greatest concert halls. In spite of the lack of literature on the subject in those early collecting days, they studied assiduously, rapidly learning from the writings of Henri Joly and Frederick Meinertzhagen about the intricacies of the art, the makers, and the subjects of netsuke. In London, they befriended the dealer Geoffrey Moss, through whom they purchased netsuke until the untimely death of Julius at the age of 42, in April 1969.

Although the more public persona of Julius Katchen placed him in the limelight, when it came to selecting and purchasing netsuke for the collection he and Arlette worked as a team. They were constant visitors to London and attended all but the last of the sales of the M. T. Hindson collection at Sotheby's from 1967 to 1969, although regrettably Julius died two months before the final sale he had desperately wanted to attend. Following the early death of Julius, Arlette's interest continued and she continued quietly adding to the collection, filling in the gaps.

It may be too much of a generalisation to state that Julius Katchen preferred large, dynamic netsuke of the eighteenth century while Arlette was more attracted to smaller, neater, and later examples. Their tastes certainly coincided in their joint admiration for netsuke produced by the great Kyoto masters from the late eighteenth to the early nineteenth century and for the later works of Kaigyokusai of Osaka. It will be noted, however, in this and the past two catalogues that the collection is varied and encompasses a wide variety of styles, materials, and makers.

On a personal note, I had the great pleasure of visiting the Katchens in Paris on numerous occasions in the 1960s. They were perfect hosts and, due to our shared interest in the subject, we would spend many hours, sometimes into the early morning, discussing the peculiarities of netsuke makers: the signatures, the shapes of eyes, the incised hair work, or the carving of paws in, for instance, the netsuke masters of Kyoto or Tomokazu of Gifu and his school. It was on one of these trips that I arrived a little early and was shown into a small study in their apartment, where I spent time with Arlette, talking about and enjoying the collection while listening to Julius practising on one of his two concert-grand pianos in the salon next door, a rare treat which I shall never forget.

While a number of netsuke have been disposed of over the years, Bonhams are proud to have been given the opportunity to have offered for sale, in three parts, the remainder of this remarkable collection.

Neil Davey



1926年、アメリカ、ニュージャージー州のロングブランチに生まれたジュリアス・カッチェンは、20世紀を代表するピアニストの1人でした。弁護士とピアニストの両親のもとで、彼は祖父母から音楽を学びました。ロシアからアメリカに移住した彼の祖父母は、モスクワとワルシャワの音楽学校で教えた経験を持っていました。ジュリアスは神童でした。幼い頃から抜きん出ている音楽の才能に加えて、水泳と卓球においてもトップクラスであり、さらには4年制の哲学のコースを3年間で終えてしまいました。

ジュリアスと彼の妻であるアルレットは、音楽と根付という2つの大きな関心事を共有していました。1953年、ピアノ・コンサートの旅で日本を訪れた際に、2人は初めて根付に出会い、京都で根付を購入しています（ロット123、ジュリアス&アルレット・カッチェン根付コレクション第一部、2016年11月8日）。それからというもの、世界中の名だたるコンサートホールでの演奏会で演奏する合間を縫って、どんな場所であろうとできる限りの時間を作って、2人は美術商やオークション会場を訪れました。当時、まだ根付蒐集に関する文献資料はほとんど存在しなかったにも関わらず、2人は熱心に研究を重ね、ヘンリー・ジョリーやフレデリック・マイナーツハーゲンの資料をもとに、アートとしての根付の複雑さ、作者、作品主題について瞬く間に学んでいったのでした。ロンドンにおいては、美術商のジオフリー・モスと親しくなり、1969年4月、ジュリアスが42歳という若さで死を迎えるまでの間、根付を購入し続けたのです。

ジュリアス・カッチェンの社会における名声が、彼を脚光を浴びる立場へと押し上げていきましたが、自らの根付コレクションに加える根付の選択、購入となると、彼とアルレットは二人で一緒に決定しました。彼らはロンドンを頻繁に訪れ、サザビーズにおいて、1967年から1969年に開催されたM. T. ハインドソン・コレクションを扱ったオークションの、最終回以外のすべての回に出席しました。しかし、残念ながらジュリアスは、どうしても出席したいと切望していました最終回のセールの前2ヶ月前に亡くなりました。ジュリアスの早過ぎる死の後、アルレットの根付に対する興味は尽きることなく、ひっそりとコレクションの蒐集を続けていました。

ジュリアス・カッチェンの好んだ根付の傾向は、大雑把にひとくくりにし過ぎの言い方かもしれませんが、18世紀のサイズが大きいダイナミックものを好んでいました。一方、アルレットはサイズが小さめですっきりした、それ以降の作品に魅力を感じていました。2人とも、18世紀後半から19世紀前半の京都の著名な根付師の作品と大阪の根付師・懐玉斎の後期の作品を好んだ点では一致していました。ただし、ボナムズにおける第1部オークションおよび第2部、第3部のオークションには、あらゆるスタイル、素材を扱った様々な根付師の作品が出品されております。

私個人の思い出としては、幸いにも、60年代にパリに住んでいたカッチェン夫妻を何度となくお訪ねする機会に恵まれました。2人は完璧なもてなしで迎えてくれて、根付という共通の関心事について、時には夜明けまで、根付師それぞれの作風について、何時間も話したものです。また、根付に刻まれる銘、眼の形、毛並み、動物の足の彫り方についても語り合いました。そのような中で、京都の名高い根付師や岐阜の友一とその門人が話題にのぼったものです。あるとき、私は夫妻のお住まいに予定より早く到着してしまったことがありました。私は彼らのアパートの小さな書斎へと案内され、隣の広間でジュリアスが2台のコンサート用グランドピアノで練習をしているのを聴きながら、アルレットと会話を楽しみ、彼らのコレクションを眺めて時を過ごしました。決して忘れることのできない、またとない喜びのひとつでした。

カッチェン・コレクションの根付の多くは、何年間にもわたってオークションに出品されたほか、販売されて参りましたが、素晴らしいコレクションの中でも今日まで残されてきた根付を、3回のオークションに分けて、このたび皆様にご紹介できましたことは、ボナムズにとって大変名誉なことでもあります。

ニール・デイヴィー



1



2



3

## Landscapes

### 1 AN EBONY NETSUKE OF BUILDINGS

By Chikuyosai Toun, 19th century  
Signed *Chikuyosai Toun*.  
4.2cm (1 5/8in) high.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

黒檀彫根付 山荘 銘「竹陽齋 東雲」  
19世紀

**Published:**  
Katchen, N7, vol.2, p.421, no.K956  
(described as unsigned).

A rocky landscape with figures in a three-story building with pine trees at the side and a small thatched hut on a high ledge at the reverse.

### 2 A WOOD NETSUKE OF A CASTLE

By Masamitsu, 19th century  
Signed with a flourish *Masamitsu*.  
3.8cm (1 1/2in) wide.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

木彫根付 城郭 銘「正光」 19世紀

**Provenance:**  
W. W. Winkworth collection.

**Published:**  
Meinertzhagen, MCI, p.434.  
Katchen, N7, vol.1, p.109, no.K916.

An elaborately carved scene of castle buildings on a high rock above a river with smaller buildings below amid spreading pine trees.

The maker is responsible for comparatively few recorded netsuke and is identified by the peculiar flourish with which he engraved his name (see also lot 149).

## Religion

### 3 A WOOD NETSUKE OF FUKUROKUJU

By Hokushu, early 19th century  
Signed *Hokushu*.  
10.4cm (4 1/8in) long.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 福祿寿 銘「北秀」  
19世紀前期

**Published:**  
Katchen, N7, vol.2, p.245, no.K969.

Standing, his body twisted to the left, holding a fan and with one arm raised to support his very exaggerated tall head, the dark stained wood bearing a good patina and one cord hole ringed with bone.



4



5



6

4  
**A SMALL EBONY NETSUKE OF JUROJIN**  
 After Kano Tomokazu, Gifu, 19th century  
 Inscribed in an oval reserve *Tomokazu*.  
 3.8cm (1½in) high.

£800 - 1,000  
 JPY120,000 - 150,000  
 US\$1,100 - 1,400

黒檀彫根付 寿老人と唐子 銘「友一」  
 19世紀

**Published:**  
 Katchen, N7, vol.2, p.121, no.K760.

Standing, holding a gnarled staff with one hand and one end of a *makimono* (handscroll) with the other, a small boy attendant at his side.

5  
**A SMALL WOOD NETSUKE OF DAIKOKU AND FUKUROKUJU**  
 By Kogyo (Hironori), 19th century  
 Signed *Kogyo (Hironori)*.  
 4.2cm (1 5/8in) high.

£800 - 1,000  
 JPY120,000 - 150,000  
 US\$1,100 - 1,400

木彫根付 大黒と福祿寿 銘「廣業」  
 19世紀

**Published:**  
 Katchen, N7, vol.2, p.69, no.K754.

The two gods locked in combat as they wrestle, Daikoku about to throw his opponent using the Kawazu throw, the slightly worn wood with a good patina.

The maker is recorded in Davey, MTH, p.484, no.1205 and in Lazarnick, NIA, p.643.

6  
**A BOXWOOD NETSUKE OF A DARUMA DOLL**  
 Attributed to Matsuda Sukenaga, Takayama, Hida Province, 19th century  
 Inscribed *Sukenaga*.  
 3.8cm (1½in) high.

£1,000 - 1,500  
 JPY150,000 - 220,000  
 US\$1,400 - 2,100

木彫根付 達磨 銘「亮長」 19世紀

**Published:**  
 Katchen, N7, vol.2, p.133, no.K780.

Seated, enveloped in his voluminous robe, his head raised as he yawns during his long meditation, the wood slightly worn and of a good colour.



7

**7  
A KUROGAKI WOOD NETSUKE OF DARUMA**

By Minko, early 19th century  
Signed *Minko* with *kao*.  
9.5cm (3 3/4in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

黒柿彫根付 達磨 銘「珉江（花押）」 19世紀前期

**Published:**  
Barry Davies Oriental Art, *The Netsuke Collection of W. G. Bosshard Part I*, 1994, no.91.  
Katchen, N7, vol.2, p.103, no.K970.

He stands, yawning and stretching his arms over his head, following his nine-year meditation, the tattered lower part of his robe having disintegrated and fallen to his feet.

The signature, engraved in a cursive manner, is not that of Tanaka Minko of Tsu, but another maker, using different *kanji* for *Min* and a different *kao*.



8

**8  
A LARGE WOOD NETSUKE OF DARUMA**

Late 18th/early 19th century  
*Unsigned*.  
12.3cm (4 7/8in) high.

£3,000 - 4,000  
JPY440,000 - 580,000  
US\$4,100 - 5,500

木彫根付 達磨 無銘 18世紀後期/19世紀前期

**Provenance:**  
Collection de Monsieur V, sold at Hôtel Drouot, Paris, 31 October, 1923, lot 366.

**Published:**  
Katchen, N7, vol.2, p.405, no.K783.

He stands, scowling, his chest bare and his hands enveloped in the voluminous sleeves of his long robe.





9



11



10



## Myth

### 9 A LARGE EBONY NETSUKE OF GAMA SENNIN

By Kiyoshi, 18th century  
Signed *Kiyoshi*.  
6.6cm (2 5/8in) high.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

黒檀彫根付 蝦蟇仙人 銘「清」 18世紀

#### Published:

Katchen, N7, vol.2, p.249, no.K942  
(erroneously transcribed as Seibe).

Kneeling, he leans forward with his head raised as he holds a toad with both hands over his knees, the wood somewhat worn.

This rare maker is recorded on a netsuke of a carp in Bushell, NHUR, p.185, no.191 and Lazarnick, NIA, p.626, with a large illustration of his signature.

### 10 A RARE WOOD MANJU NETSUKE DEPICTING GAMA SENNIN

By Seishinsai Ikkei, 19th century  
Signed *Seishinsai Ikkei*.  
4.2cm (1 5/8in) wide.

£700 - 800  
JPY100,000 - 120,000  
US\$970 - 1,100

木彫饅頭根付 蝦蟇蛙・蝦蟇仙人  
銘「青岑齋一溪」 19世紀

#### Published:

Katchen, N7, vol.2, p.249, no.K945.

Made in two parts, one carved in the form of a toad, the other engraved with Gama Sennin seen from the rear in a cloud of vapour, his arm raised.

### 11 A SMALL EBONY NETSUKE OF GAMA SENNIN

19th century  
*Unsigned*.  
5.1cm (2in) high.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

黒檀彫根付 蝦蟇仙人 無銘 19世紀

#### Published:

Katchen, N7, vol.2, p.407, no.K805.

He stands, crying out as he supports a huge toad which clings to his back, its fore feet gripping his face.



12



13



14

12

**AN EBONY NETSUKE OF TEKKAI SENNIN**

By Miwa, Edo, early 19th century  
Signed *Miwa* with seal.  
3.5cm (1 3/8in) wide.

£700 - 800

JPY100,000 - 120,000

US\$970 - 1,100

黒檀彫根付 鉄拐仙人  
銘「三輪(方印)」 19世紀前期

**Published:**

Katchen, N7, vol.1, p.301, no.K804.

Seated, leaning back and with one hand resting on his raised knee as he blows out his soul, a small gourd flask attached to a line on the back of his tattered robe.

13

**A SMALL LACQUERED WOOD NETSUKE OF OKAME**

Early 19th century  
*Unsigned*.  
3.2cm (1 1/4in) high.

£800 - 1,200

JPY120,000 - 170,000

US\$1,100 - 1,700

木彫根付 茸にお亀 無銘 19世紀前期

**Published:**

Katchen, N7, vol.2, p.417, no.K932.

Seated, her head turned to the right as she attempts to tie a line around a huge phallic mushroom, partly lacquered red and black, in Negoro style.

14

**A SMALL WOOD NETSUKE OF A SHOJO**

By Tomin, Tsu, 19th century  
Signed *Tomin*.  
3.2cm (1 1/4in) high.

£700 - 900

JPY100,000 - 130,000

US\$970 - 1,200

木彫根付 猩猩 銘「東岷」 19世紀

**Published:**

Katchen, N7, vol.2, p.105, no.K743.

Seated, smiling as he clutches a huge *sakazuki* (sake cup) to his chest, his long hair flowing down his back, the slightly worn wood with a good patina.



15



16

15

**A WOOD NETSUKE OF A SLEEPING SHOJO**

By Tadatoshi, Nagoya, 19th century  
Signed *Tadatoshi*.  
5.1cm (2in) wide.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 猩猩 銘「忠利」 19世紀

**Published:**

Katchen, N7, vol.2, p.65, no.K745.

Reclining in a typical attitude, his head resting on his right hand as he sleeps off the effects of too much *sake*, his robe carved with clouds, the slightly worn wood of good colour.

A good example of a common model made by various members of the Nagoya group of carvers, similar examples of which are illustrated in Meinertzhagen, MCI, p.844, Davey, MTH, p.189, no.570 and Lazarnick, NIA, p.1063.

16

**A WOOD NETSUKE OF A MERMAID**

Late 18th/early 19th century  
*Unsigned*.  
6cm (2 3/8in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 人魚 無銘 18世紀後期/19世紀前期

**Published:**

Katchen, N7, vol.2, p.411, no.K740.

Shown swimming, facing ahead and holding a large *tama* (formalised jewel) with both hands, her tail curled at the back, the slightly worn wood with a good patina.





17

17  
**A WOOD NETSUKE OF A MERMAID**

Late 18th/early 19th century  
*Unsigned.*  
 7.6cm (3in) wide.

£2,000 - 2,500  
 JPY290,000 - 360,000  
 US\$2,800 - 3,400

木彫根付 人魚 無銘 18世紀後期/19世紀前期

**Published:**  
 Katchen, N7, vol.2, p.411, no.K739.

Shown elegantly swimming, one arm extended back while holding her streaming long hair with the other, the lightly stained wood slightly worn and with a good patina.



18

18  
**A LARGE WOOD NETSUKE OF AN ONI**

18th century  
*Unsigned.*  
 13cm (5 1/8in) high.

£3,000 - 4,000  
 JPY440,000 - 580,000  
 US\$4,100 - 5,500

木彫根付 鬼 無銘 18世紀

**Published:**  
 Katchen, N7, vol.2, p.413, no.K794.

He stands, holding a rosary over his stomach with one hand, the other held to his ear as he listens, the well-patinated and slightly worn wood bearing traces of black and red lacquer.



19



19  
**A WOOD NETSUKE OF AN ONI**  
By Miwa, Edo, late 18th century  
Signed *Miwa*.  
4.5cm (1¾in) high.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

木彫根付 鬼 銘「三輪」 18世紀後期

**Published:**  
Katchen, N7, vol.1, p.289, no.K796.

Seated, his face grimacing and his body twisted as he holds the *inro* cord with both hands to one side, providing an effective *himotoshi*, the well-patinated wood slightly worn.



20



20  
**A WOOD NETSUKE OF AN ONI**  
School of Kano Tomokazu, Gifu, 19th century  
Inscribed in an oval reserve *Tomokazu*.  
4.5cm (1¾in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 鬼 銘「友一」 19世紀

**Published:**  
Katchen, N7, vol.2, p.121, no.K790.

Seated, leaning forward and grimacing, one arm raised as he tries to ward off beans that are thrown to exorcise him, the wood dark stained and the teeth and eyes inlaid.



21



22



21

**A SMALL WOOD NETSUKE OF RAIJIN**

By Masakazu, Gifu, Mino Province, 19th century  
Signed in an oval reserve *Masakazu*.  
2.8cm (1 1/8in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 雷神 銘「正一」 19世紀

**Published:**

Katchen, N7, vol.2, p.63, no.K772.

The god of thunder seated on a cloud and repairing his drum, working with pincers to extract the studs from the side, the wood with a fine patina and the pupils inlaid.

22

**A WOOD NETSUKE OF THE GHOST OF A WOMAN**

19th century  
Inscribed *Okatomo*.  
7.9cm (3 1/8in) high.

£3,000 - 4,000  
JPY440,000 - 580,000  
US\$4,100 - 5,500

木彫根付 幽霊と鬼 銘「岡友」 19世紀

**Published:**

Katchen, N7, vol.1, p.105, no.K787.

The emaciated apparition rising from a cloud of vapour and rearing over a small terrified *oni*, expressively rendered in lightly stained wood.

The carving almost certainly dates from the first half of the 19th century, although the 'signature' was added later.



23

23 Y

**A MARINE IVORY NETSUKE OF BENKEI**

Style of Ogasawara Issai,  
late 18th/early 19th century  
*Unsigned.*  
6cm (2 3/8in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

海象牙彫根付 弁慶 無銘  
18世紀後期/19世紀前期

**Published:**

Katchen, N7, vol.2, p.341, no.K672.

The hero seated in a large conch shell, wearing a *yamabushi* skull cap and blowing a conch while holding a rattle with one hand, the slightly worn hard ivory of good colour.

For a very similar example signed Issai, see the catalogue of Joly, WLB, no.1159, illus. pl.XX.



24

24

**A WOOD NETSUKE OF KYOYU**

By Hidemasa, Osaka, 19th century  
Signed *Hidemasa.*  
3.8cm (1 1/2in) high.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 許由 銘「秀正」 19世紀

**Published:**

Katchen, N7, vol.1, p.197, no.K813.

The Chinese sage Xuyou (Kyoyu) seated, leaning to one side as he cleans one ear, the wood slightly worn and of good tone.

Xuyou (Kyoyu) and his companion Chaofu (Sofu) were legendary Chinese sages, the former having been a counsellor of Emperor Yao in the semi-mythological age of Chinese history, circa 2356 BC. When Yao suggested he abdicate in favour of Xuyou, he declined and washed his ears in a nearby waterfall, to clean out any aural temptation he might have heard.



25

25

**A WOOD NETSUKE OF ONO NO KOMACHI**

By Masakazu, Gifu, Mino Province,  
19th century  
Signed in an oval reserve *Masakazu.*  
3.2cm (1 1/4in) high.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

木彫根付 小野小町 銘「正一」 19世紀

**Published:**

Katchen, N7, vol.2, p.69, no.K949.

In later life, she kneels, looking to her right, holding a bamboo cane in one hand and with her broad straw hat slung on her back, the well-patinated wood slightly worn.

A similar example, signed Masahiro is illustrated in Bushell, NH, p.176, no.173, sold at Christie's, London, 27 October 1987, lot 7.



26



26

**A WOOD NETSUKE OF THE INARI FOX**

By Shoju(sai), 19th century  
Signed in an oval reserve *Shojusai*.  
4.2cm (1 5/8in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 稲荷狐 銘「松寿齋」 19世紀

**Published:**

Katchen, N7, vol.2, p.201, no.K396.

Seated on an openwork swirling cloud, its head turned back to the right as it protects a large *tama* beneath both forepaws, its bushy tail trailing beneath, the wood slightly worn with a good patina.

For similar examples, signed Shoju, see Meinertzhagen, MCI, p.742, Harris, HGBM, p.33, no.139, and Hurtig, MNA, no.448.

The fox is the messenger of the rice god and is associated with both Buddhism and Shintoism, and is commonly shown, as here, with the jewel of the former.



27



27

**A WOOD NETSUKE OF KUZUNOHA**

By Chikusai, Edo, late 19th century  
Signed in an oval reserve *Chikusai*.  
3.5cm (1 3/8in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 葛の葉 銘「竹齋」 19世紀後期

**Provenance:**

S. Marchant & Son Ltd., London.

**Published:**

Meinertzhagen, MCI, p.25, illustrated with a line drawing.  
Katchen, N7, vol.1, p.243, no.K395.

Seated holding the wrapped child, Abe no Seimei, over her lap in a protective attitude and a writing brush in her mouth, her tail forming the *himotoshi*, the slightly worn wood with a good patina and the eye pupils inlaid.



28



29

## Daily Life

28

### A WOOD NETSUKE OF A SARUMAWASHI (MONKEY-TRAINER)

Late 18th century

Unsigned.

4.5cm (1¾in) wide.

£800 - 1,000

JPY120,000 - 150,000

US\$1,100 - 1,400

木彫根付 猿回し 無名 18世紀後期

Seated, grimacing as his performing ape sits on his shoulder, reaching down to take a morsel of food from the tall basket at his side, the wood rather worn and with a good patina.

29

### A SMALL EBONY NETSUKE OF A BOY

Attributed to Tanaka Minko (1735-1816), Tsu, Ise Province, late 18th/early 19th century

Signed *Tadamitsu*, *hachijusan-o* (at the age of 83).

3.2cm (1¼in) high.

£600 - 800

JPY87,000 - 120,000

US\$830 - 1,100

黒檀彫根付 獅子舞面を被る男児 銘「忠満 八十三翁」  
18世紀後期/19世紀前期

#### Published:

Katchen, N7, vol.1, p.275, no.K682.

Seated, wearing a *shishimai* mask and cloak while beating a small drum held by his feet, his face visible through the open mouth of the mask.

Tadamitsu was an art name used by Tanaka Minko of Tsu. A boxwood example of the same subject signed Minko was sold at Sotheby's, London, 19 November 1997, lot 236 (unillustrated).





30

30 Y  
**A MARINE IVORY NETSUKE OF A FOREIGN EGG-TESTER**  
 By Ryukei, Edo, 19th century  
 Signed *Ryukei* with a *kao*.  
 6cm (2 3/8in) high.

£3,000 - 4,000  
 JPY440,000 - 580,000  
 US\$4,100 - 5,500

海象牙彫根付 異人卵壳 銘「龍珪（花押）」 19世紀

**Published:**  
 Katchen, N7, vol.1, p.279, no.K707.

Standing, he leans back, holding an egg to his eye, a basket of eggs slung from his right shoulder, the ivory slightly worn and bearing a good patina.



31

31  
**A TALL UMIMATSU NETSUKE OF A CORAL FISHERMAN**  
 Late 19th century  
 Unsigned.  
 12.7cm (5in) high.

£1,500 - 2,000  
 JPY220,000 - 290,000  
 US\$2,100 - 2,800

海松彫根付 珊瑚漁師に蛸 無銘 19世紀後期

**Published:**  
 Katchen, N7, vol.2, p.461, no.K717.

Standing beside a tall rock, grasping one tentacle of a giant octopus which rears up over his head, carved in varicoloured *umimatsu*, part of the rock forming the *himotoshi* and the eyes inlaid.





32

**32  
A DARK WOOD NETSUKE  
OF FIGHTING MEN**

By Issai, 19th century  
Signed *Issai*.  
3.5cm (1 3/8in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 喧嘩をする男達 銘「一哉」  
19世紀

**Published:**  
Katchen, N7, vol.2, p.59, no.K736.

Two kneeling men in fierce combat, one wielding a bottle while a third covers between them, crying as he holds a large cloth to his head.



33

**33  
A WOOD NETSUKE OF A BLIND MAN**

Late 19th century  
*Unsigned*.  
5.7cm (2 1/4in) high.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 下駄を抱える盲人 無銘  
19世紀後期

**Published:**  
Katchen, N7, vol.2, p.403, no.K735.

Standing with both feet together on one *geta*, holding the other as he tries to remove a large stone which is trapped between the uprights, his cane held beneath one arm, the well-toned wood slightly worn.



34

**34  
A STAG ANTLER NETSUKE  
OF A HUMAN SKULL**

By Setsu, 19th century  
Signed with the single character *Setsu*.  
3.5cm (1 3/8in) wide.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

鹿角彫根付 頭蓋骨 銘「雪」 19世紀

**Published:**  
Katchen, N7, vol.1, p.285, no.K731.

Carved in a simplified manner, with large eye sockets and four teeth intact. The whole realistically rendered to simulate natural wear.



35

## Zodiac Animals

35

### A WOOD NETSUKE OF A RAT

By Masanao, Kyoto, late 18th century  
Signed in an elliptical reserve *Masanao*.  
6cm (2 3/8in) wide.

£30,000 - 40,000

JPY4,400,000 - 5,800,000

US\$41,000 - 55,000

木彫根付 鼠 銘「正直」 18世紀後期

#### Provenance:

Frederick Meinertzhagen collection, purchased 1961.

#### Published:

Meinertzhagen, ANC, no.30.

Meinertzhagen, MCI, p.440.

Katchen, N7, vol.1, p.63, no.K258.

Seated, leaning forward and scratching its neck with one hind foot and its tail sweeping forward around the left flank, forming the *himotoshi*, the wood with a fine patina and the eyes inlaid.

For somewhat similar models, see Kurstin and Ortega, MON, no.33 (ivory); and Ducros, NS, p.51, no.13 (wood).



36



37



36

**A WOOD NETSUKE OF A RAT**

By Okatomo, Kyoto, early 19th century  
Signed in a rectangular reserve *Okatomo*.  
4.5cm (1 3/4in) wide.

£2,500 - 3,000

JPY360,000 - 440,000

US\$3,400 - 4,100

木彫根付 鼠 銘「岡友」 19世紀前期

**Provenance:**

Purchased at Sotheby's, London, 1966.

**Published:**

Katchen, N7, vol.1, p.63, no.K259.

Seated, its head lowered as it scratches its face with one raised right foot, its tail trailing over the left flank, the dark wood slightly worn and the eyes inlaid.

37

**A WOOD NETSUKE OF A RAT**

By Sari, Iwashiro Province, 19th century  
Signed in an oval reserve *Sari*.  
4.2cm (1 5/8in) wide.

£2,000 - 3,000

JPY290,000 - 440,000

US\$2,800 - 4,100

木彫根付 鼠 銘「左里」 19世紀

**Provenance:**

Purchased at Sotheby's, London, 1963.

**Published:**

Katchen, N7, vol.2, p.189, no.K283.

Seated, leaning forward and gnawing at a bean, three bean pods beneath, one forming the *himotoshi*, the slightly worn wood with a good patina and the eyes inlaid.

Another example is illustrated in Barker and Smith, NMSJ, p.117, no.225.



38

**38  
A WOOD NETSUKE OF A COILED MOUSE**

By Masanao, Yamada, Ise Province,  
19th century  
Signed *Masanao*.  
3.5cm (1 3/8in) high.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

木彫根付 鼠 銘「正直」 19世紀

**Provenance:**  
Eskenazi Ltd., London, 1987.

**Published:**  
Katchen, N7, vol.2, p.147, no.K267.

Curled in a ball, holding its tail around its body with one paw while scratching its face with another, one leg forming the *himotoshi*, the slightly worn wood of good colour and the eyes inlaid with dark horn.



39

**39  
A WOOD NETSUKE OF A RAT**

By Tomokazu, Gifu, Mino Province,  
19th century  
Signed in an oval reserve *Tomokazu*.  
4.5cm (1 3/4in) wide.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

木彫根付 鼠 銘「友一」 19世紀

**Provenance:**  
Andrew Somlyo collection.

**Published:**  
Katchen, N7, vol.2, p.113, no. K282.

Recumbent, its body curled to the right and its head lowered as it holds its tail beneath, forming a compact composition in slightly worn and well-toned wood, the eyes inlaid.

A good example of a model, of which Tomokazu appears to have made a large number due, no doubt, to its popularity. For a similar example, see Meinertzhagen, MCI, p.899. Another is illustrated in Moss, ZBDC, no.30.



40

**40  
A WOOD NETSUKE OF TWO RATS**

By Minko, 19th century  
Signed *Minko*.  
3.8cm (1 1/2in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 鼠 銘「眠疳」 19世紀

**Provenance:**  
Marianne Densmore, Paris.

**Published:**  
Katchen, N7, vol.2, p.85, no.K280.

One recumbent, eating a nut while the other stands on its hind legs, resting against its companion's back.

The maker is probably Konan Minko, believed to be follower of Tanaka Minko of Tsu, listed in Davey, MTH, p.495, no.1571.



41



42

41 Y

**A NARWHAL TUSK NETSUKE OF A RAT**

By Kawamoto Shuraku, Edo, 19th century

Signed in seal form *Shuraku*.

4.2cm (1 5/8in) high.

£2,000 - 2,500

JPY290,000 - 360,000

US\$2,800 - 3,400

一角彫根付 鼠 銘「舟楽（方印）」 19世紀

**Provenance:**

Felix Tikotin collection.

Eskenazi Ltd., London, 1969.

**Published:**

Katchen, N7, vol.1, p.223, no.K276.

Seated, its head turned to the right as it holds two large *mochi* cakes, a small bottle at its side and three feather fans beneath, one forming the *himotoshi*, the eyes inlaid with pink coral.

42

**A WOOD NETSUKE OF A RECUMBENT OX**

By Tomotada, Kyoto, 18th century

Signed in a rectangular reserve *Tomotada*.

5.7cm (2 1/4in) wide.

£3,500 - 4,000

JPY510,000 - 580,000

US\$4,800 - 5,500

木彫根付 雄牛 銘「友忠」 18世紀

**Provenance:**

Van der Peet, Amsterdam, 1965.

**Published:**

Katchen, N7, vol.1, p.53, no.K238.

Lying in a typical attitude, its head turned to the right and a rope halter passing over its back, the slightly worn wood with a good patina and the pupils inlaid.



43



44

43  
**A LARGE WOOD NETSUKE OF A RECUMBENT COW AND CALF**  
Early 19th century  
*Unsigned.*  
7.6cm (3in) wide.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

木彫根付 親子牛 無銘 19世紀前期

**Provenance:**  
Eskenazi Ltd., London.

**Published:**  
Katchen, N7, vol.2, p.353, no.K244.

The parent lying, her head turned to the left and with a rope halter trailing over her back, while her offspring nuzzles into her side, the wood lightly worn and with a fine patina.

44  
**A WOOD NETSUKE OF A RECUMBENT OX**  
By Kokei, Kuwana, Ise Province, 19th century  
*Signed Kokei.*  
4.8cm (1 7/8in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 雄牛 銘「虎溪」 19世紀

**Provenance:**  
Aoyama, Paris.

**Published:**  
Katchen, N7, vol.2, p.79, no.K250.

Lying, leaning forward, its tail passing forward over the left flank and its legs drawn in to form a compact composition in slightly worn and well-patinated wood.



45



46



45

**A WOOD NETSUKE OF A RECUMBENT OX**

By Masakazu, Gifu, 19th century  
Signed in an oval reserve *Masakazu*.  
5.4cm (2 1/8in) wide.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

木彫根付 雄牛 銘「正一」 19世紀

**Provenance:**

Eskenazi Ltd., London, purchased 1965.

**Published:**

Katchen, N7, vol.2, p.117, no.K254.

Lying with its head turned to the left, its legs drawn in, two forming the *himotoshi*, and a rope halter trailing over its back, the slightly worn wood lightly stained and the eyes of pale translucent horn with dark pupils.

46

**A WOOD NETSUKE OF A TIGER**

Late 18th century  
*Unsigned*.  
6cm (2 3/8in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 虎 無銘 18世紀後期

**Published:**

Katchen, N7, vol.2, no.375, no.K301.

Lying with its body turned to the right, its head resting on its forepaws and bearing an alert expression as it waits for prey, the wood slightly worn and with a good patina.





47

**A WOOD NETSUKE OF A TIGER**

By Tomotada, Kyoto, 18th century  
Signed in rectangular reserve *Tomotada*.  
4.5cm (1<sup>3</sup>/<sub>4</sub>in) wide.

£20,000 - 25,000  
JPY2,900,000 - 3,600,000  
US\$28,000 - 34,000

木彫根付 虎 銘「友忠」 18世紀

**Provenance:**

Purchased in Berlin, 1959.

**Published:**

Katchen, N7, vol.1, p.67, no.K288.

Seated, turning to the left as it licks its fore haunch, its right foreleg raised and its tail sweeping forward over the back, the slightly worn wood with a good patina and the pupils inlaid.

A very similar example, facing in the opposite direction, is illustrated in Atchley and Davey, VAC, p.32, no.N10 and in Hurtig, MNA, no.44. Other examples in ivory by members of the Kyoto group, working in a similar style, are illustrated in Davey, MTH, p.67, no.171, and Anne Hull Grundy, 'Tomotada and Okatomo in Relation to the Kyoto School of Netsuke Carvers', Part II, *The Antique Collector*, Autumn 1963, p.208, fig.52 (by Okatomo) and fig.53 (by Tomotori).



48



50



49

48

**A WOOD NETSUKE OF A TIGER**

After Tanaka Minko, Tsu, early 19th century  
Inscribed *Minko*.  
3.8cm (1 1/2in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 虎 銘「岷江」 19世紀前期

**Provenance:**

Harriet Jaffé collection.

**Published:**

Katchen, N7, vol.2, p.89, no.K306.

Seated, its head turned back to the left, its tongue protruding and its legs drawn in, forming a compact composition, the wood lightly stained and the eyes inlaid in mother-of-pearl with dark pupils.

49

**A WOOD NETSUKE OF A TIGER**

After Tanaka Minko, Tsu, early 19th century  
Inscribed *Minko* with a *kao*.  
4cm (1 5/8in) wide.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 虎 銘「岷江（花押）」  
19世紀前期

**Published:**

Katchen, N7, vol.2, p.89, no.K305.

Seated, its head turned back to the right, its legs drawn in for compactness and its tail passing forward over the right flank, the wood slightly worn and the eyes inlaid in mother-of-pearl with dark pupils.

The work could be attributed to Tomin, of which a somewhat similar example in the Victoria and Albert Museum, London, is illustrated in Julia Hutt, *Japanese Netsuke*, V & A Publications, London, 2003, illustrated on the front cover and on p.95, no.105.

50

**A SMALL WOOD NETSUKE OF A TIGER**

By Ichimin, Nagoya, 19th century  
Signed *Ichimin* with a *kao*.  
3.5cm (1 3/8in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 虎 銘「一岷（花押）」  
19世紀

**Published:**

Katchen, N7, vol.2, p.29, no.K303  
(illustration transposed with no.K302).

Seated, looking up to the left and snarling with its left foreleg raised and its tail passing forward around the left flank, the slightly worn wood with a good patina.

Somewhat similar examples are illustrated in Harris, HGBM, p.51, no.186 and Meinertzhagen, MCI, p.207.

51



51  
**A WOOD NETSUKE OF A TIGER**  
By Masanao, Yamada, Ise Province, 19th century  
Signed *Masanao*.  
3.8cm (1½in) high.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

木彫根付 虎 銘「正直」 19世紀

**Provenance:**  
Purchased from Tommey, London, 1956.

**Published:**  
Katchen, N7, vol.2, p.145, no.K308.

Seated, its head turned back to the left as it snarls, its tail passing around the left flank, forming the *himotoshi*, its legs drawn in for compactness, the body stripes heightened with *sumi* and the pupils inlaid.

52



52  
**A WOOD NETSUKE OF A TIGER FAMILY**  
By Masayoshi, 19th century  
Signed *Masayoshi*.  
4.5cm (1¾in) wide.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 虎親子 銘「正義」 19世紀

**Provenance:**  
Hartman Gallery, New York.  
Probably sold at Glendining and Co. Ltd., 1935.

**Published:**  
Katchen, N7, vol.2, p.29, no.K319.  
Meinertzhagen, MCI, p.488.

Three adult tigers tumbling over each other, while two cubs rest at their feet, forming an elaborate but compact composition in slightly worn and well-toned wood, the eyes of pale translucent horn with dark pupils.

For a somewhat similar model by Masayoshi, see Meinertzhagen, MCI, p.488.



53 Y

**A WOOD NETSUKE OF A SABRE-TOOTHED TIGER**

By Naito Toyomasa (1773-1856), Tanba Province, early 19th century

Signed in a long oval reserve *Toyomasa*.

4.2cm (1 5/8in) wide.

£20,000 - 30,000

JPY2,900,000 - 4,400,000

US\$28,000 - 41,000

木彫根付 虎 銘「豊昌」 19世紀前期

**Provenance:**

Purchased at Hauswedell and Nolte, Hamburg, 1963.

**Published:**

Katchen, N7, vol.2, p.165, no.K312 (the signature transposed with no.K311).

Seated, its head turned back to the left and its tail passing forward over the back, the legs drawn in for compactness, one forming the *himotoshi*, the well-patinated wood with a typical stain and the eyes typically inlaid in pale translucent horn and two protruding teeth of marine ivory.

For very similar examples by Naito Toyomasa, see Lazarnick, NIA, p.1192 and INSJ, vol.20, no.4, Winter 2000, fig.1 (property of the Naito family).



54

54  
**A WOOD NETSUKE OF A HARE**

Early 19th century  
Signed possibly *Jikkai*.  
5cm (2in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 兎 銘「実海（推定）」  
19世紀前期

**Published:**  
Katchen, N7, vol.2, p.359, no.K186.

Seated with its head raised and looking up, its long ears folded back and its legs drawn in to form a compact composition in the two-toned wood.



55

55  
**A WOOD NETSUKE OF A HARE**

Early 19th century  
*Unsigned*.  
4.5cm (1¾in) high.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 兎 無銘 19世紀前期

**Published:**  
Katchen, N7, vol.2, p.359, no.K884.

Seated, its head turned to the right and its ears drawn back as it rests one forepaw protectively on a mushroom, one leg forming the *himotoshi*, the slightly worn wood of good tone and the eyes inlaid.



56

56  
**A BONE NETSUKE OF A RABBIT**

19th century  
*Unsigned*.  
3.8cm (1½in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

骨彫根付 兎 無銘 19世紀

**Published:**  
Katchen, N7, vol.2, p.273, no.K883.

Seated, facing ahead, its ears folded back and its legs drawn in for compactness, the bone of good colour and the eyes inlaid.



57



57

**A WOOD NETSUKE OF A RABBIT**

By Nanboku, Tanba Province, 19th century  
Signed in a rectangular reserve *Nanboku*.  
4.5cm (1 3/4in) wide.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

木彫根付 兎 銘「南木」 19世紀

**Provenance:**

Marianne Densmore, Paris.

**Published:**

Katchen, N7, vol.2, p.167, no.K185.

Of chubby form, seated, facing ahead, its ears pulled back together and its legs drawn in for compactness, the dark stained wood slightly worn and the eyes inlaid.



58



58

**A WOOD NETSUKE OF A HARE**

By Ikkan (1817-1893), Nagoya, 19th century  
Signed in an oval reserve *Ikkan*.  
3.5cm (1 3/8in) high.

£3,000 - 4,000  
JPY440,000 - 580,000  
US\$4,100 - 5,500

木彫根付 兎 銘「一貫」 19世紀

**Provenance:**

Glendining & Co. Ltd, London, 1937, resold 1949 and 1953.  
Schweizer collection.

**Published:**

Meinertzhagen, MCI, p.213.  
Katchen, N7, vol.2, p.25. no.K181.

Seated, facing ahead and with head raised, its right forepaw raised, the slightly worn wood with a good patina and the eyes inlaid.

Meinertzhagen comments, 'A most attractive little figure, of characteristic warmth and delicacy, tho' anatomically not above criticism - a beautiful carving of an unbeautiful rabbit'.





59

59  
**A PAINTED WOOD SASHI NETSUKE OF A RUNNING HARE**  
 Late 19th century  
*Unsigned.*  
 18.6cm (7 3/8in) long.

£600 - 800  
 JPY87,000 - 120,000  
 US\$830 - 1,100

木彫差し根付 兎 無銘 19世紀後期

**Provenance:**  
 Felix Tikotin collection.

**Published:**  
 Katchen, N7, vol.2, p.359, no.K187.

The elongated creature shown running, facing ahead, its hind legs stretched out and its forelegs drawn in for compactness, the soft wood painted white with red highlights.

The work, carved slightly in *Ittobori*, is in the style of Bushoan Tessen or Morikawa Toen, working in the late 19th century. For a study of a hare in similar attitude by the former, see Lazarnick, NIA, p.1093; and for a netsuke of running horse by Toen, *ibid.*, p.1096.



60

60  
**A LACQUERED-WOOD NETSUKE OF A HIRYU (FLYING DRAGON)**  
 18th century  
*Unsigned.*  
 10.1cm (4in) wide.

£2,500 - 3,500  
 JPY360,000 - 510,000  
 US\$3,400 - 4,800

木彫漆塗根付 飛竜 無銘 18世紀

**Provenance:**  
 Lt. Col. J. B. Gaskell collection, no.1102.  
 W. W. Winkworth collection, purchased 1960.

**Published:**  
 Katchen, N7, vol.2, p.429, no.K95.

Of squat form, the short scaly body with wide open mouth and fin-like wings, the tail curled forward for compactness, carved in light wood and lacquered red with traces of gilding.

Similar models carved in ivory are recorded in Davey, MTH, p.321, no.984 and in wood, *ibid.*, p.377, no.1130, and Meinertzhagen, MCI, p.1024.

The *hiryu* is a flying dragon fish, sometimes miscalled a *makatsugyo* (dragon-fish) or *shachihoko* (flying dragon) and the subject is illustrated with a drawing in Inaba, SK, where it is clearly titled *hiryu*.





61

**A WOOD SASHI NETSUKE OF A FLYING DRAGON**

Early 19th century

*Unsigned.*

*17.2cm (6¾in) long.*

**£10,000 - 15,000**

**JPY1,500,000 - 2,200,000**

**US\$14,000 - 21,000**

木彫差し根付 龍 無銘 19世紀前期

**Provenance:**

Frederick Meinertzhagen collection.

W. W. Winkworth collection, purchased 1960.

**Published:**

Meinertzhagen, MCI, p.1024 and on the spine of the dust cover.

Lazarnick, NIA, p.1309.

Katchen, N7, vol.2, p.355, no.K84.

The limbless beast facing forward, its large head with inlaid pupils and open mouth containing a loose ball, wings carved in relief on each flank and its tail curled, its back carved in relief with four characters, *ichi yaku sen ri* 一躍千里 (1000 *ri* in one bound).

Meinertzhagen opined, 'A remarkably fine netsuke, of outstanding character and originality, finely carved, boldly, modelled and impressive, of considerable power in its treatment: in perfect undamaged state, the wood showing a rich brown patina'.

A somewhat similar example, bearing the same inscription, is illustrated in Egerton Ryerson, *The Netsuke of Japan*, G. Bell & Sons Ltd., London, 1958, pl.4, no.1. A lacquered example is illustrated in Earle, NFR, p.101, no.65.



62



64



63

62 Y

**A RARE SMALL HONEN (HORNBILL)  
NETSUKE OF A DRAGON**

By Ono Ryomin, Edo, late 19th century  
Signed *Ryomin* with a *kao*.  
3.2cm (1 1/4in) wide.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

サイチョウ嘴彫根付 龍  
銘「陵民(花押)」 19世紀後期

**Provenance:**

W. L. Behrens collection, no.1491.  
Purchased at Hôtel Drouot, Paris, 1968.

**Published:**

Meinertzhagen, MCI, p.655 (unillustrated).  
Katchen, N7, vol.1, p.211, no.K86.

Coiled with its head turned back to the right on a rectangular base, each side with sunken panels of diaper designs, the red section of the bill utilised for the dragon.

63

**A WOOD NETSUKE OF A SNAKE**

19th century  
Inscribed *Masanao*.  
4.2cm (1 5/8in) wide.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

木彫根付 蛇 銘「正直」 19世紀

**Published:**

Katchen, N7, vol.2, p.147, no.K332.

Coiled in several tight loops, its head at the top and part of its body forming the *himotoshi*, the slightly worn wood of good colour and the pupils inlaid.

For a somewhat similar example, see Harris, HGBM, p.56, no.211.

64

**A WOOD NETSUKE OF A SNAKE  
AND TORTOISE**

By Yukitomo, Gifu, Mino Province,  
19th century  
Signed in an oval reserve *Yukitomo*.  
3.2cm (1 1/4in) wide.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

木彫根付 蛇に亀 銘「之友」 19世紀

**Provenance:**

Eskenazi Ltd., London, purchased 1968.

**Published:**

Katchen, N7, vol.2, p.115, no.K331.

The snake coiled tightly in several loops around the tortoise, carved in dark wood, which is slightly worn, the eyes inlaid.

Very little is known of Yukitomo, who is believed to have lived in Gifu and by whom only a few examples have been recorded.



65



66

65  
**A LARGE KUROGAKI-WOOD NETSUKE OF A HORSE**  
18th century  
*Unsigned.*  
11.4cm (4½in) high.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

黒柿彫根付 馬 無銘 18世紀

**Provenance:**  
Purchased at Sotheby's, London, 1962.

**Published:**  
Katchen, N7, vol.2, p.361, no.K156.

Standing with its hooves together and its head raised as it brays to the moon, its tail sweeping forward over the right flank and forming the *himotoshi*.

66  
**A WOOD NETSUKE OF A HORSE**  
18th century  
*Unsigned.*  
5.4cm (2 1/8in) wide.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

木彫根付 馬 無銘 18世紀

**Provenance:**  
Purchased at Sotheby's, London, 1956.

**Published:**  
Katchen, N7, vol.2, p.365, no.K157.

Lying, facing ahead and unfolding its legs as if about to rise, its tail passing forward over the back, the wood somewhat worn and with a fine patina.



67

**A BOXWOOD NETSUKE OF A GRAZING HORSE**

Early 19th century

*Unsigned.*

6.7cm (2 5/8in) high.

**£10,000 - 15,000**

**JPY1,500,000 - 2,200,000**

**US\$14,000 - 21,000**

木彫根付 馬 無銘 19世紀前期

**Provenance:**

Purchased in Paris, 1961.

**Published:**

Katchen, N7, vol.2, p.363, no.K155.

Standing with its hooves together, its head bowed down to the left and its tail passing around the hind legs, the slightly worn wood with a good patina.

For a large study of a horse carved in a similar manner, see Earle, NFR, p.312.



68



69



68

**A WOOD NETSUKE OF A HORSE**

By Masanao, Yamada, Ise Province, 19th century  
Signed *Masanao*.  
4.2cm (1 5/8in) wide.

£3,000 - 3,500

JPY440,000 - 510,000

US\$4,100 - 4,800

木彫根付 馬 銘「正直」 19世紀

**Provenance:**

Spink & Son Ltd., London, 1960.

**Published:**

Katchen, N7, vol.2, p.139, no.K143.

Lying with its head raised, turned back to the left and its legs drawn in for compactness, the well-toned wood slightly worn and the eyes inlaid.

For a similar example, see Bushell, CN, p.95, no.123. Another, from the Martin Newstead collection, was sold at Sotheby's, London, 24 October 1984, lot 74.

69

**A WOOD NETSUKE OF A HORSE**

By Kokei, Kuwana, Ise Province, 19th century  
Signed *Kokei*.  
4.5cm (1 3/4in) wide.

£1,500 - 2,000

JPY220,000 - 290,000

US\$2,100 - 2,800

木彫根付 馬 銘「虎溪」 19世紀

**Provenance:**

Purchased at Hôtel Drouot, Paris, 1965.

**Published:**

Katchen, N7, vol.2, p.91, no.K140.

Lying with its head raised, its legs folded beneath for compactness, one forming the *himotoshi*, and its tail sweeping forward over the left flank, the slightly worn wood with a fine patina.

For similar examples, see Bushell, CN, p.66, no.81, the exhibition catalogue, *Contrasting Styles*, London, 1980, p.33, no.75, and Harris, HGBM, p.58, no.225.



70



71



72



70  
**A WOOD NETSUKE OF A HORSE AND GROOM**

By Tadahisa, Nagoya, 19th century  
Signed with *ukibori* characters in a rectangular reserve *Tadahisa*.  
4.5cm (1<sup>3</sup>/<sub>4</sub>in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 馬と馬丁 銘「忠久」 19世紀

**Published:**  
Katchen, N7, vol.2, p.27, no.K141.

The horse standing with its forelegs resting in a large round wooden tub while the groom stands at its side, scrubbing its left flank, the slightly worn wood of good tone and the horse's eyes inlaid with pale and dark horn.

71  
**A WOOD NETSUKE OF A RECUMBENT HORSE**

By Natsuki, Osaka, 19th century  
Signed *Natsuki*.  
4.8cm (1<sup>7</sup>/<sub>8</sub>in) wide.

£2,500 - 3,000  
JPY360,000 - 440,000  
US\$3,400 - 4,100

木彫根付 馬 銘「夏木」 19世紀

**Provenance:**  
M. T. Hindson collection.  
Purchased at Sotheby's, London, 1967.

**Published:**  
Davey, MTH, p.23, no.28.  
Katchen, N7, vol.1, p.139, no.K149.

Lying with its head turned back to the right, its tail passing forward over the right flank and its legs drawn in for compactness, the slightly worn wood bearing a good patina and the eyes of pale translucent horn with dark pupils.

When sold at the M. T. Hindson sale at Sotheby's, it was with a later added ivory rat applied to its back, which has since been removed.

72  
**A WOOD NETSUKE OF A HORSE AND RAT**

By Sari, Iwashiro Province, 19th century  
Signed in an oval reserve *Sari*.  
4.2cm (1<sup>5</sup>/<sub>8</sub>in) wide.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 馬に鼠 銘「左里」 19世紀

**Provenance:**  
Purchased at Sotheby's, London, 1966.

**Published:**  
Katchen, N7, vol.2, p.191, no.K146.

The horse recumbent, its head turned back to the left and looking up while the rat crouches on its long tail which curls forward over the left flank, the slightly worn wood dark stained and the eyes of pale translucent horn with dark pupils.





73

73<sup>Y</sup>  
**A TORTOISESHELL NETSUKE OF A HORSE  
 AND SPIDER'S WEB**

Late 18th/early 19th century

Unsigned.

5.4cm (2 1/8in) wide.

£600 - 800  
 JPY87,000 - 120,000  
 US\$830 - 1,100

鼈甲彫根付 蜘蛛の糸と走る馬 無銘 18世紀後期/19世紀前期

**Provenance:**  
 Eskenazi Ltd., 1968.

**Published:**  
 Katchen, N7, vol.2, p.455, no.K160.

Of somewhat flattened form, carved in relief with a galloping horse beneath an openwork spider's web stretched over the branch of a flowering plum tree which continues on relief on the reverse.

For a very similar example, see Davey, MTH, p.432, no.1294.

The origins of this unusual motif can be traced to *Tsurezuregusa* (Essays in Idleness), a celebrated collection of essays written in the fourteenth century by the priest Kenko, who wrote approvingly of the restrained style of the uniforms formerly worn by guards at the Kamo horse races, consisting of an image of a horse covered by a garment painted with spiders' webs. This combination was taken up in dramatic works such as the Noh play *Kanawa* (The Iron Crown) and the Kyogen comedy *Kumonusubito* (The Spider Thief). In *Kanawa*, a woman despairs of her cheating husband, exclaiming that even if she hitched his horse to a spider's web, she could never trust such a faithless man (the recitation of a verse on the same theme forms the climax to *Kumonusubito*). This ambiguous sentiment later evolved into a more straightforward netsuke design, with the implication that any attempt to rein in human passion is akin to restraining a galloping horse with a flimsy spider's web.



74

74  
**A LARGE WOOD NETSUKE OF A GOAT**

By Kigetsu, late 18th/early 19th century

Signed *Kigetsu*.

6.4cm (2 1/2in) wide.

£3,000 - 4,000  
 JPY440,000 - 580,000  
 US\$4,100 - 5,500

木彫根付 羊 銘「亀月」 18世紀後期/19世紀前期

**Published:**  
 Katchen, N7, vol.2, p.231, no.K119.

Lying with its head raised and turned to the right, its tail passing over the right flank and its legs drawn in for compactness, the slightly worn wood with a good patina and the pupils inlaid.





75

**A WOOD NETSUKE OF A GOAT**

By Tomotada, Kyoto, late 18th/early 19th century

Signed in a rectangular reserve *Tomotada*.

6.7cm (2 5/8in) high.

£20,000 - 25,000

JPY2,900,000 - 3,600,000

US\$28,000 - 34,000

木彫根付 山羊 銘「友忠」 18世紀後期/19世紀前期

**Provenance:**

Col. E. H. Baker collection.

Frederick Meinertzhagen collection.

Cecil Crookes collection.

Sold at Glendining & Co. Ltd., London, 1937.

**Published:**

Joly, Henri L. and Kumasaka Tomita, JAH, no.71, illus.pl.XLIX.

Meinertzhagen, MCI, p.916.

Sydney L. Moss Ltd., *Netsuke*, November 1974, no.55.

Katchen, N7, vol.1, p.57, no.K49.

**Exhibited:**

The Red Cross Exhibition, London, 1915.

Seated, looking up and with its legs together, its shaggy coat falling in heavy tresses over its body, the wood with a fine patina and the pupils inlaid.



76



77



76

**A WOOD NETSUKE OF A RECUMBENT GOAT**

Early 19th century

Inscribed in an oval reserve *Hidari Issan*.

5.4cm (2 1/8in) wide.

£1,500 - 2,000

JPY220,000 - 290,000

US\$2,100 - 2,800

木彫根付 山羊 銘「左一山」 19世紀前期

**Provenance:**

Aoyama, Paris, 1968.

**Published:**

Katchen, N7, vol.2, p.193, no.K118.

Lying with its head lowered to the left and its legs drawn in for compactness. The signature is deeply and confidently engraved, but size of the work and the style of carving is rather unlike that recognised as by *Hidari Issan* of *Iwashiro*.

77

**A WOOD NETSUKE OF A GOAT**

By *Masanao*, *Yamada*, *Ise Province*, 19th century

Signed *Masanao*.

4.2cm (1 5/8in) wide.

£2,500 - 3,500

JPY360,000 - 510,000

US\$3,400 - 4,800

木彫根付 山羊 銘「正直」 19世紀

**Published:**

Katchen, N7, vol.2, p.145, no.K115.

Lying with its head turned to the left, its forelegs bent and its hind legs drawn in, one forming the *himotoshi*, the slightly worn wood with a good patina and the pupils inlaid.

A similar example, facing in the opposite direction, by *Masanao* of *Ise* is illustrated in *Harris*, *HGBM*, p.59, no.234.



78



79



78

**A BAMBOO NETSUKE OF TWO GOATS**

19th century

*Unsigned.*

*3.5cm (1 3/8in) high.*

**£600 - 800**

**JPY87,000 - 120,000**

**US\$830 - 1,100**

竹彫根付 山羊 無銘 19世紀

**Provenance:**

Raymond and Frances Bushell collection, purchased 1963.

**Published:**

Katchen, N7, vol.2, p.367. no.K122.

On a low mound base, one seated, the other standing beside it, each nuzzling the back of its companion, the bamboo slightly worn and lightly stained.

79

**A LACQUERED-WOOD NETSUKE OF A MONKEY AND TORTOISE**

By Okatori, Kyoto, early 19th century

Signed in a rectangular reserve *Okatori.*

*3.8cm (1 1/2in) wide.*

**£3,000 - 4,000**

**JPY440,000 - 580,000**

**US\$4,100 - 5,500**

木彫漆塗根付 猿と亀 銘「岡佳」 19世紀前期

**Provenance:**

W. L. Behrens collection, no.2881.

Thacher Clarke collection.

Spink & Son Ltd., London, 1960.

**Published:**

Meinertzhagen, MCI, p.623.

Katchen, N7, vol.1, p.35, no.K195.

The monkey seated on a turtle and leaning forward as it tries to entice the reptile from its shell, the entire surface lacquered in gold over black.



80



81



80

**A WOOD NETSUKE OF A MONKEY**

By Gyokusen Tomochika, Kyoto, 19th century  
Signed in a rectangular reserve *Tomochika*.  
3.8cm (1½in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 猿 銘「友近」 19世紀

**Provenance:**

Purchased at Sotheby's, London (date unknown).

**Published:**

Katchen, N7, vol.1, p.35, no.K200.

Seated, leaning forward and with an agonised expression as a large fruit falls on its head, its legs drawn in, one forming the *himotoshi* and the slightly worn wood with a good patina.

81

**A WOOD NETSUKE OF A MONKEY AND YOUNG**

By Ran'ichi, Kyoto, 19th century  
Signed in an elliptical reserve *Ran'ichi*.  
4.5cm (1¾in) high.

£2,500 - 3,000  
JPY360,000 - 440,000  
US\$3,400 - 4,100

木彫根付 親子猿 銘「蘭一」 19世紀

**Provenance:**

Tammenne, Brussels.

**Published:**

Katchen, N7, vol.1, p.37, no.K198.

The adult seated, holding one of his offspring with one arm and cleaning the hair of another at his feet while a third clammers on his back, the slightly worn wood with a good patina and the eyes inlaid in pale translucent horn with dark pupils.

An unusually large and elaborate example by a maker generally known for his carvings of ivory.



82



83



82

**A WOOD NETSUKE OF A MONKEY**

Early 19th century

Unsigned.

5.1cm (2in) high.

£1,200 - 1,500

JPY170,000 - 220,000

US\$1,700 - 2,100

木彫根付 猿と桃 無銘 19世紀前期

**Provenance:**

Purchased at Sotheby's, London, 1967.

**Published:**

Katchen, N7, vol.2, p.373, no.K226.

Standing, it leans forward while attempting to lift a giant peach with leaves attached, one hind leg forming the *himososhi*, the pale wood slightly worn and of a good tone.

83

**A WOOD NETSUKE OF A MONKEY ON A PEACH**

After Kano Tomokazu, Gifu, 19th century

Inscribed *Tomokazu*.

4.2cm (1 5/8in) wide.

£1,500 - 2,000

JPY220,000 - 290,000

US\$2,100 - 2,800

木彫根付 猿に桃 銘「友一」 19世紀

**Provenance:**

Purchased in Paris, 1967.

**Published:**

Katchen, N7, vol.2, p.111, no.K212.

The ape sprawling over and clasping the stalk of the huge peach which is hollowed out to one side to reveal an offspring curled within, the wood lightly stained and the eyes of translucent horn with dark pupils.



84



84

**A WOOD NETSUKE OF A MONKEY WITH A CRAB**

By Tomomitsu, 19th century  
Signed in an oval reserve *Tomomitsu*.  
3.8cm (1½in) high.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

木彫根付 猿と蟹 銘「友光」 19世紀

**Provenance:**

Purchased at Hôtel Drouot, Paris, 1965.

**Published:**

Katchen, N7, vol.2, p.23, no.K214.

The ape seated, trying to restrain the giant crab which is nipping one hand, the wood slightly worn and the eyes inlaid.

The work of the maker is relatively rare and only one other example is recorded, a monkey group in Lazarnick, NIA, p.1157.



85



85

**A WOOD NETSUKE OF A MONKEY IN A CHESTNUT**

By Naito Toyomasa (1773-1856), Tanba Province, early 19th century  
Signed *Toyomasa*.  
4.5cm (1¾in) wide.

£3,000 - 4,000  
JPY440,000 - 580,000  
US\$4,100 - 5,500

木彫根付 栗に猿 銘「豊昌」 19世紀前期

**Provenance:**

Tommey, London, 1956.

**Published:**

Katchen, N7, vol.2, p.163, no.K219.

The large nut with a large hole broken open to one side, through which the monkey climbs out, two large leaves carved in relief below, the wood lightly stained and the eyes inlaid with pale translucent horn.



86



87



86

**A WOOD NETSUKE OF A MONKEY**

By Kijin Naoaki, 19th century  
Signed *Kijin Naoaki* with a *kao*.  
3.5cm (1 3/8in) high.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 栗と猿 銘「鬼人直明（花押）」 19世紀

**Provenance:**

Joseph U. Seo, New York.

**Published:**

Katchen, N7, vol.1, p.137, no.K203.

Seated, leaning forward and gnawing at a large chestnut while holding four others with its feet and with two more beneath, forming a compact composition in slightly worn and well-toned wood, the eyes of pale translucent horn with dark pupils.

87

**A SMALL WOOD NETSUKE OF A COCKEREL**

By Tadakuni, Nagoya, 19th century  
Signed with *ukibori* characters in a rectangular reserve *Tadakuni*.  
4.5cm (1 3/4in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 鶏 銘「忠国」 19世紀

**Published:**

Katchen, N7, vol.2, p.13, no.K30.

Resting with its head turned back, its legs folded unobtrusively beneath and its long tail sweeping up, part of which forms the *himotoshi*, the pupils inlaid.

A very similar example by Tadakuni, from the W. L. Behrens collection, no.5432, is illustrated in Meinertzhagen, MCI, p.837.





88



89



88

**AN EBONY OR KUROGAKI WOOD NETSUKE OF A COCKEREL ON A DRUM**

After Tanaka Minko (1735-1816), Tsu, Ise Province, late 18th/early 19th century  
Inscribed *Minko* with a *kao*.  
3cm (1 1/8in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

黒檀/黒柿彫根付 閑古鳥 銘「岷江（花押）」  
18世紀後期/19世紀前期

**Provenance:**  
Purchased at Hôtel Drouot, Paris, 1965.

**Published:**  
Katchen, N7, vol.2, p.83, no.K31.

Perched on the overturned drum, facing ahead and its tail trailing over the end, which is engraved with *mitsu-domoe* (three-comma motif), the dark wood somewhat worn and the comb lacquered red, the wattles inlaid with pink coral and the eyes of mother-of-pearl with dark pupils.

89

**A WOOD NETSUKE OF A COCKEREL**

After Tomokazu, Gifu, Mino Province, 19th century  
Inscribed *Tomokazu*.  
3.8cm (1 1/2in) wide.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

木彫根付 諫鼓鶏 銘「友一」 19世紀

**Provenance:**  
Sydney L. Moss Ltd., London, purchased 1969.

**Published:**  
Katchen, N7, vol.2, p.109, no.K35.

Seated on a drum, its head turned back to the left and its long tail trailing over the side, forming a compact composition in well-toned wood.

For a very similar model by Tomokazu, see Harris, HGBM, p.63, no.267.



90



91



90

**A WOOD NETSUKE OF A FAMILY OF CHICKENS**

By Kaigyokusai Masatsugu or Kaigyokudo Masateru, Osaka, late 19th century  
Signed *Kaigyokudo*.  
3.8cm (1½in) wide.

£3,000 - 4,000  
JPY440,000 - 580,000  
US\$4,100 - 5,500

木彫根付 親子鶏 銘「懷玉堂」 19世紀後期

**Provenance:**

Purchased at Sotheby Parke Bernet, New York, 1977.

**Published:**

B. Hurtig, 'Kaigyokusai Masatsugu' in *Journal of the International Netsuke Collectors Society*, vol.1, no.4, p.20, fig.39.  
Lazarnick, NIA, p.564.  
Katchen, N7, vol.1, p.121, no.K7.

Showing a cockerel seated on large vegetable leaves while a hen pecks at his side, a small chick balancing on her back, the lightly stained boxwood slightly worn and of a good colour, the eyes inlaid.

For a similar example signed Kaigyoku, see Barry Davies *Oriental Art*, RSH, London, 1998, no.46.

91 Y<sup>Φ</sup>

**A WOOD NETSUKE OF A WILD DOG (YAMA-INU)**

By Tomotada, Kyoto, Edo period (1615-1868), late 18th/early 19th century  
Signed in a rectangular reserve *Tomotada*.  
4.2cm (1 5/8in) wide.

£6,000 - 8,000  
JPY900,000 - 1,200,000  
US\$8,500 - 11,000

木彫根付 山犬 銘「友忠」 18世紀後期/19世紀後期

**Provenance:**

Purchased at Hauswedell and Nolte, Hamburg, 1966.  
Julius and Arlette Katchen collection.

**Published:**

Katchen, N7, vol.1, p.79. no.K468.

Seated, leaning forward and licking its raised left leg, the wood slightly worn and the eyes inlaid in ivory with dark pupils.

Tomotada appears to have made a large number of netsuke depicting wild dogs or wolves, generally depicting the creature with a captured crab or the haunch of a deer, although studies of the animal without either are rare. A similar example is illustrated in the exhibition catalogue, *Netsuke, Edo Saimitsu Kogei no Hana* (Netsuke: Flower of Miniature Crafts in Edo), Nihon Netsuke Kenkyukai, Tokyo, 1995, p.55, no.32.



92

**A WOOD NETSUKE OF A RECUMBENT DOG**

By Masanao, Kyoto, late 18th century

Signed in an oval reserve *Masanao*.

4.8cm (1 7/8in) wide.

£25,000 - 30,000

JPY3,600,000 - 4,400,000

US\$34,000 - 41,000

木彫根付 犬 銘「正直」 18世紀後期

**Provenance:**

Andrew Somlyo collection, purchased 1965.

**Published:**

Katchen, N7, vol.1, p.27, no.K39.

Lying with its head turned to the right, its tail curled and its legs drawn in for compactness, the slightly worn wood with a good patina and the pupils inlaid.

The attitude is very similar to the ivory study of a dog by Tomotada from the Katchen collection, sold in these rooms, 10 May 2017, lot 120.

For a somewhat similar example, see London Netsuke Committee, *Contrasting Styles*, 1980, p.17, no.19.



93



95



94

93

**A WOOD NETSUKE OF A DOG**

By Shugetsu, Edo, early 19th century

Signed *Shugetsu*.

4.8cm (1 7/8in) wide.

£2,500 - 3,000

JPY360,000 - 440,000

US\$3,400 - 4,100

木彫根付 仔犬 銘「舟月」 19世紀前期

**Provenance:**

M. T. Hindson collection, purchased at Sotheby's, London, 1967.

**Published:**

Davey, MTH, p.102, no.287.

Katchen, N7, vol.1, p.221, no.K66.

Recumbent, its head turned slightly to the right and holding a peony in its mouth, its legs drawn in to form a compact composition, the slightly worn wood bearing a light stain.

94

**A WOOD NETSUKE OF A PIEBALD PUPPY**

By Matsuda Sukenaga, Takayama, Hida Province, 19th century

Signed *Sukenaga*.

3.5cm (1 3/8in) high.

£800 - 1,000

JPY120,000 - 150,000

US\$1,100 - 1,400

木彫根付 斑仔犬 銘「亮長」 19世紀

**Provenance:**

Eskenazi Ltd., London, 1968.

**Published:**

Katchen, N7, vol.2, p.127, no.K77.

Seated, its head turned to the left and with one foreleg raised, its body covered with piebald patches, variously stained, and the eyes inlaid in dark horn.

For a very similar example, see Nihon Netsuke Kenkyukai, *Netsuke: gyoshukusareta Edo bunka* (Netsuke, Condensed Culture of Edo), Tokyo, Bijutsu Shuppansha, 2005, no.58.

95 Y

**A SMALL MARINE IVORY NETSUKE OF A PUPPY**

By Rantei, Kyoto, 19th century

Signed in an oval reserve *Rantei*.

3.5cm (1 3/8in) wide.

£800 - 1,000

JPY120,000 - 150,000

US\$1,100 - 1,400

海象牙彫根付 仔犬 銘「蘭亭」 19世紀

**Provenance:**

Felix Tikotin collection.

**Published:**

Katchen, N7, vol.1, p.23, no.K55.

Seated, its head raised and turned to the right, its legs drawn in for compactness, one forming the *himotoshi*, the ivory slightly worn and the eyes inlaid with mother-of-pearl.



96

**A WOOD NETSUKE OF A DOG**

By Hidemasa, Osaka, 19th century

Signed in an oval reserve *Hidemasa*.

*4.2cm (1 5/8in) high.*

**£20,000 - 25,000**

**JPY2,900,000 - 3,600,000**

**US\$28,000 - 34,000**

木彫根付 犬 銘「秀正」 19世紀

**Published:**

Katchen, N7, vol.1, p.127, no.K57.

Standing, its body doubled up, its head lowered to the ground and turned to the left in a naturalistically playful attitude, the slightly worn wood with a good patina and the pupils inlaid.



97



97

**A WOOD NETSUKE OF A DOG**

By Naito Toyomasa (1773-1856), Tanba Province, early 19th century  
Signed in an oval reserve *Toyomasa*.  
3.8cm (1 1/2in) wide.

£4,000 - 6,000  
JPY580,000 - 870,000  
US\$5,500 - 8,300

木彫根付 犬 銘「豊昌」 19世紀前期

**Provenance:**

Purchased at Hôtel Drouot, Paris.

**Published:**

Katchen, N7, vol.2, p.169, no.K78.

Seated, its head raised as it scratches its cheek with its left hind paw, one leg forming the *himotoshi*, the slightly worn wood with a good patina and the eyes inlaid in dark translucent horn.

Another study of a puppy carved in a similar manner by Toyomasa, from the Imai Kenzo and Raymond Bushell collections, was sold at Christie's, Los Angeles, 28 January 1989, lot 19.



98



98

**A KUROGAKI WOOD NETSUKE OF A WOLF OR WILD DOG (YAMA-INU)**

By Rensha, Edo, 19th century  
Signed *Rensha*.  
6.7cm (2 5/8in) high.

£2,500 - 3,000  
JPY360,000 - 440,000  
US\$3,400 - 4,100

黒柿彫根付 狼/山犬 銘「連車」 19世紀

**Published:**

Sydney L. Moss Ltd., ZBDC, no.58.  
INSJ, vol.13, no.3, p.45-58.  
Katchen, N7, vol.1, p.241, no.K905.

Seated, its head raised and twisted to the left as it howls to attract attention, the dark wood slightly worn with a good patina, the eyes inlaid.

Little appears to be known of this rare artist, by whom only three other examples are recorded: a wood study of a boar with ivory tusks in the British Museum collection, another of a dog playing with an *awabi* shell illustrated in Lazarnick, NIA, p.868 and a lotus pod, in the Seikado Bunko Art Museum, Japan.



99



100



99

**A SMALL WOOD NETSUKE OF A PUPPY**

By Meikeisai Hojitsu, Edo/Tokyo, late 19th century

Signed on a mother-of-pearl tablet *Hojitsu*.

3.8cm (1 1/2in) wide.

£1,000 - 1,500

JPY150,000 - 220,000

US\$1,400 - 2,100

木彫根付 仔犬 銘「法實」 19世紀後期

**Published:**

Katchen, N7, vol.1, p.221, no.K65.

Seated, its head turned to the right and its legs drawn in, one forming the *himotoshi*, the wood lightly stained and the eyes inlaid with dark horn.

100

**A WOOD NETSUKE OF A WILD BOAR**

By Sari, Iwashiro Province, 19th century

Signed in an oval reserve *Sari*.

4.2cm (1 5/8in) high.

£2,500 - 3,000

JPY360,000 - 440,000

US\$3,400 - 4,100

木彫根付 猪 銘「左里」 19世紀

**Published:**

Katchen, N7, vol.2, p.189, no.K10.

Seated, its head raised and its forelegs bent as it prepares to rise, the slightly worn wood with a good patina, the eyes inlaid with pale translucent horn.

For a somewhat similar example, see Ducros, NS, 1987, p.90, no.171.





101



102

101

**A WOOD NETSUKE OF A WILD BOAR**

By Masanao, Yamada, Ise Province, 19th century  
Signed *Masanao*.

4.6cm (1 7/8in) high.

£2,500 - 3,000

JPY360,000 - 440,000

US\$3,400 - 4,100

木彫根付 猪 銘「正直」 19世紀

**Provenance:**

Purchased in Paris, 1961.

**Published:**

Katchen, N7, vol.2, p.137, no.K18.

Seated, its head raised and looking up, its mouth open and its forelegs bent as if about to rise, its left hind leg forming the *himotoshi*, the well-toned wood slightly worn and the pupils inlaid.

102

**A WOOD NETSUKE OF A RECUMBENT WILD BOAR**

By Masatami, Nagoya, 19th century  
Signed *Masatami to*.

4.8cm (1 7/8in) wide.

£2,000 - 2,500

JPY290,000 - 360,000

US\$2,800 - 3,400

木彫根付 猪 銘「正民刀」 19世紀

**Provenance:**

Sold at Glendining & Co. Ltd., London, 1922.

Purchased at Hauswedell & Nolte, Hamburg, 1962.

**Published:**

Meinertzhagen, MCI, p.467.

Katchen, N7, vol.2, p.15, no.K14.

Recumbent, its head turned to the left with raised snout, as it sniffs the air, its legs drawn in for compactness, one forming the *himotoshi*, the wood with a fine patina and the eyes inlaid in pale horn with dark pupils.



103



104



105

## Other Animals

103

### A WOOD NETSUKE OF A CAT

By Kosei, early 19th century

Signed *Kosei*.

4.5cm (1<sup>3</sup>/<sub>4</sub>in) wide.

£800 - 1,000

JPY120,000 - 150,000

US\$1,100 - 1,400

木彫根付 鉢の上の猫 銘「孝生」  
19世紀前期

#### Provenance:

Purchased 1973.

#### Published:

Katchen, N7, vol.2, p.37, no.K368 (the signature misread as Hideyuki).

Sprawling over a large upturned bowl and biting one tentacle of an octopus which writhes beneath, the well-patinated wood rather worn and the cat's eyes inlaid.

104

### A SMALL WOOD NETSUKE OF A CAT

Early 19th century

Unsigned.

4.2cm (1 5/8in) wide.

£1,200 - 1,500

JPY170,000 - 220,000

US\$1,700 - 2,100

木彫根付 猫 無銘 19世紀前期

#### Provenance:

Sydney L. Moss Ltd., London, purchased 1957.

#### Published:

Katchen, N7, vol.2, p.383, no.K366.

Seated on a folded cushion, its head raised and turned to the left as it scratches itself with one hind paw, the slightly worn wood with a good patina.

105

### A WOOD NETSUKE OF A RECUMBENT STAG

By Ikko, Nagoya, 19th century

Signed *Ikko* with a *kao*.

4.2cm (1 5/8in) wide.

£1,500 - 2,000

JPY220,000 - 290,000

US\$2,100 - 2,800

木彫根付 牡鹿 銘「一江(花押)」  
19世紀

#### Provenance:

Dr. Wolfers collection.

#### Published:

Katchen, N7, vol.2, p.37, no.K385.

Of slender form, lying with its head raised, turned back to the right and its legs drawn in for compactness, one forming the *himotoshi*, the wood dark stained and slightly worn.



106



106

**A RARE WOOD NETSUKE OF A MAMMOTH**

By Masanao, Yamada, Ise Province, 19th century  
Signed *Masanao*.  
3.5cm (1 3/8in) high.

£4,000 - 6,000  
JPY580,000 - 870,000  
US\$5,500 - 8,300

木彫根付 毛象 銘「正直」 19世紀

**Provenance:**

Mark Severin collection.

**Published:**

Katchen, N7, vol.2, p.149, no.K390.

Standing, its head turned to the left with the trunk trailing at its feet, curled to form the *himotoshi*, its body covered with long tresses and its feet with feline claws, the wood slightly worn and the pupils inlaid.



107

107

**A RED LACQUER NETSUKE OF AN ELEPHANT**

19th Century  
*Unsigned*.  
5.1cm (2in) wide.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

木彫朱塗根付 象 無銘 19世紀

**Provenance:**

Hartman Gallery, New York, purchased 1967.

**Published:**

Katchen, N7, vol.2, p.431, no.K393.

Standing four-square with its trunk raised, its body carved with a scroll design simulating layered *guribori* style with black lacquer details.



108

108

**A STAG ANTLER NETSUKE OF AN ELEPHANT**

By Hoshunsai Masayuki, Asakusa, Edo, late 19th century  
Signed in seal form in a sunken reserve  
*Masayuki.*  
3.8cm (1½in) wide.

£5,000 - 6,000  
JPY730,000 - 870,000  
US\$6,900 - 8,300

鹿角彫根付 象 銘「正之」 19世紀後期

**Provenance:**

Isobel Sharpe collection.  
W. W. Winkworth collection.  
M. T. Hindson collection.  
Purchased at Sotheby's, London, 1967.

**Published:**

Davey, MTH, p.182, no.540.  
Katchen, N7, vol.1, p.237, no.K387.

Standing, its head lowered and its back cloth carved and pierced with formal flowers.

For similar examples, see Moss, KG, vol.3, pp.106-109, nos.398 (also illustrated by Bandini in the Exhibition catalogue, IAN, p.98, no.199) and 399.

For details of the charges payable in addition to the final Hammer Price of each Lot please refer to paragraphs 7 & 8 of the Notice to Bidders at the back of the catalogue.



110

110

**AN EBONY NETSUKE OF A PANTHER**

By Tategawa Takusai, Suwa, Shinano Province, late 19th century  
Signed *Tategawa Takusai.*  
4.5cm (1¾in) high.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

黒檀彫根付 黒豹 銘「立川啄齋」  
19世紀後期

**Provenance:**

J. van Daalen Jr. collection.

**Published:**

Katchen, N7, vol.2, p.207, no.K317.

Seated, its head raised and turned back to the left, one foreleg raised and its tail sweeping forward over the left flank, the wood slightly worn and the eyes inlaid with mother-of-pearl with dark pupils.



109

109

**A WOOD NETSUKE OF A WOLF**

By Hogen Tadayoshi, Nagoya, 19th century  
Signed illegibly in a long rectangular reserve.  
4.5cm (1¾in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 狼 銘「[...]」 19世紀

**Published:**

Katchen, N7, vol.2, p.43, no K471.

Seated, leaning forward and gnawing on a severed deer leg, the wood rather worn with a good patina, the pupils inlaid.



111

111  
**AN EBONY OR KUROGAKI WOOD NETSUKE  
 OF A HIMALAYAN BEAR**

By Hosai, Edo/Tokyo, late 19th century  
 Signed on a green-stained tablet *Hosai*.  
 3.8cm (1½in) high.

£2,500 - 3,000  
 JPY360,000 - 440,000  
 US\$3,400 - 4,100

黒檀/黒柿彫根付 月輪熊 銘「法斎」 19世紀後期

**Published:**  
 Katchen, N7, vol.1, p.243, no.K359.

Seated, leaning forward and licking its raised right forepaw, forming a compact composition, the pads beneath its feet with *ukibori* pimples and the distinctive crescent on its chest inlaid with bone, one leg forming the *himotoshi*.

The Asian black bear (*Ursus thibetanus*), also known as the moon bear or white-chested bear, is native to much of Asia, including the Himalayas, China, Taiwan and the Honshu and Shikoku islands of Japan.



112

112  
**A WOOD NETSUKE OF A BADGER**

By Toyokazu, Tanba Province, 19th century  
 Signed in an oval reserve *Toyokazu*.  
 5.1cm (2in) high.

£3,000 - 5,000  
 JPY440,000 - 730,000  
 US\$4,100 - 6,900

木彫根付 狸 銘「豊一」 19世紀

**Provenance:**  
 Joseph U. Seo, New York, 1963.

**Published:**  
 Katchen, N7, vol.2, p.171, no.K350.

Standing and staring ahead in a human attitude, its body wrapped in a lotus leaf and with a lotus leaf hat, the slightly worn wood with a typical stain and the eyes inlaid with pale translucent horn.

For very similar examples of the subject by Toyokazu, see Davey, MTH, p.243, no.756, and Meinertzhagen, MCI, p.946. For an example by Toyomasa, see Coullery, Baur, no.C1108.



113



114



113

**A SMALL WOOD NETSUKE OF A SQUIRREL**

By Ichimin, Nagoya, 19th century  
Signed *Ichimin to*.  
3.5cm (1 3/8in) wide.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

木彫根付 栗鼠 銘「一岷刀」 19世紀

**Provenance:**

Eskenazi Ltd., London, 1965.

**Published:**

Katchen, N7, vol.2, p.41, no.K421.

Sprawled over a large leaf, its head turned to the left and its forepaws resting protectively on a bunch of grapes, the slightly worn wood of good tone and the eyes inlaid.

For a similar example, see Harris, HGBM, no.311.

114

**A BOAR TUSK NETSUKE CARVED WITH A SQUIRREL ON A VINE**

By Kanman (1793-1859), Iwami Province, dated 1821  
Signed *Bunsei yonen gogatsu hi Sekiyo Sanbegawa Nagaregawa goyu junin Kanman nijunana [...]* (Kanman, a resident of the village in Iwami Province where the Sanbegawa and the Nagaregawa meet, on a day in the fifth month of 1821. [Aged] 27) with *kao*.  
8.9cm (3 1/2in) long.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

猪牙彫根付 葡萄に栗鼠 銘「文政四年五月日 石陽三瓶川流川合邑 住人貫満 (花押) 二十七 [...]」 1821年

**Published:**

Katchen, N7, vol.2, p.179, no.K423.

Of typical form, carved in relief with two squirrels on a grape vine, chewing the fruits among large leaves.



115



116



115

**A WOOD NETSUKE OF A SEAL AND CUB**

By Michael Webb (1934-2011), Yorkshire, England, 1989  
Signed MJW carved at Cropton near York, April 1989.  
5.7cm (2 1/4in) wide.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

木彫根付 海豹親子 銘「MJW carved at Cropton near York, April 1989」1989年

**Provenance:**

Eskenazi Ltd., London, purchased 1990.

**Published:**

Katchen, N7, vol.2, p.255, no.K916.

The adult resting, her head turned to the right as she rests one flipper on her recumbent adolescent offspring, the wood typically stained for effect and the eyes inlaid.

116

**A WOOD NETSUKE OF TWO DOLPHINS**

By Michael Webb (1934-2011), Yorkshire, England, 1988  
Signed with *ukibori* characters, MJW Cropton, May, 1988.  
5.4cm (2 1/8in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 海豚 銘「MJW Cropton, May, 1988」1988年

Swimming side by side as they leap, their tails overlapping and their eyes inlaid.

A similar group of dolphins was exhibited in an exhibition of Michael Webb's work at the Ashmolean Museum, Oxford, England, in 1983, illustrated in the catalogue, no.8, and illustrated in Lazarnick, NIA, p.1212.

A netsuke of two sharks in a similar attitude by Michael Webb, from the Katchen collection, was sold in these rooms, 10 May 2017, lot 166.





117

117 Y

**A MARINE TOOTH NETSUKE OF A FLYING BAT**

Late 18th/19th century

*Unsigned.*

6.6cm (2 5/8in) wide.

£800 - 1,000

JPY120,000 - 150,000

US\$1,100 - 1,400

海象牙彫根付 蝙蝠 無銘 18世紀後期/19世紀

**Published:**

Katchen, N7, vol.2, p.303, no.K907.

Shown facing ahead with wings spread, carved in a simplified form, the tooth slightly worn and the eyes denoted with *sumi* (black ink).



118



118

**A WOOD NETSUKE OF A BAT WITH YOUNG**

By Horaku, Kyoto, 19th century

Signed in a sunken oval reserve *Horaku*.

3.8cm (1 1/2in) wide.

£3,000 - 4,000

JPY440,000 - 580,000

US\$4,100 - 5,500

木彫根付 親子蝙蝠 銘「宝楽」 19世紀

**Provenance:**

Purchased at Hôtel Drouot, Paris, 1965.

**Published:**

Katchen, N7, vol.1, p.93, no.K474.

The adult trying to protect two of its struggling offspring with one outstretched wing, the dark wood slightly worn and the small eyes inlaid.

Horaku produced a number of netsuke of bats, either singly, resting on roof tiles or with one of its young, although this appears to be the only recorded example showing two offspring.



119



119

**A STAG ANTLER NETSUKE OF A BAT**

By Ishikawa Rensai, Asakusa, Edo/Tokyo, late 19th century  
Signed in seal form *Ren*.

4.5cm (1¾in) wide.

£5,000 - 7,000

JPY730,000 - 1,000,000

US\$6,900 - 9,600

鹿角彫根付 蝙蝠 銘「蓮」 19世紀後期

**Provenance:**

Purchased at Sotheby's, London, 1967.

**Published:**

Katchen, N7, vol.1, p.247, no.K476 (the illustration transposed with no.K477).

Shown flying with wings spread over a clump of *reishi* fungus, tied with a cloth, one stalk forming the *himotoshi* and the one visible eye inlaid.

For somewhat similar studies, attributed to Hoshunsai Masayuki, see Sydney L. Moss Ltd., KG, vol.3, p.79, no.375 and p.83, no.377.



120



120

**A STAG ANTLER NETSUKE OF A BAT**

By Hoshunsai Masayuki, Edo/Tokyo, late 19th century  
Signed *Masayuki* with a *kao*.

4.5cm (1¾in) wide.

£4,000 - 6,000

JPY580,000 - 870,000

US\$5,500 - 8,300

鹿角彫根付 蝙蝠 銘「正之（花押）」 19世紀後期

**Provenance:**

Sydney L. Moss Ltd., London, 1958.

**Published:**

Katchen, N7, vol.1, p.247, no.K477 (the illustration transposed with no.K476).

Flying with wings outstretched and mouth open over a stem of *reishi* fungus which forms the *himotoshi*, the dark porous part of the antler cleverly utilised for the tips of the wings.

For somewhat similar examples attributed to Hoshunsai Masayuki, see Sydney L. Moss Ltd., KG, vol.3, pp.78-83, nos.375-377.



121



122



## Birds

121

### A STAG ANTLER MANJU CARVED WITH A STYLISED BAT

By Ozaki Kokusai (1835-1892), Shiba, Tokyo, late 19th century  
Signed *Koku*.

4.2cm (1 5/8in) wide.

£1,500 - 2,000

JPY220,000 - 290,000

US\$2,100 - 2,800

鹿角彫饅頭根付 蝙蝠に靈芝 銘「谷」 19世紀後期

#### Published:

Katchen, N7, vol.1, p.247, no.K906.

Of *kagamibuta* form, comprising a honey-coloured stained bowl, carved and pierced with *reishi* fungi, enclosing an unstained plate, carved in low relief with a stylised flying bat.

122

### A WOOD NETSUKE OF A SIMPLIFIED SPARROW

By Naito Toyomasa (1773-1856), Tanba Province, early 19th century  
Signed *Toyomasa*.

5.1cm (2in) wide.

£6,000 - 8,000

JPY870,000 - 1,200,000

US\$8,300 - 11,000

木彫根付 雀 銘「豊昌」 19世紀前期

#### Provenance:

Marianne Densmore, Paris, 1968.

#### Published:

Katchen, N7, vol.2, p.173, no.K523.

Shown flying with its wings spread and its head raised, facing ahead, bearing a concerned expression as if engaging on its first foray into the air, a fallen leaf carved in relief on its underside, the slightly worn wood of good colour and the eyes inlaid.

For a similar model by Toyomasa, see Okada and Neill, RIB, no.69.



123



124



125

123  
**A BOXWOOD NETSUKE  
OF A STYLISED SPARROW**

By Minko, 19th century  
Signed *Minko*.  
4.2cm (1 5/8in) wide.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

木彫根付 雀 銘「浜江」 19世紀

**Published:**  
Katchen, N7, vol.2, p.97, no.K522.

Flying with its wings spread, its head turned to the left, holding a spray of millet in its beak, the slightly worn wood of good tone and the eyes inlaid.

The maker is not Tanaka Minko of Tsu, but another of the same name, working in the middle part of the 19th century.

124  
**A TWO PART WOOD MANJU  
IN THE FORM OF AN OWL**

By Sanko, 19th century  
Signed *Sanko*.  
3.8cm (1 1/2in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫饅頭根付 梟 銘「三虎」 19世紀

**Provenance:**  
Purchased at Glendining & Co. Ltd.,  
London, 1965.

**Published:**  
Katchen, N7, vol.2, p.237, no.K513.

The young bird perched, facing ahead, its plumage carved in low relief, the reverse carved and engraved with a pine branch.

125  
**A RED LACQUER NETSUKE  
OF A STYLISED SPARROW**

19th century  
*Unsigned*.  
4.8cm (1 7/8in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫朱塗根付 雀 無銘 19世紀

**Provenance:**  
W. W. Winkworth collection, purchased 1961.

**Published:**  
Katchen, N7, vol.2, p.435, no.K526.

Shown with its wings spread, covered with mottled red lacquer, with gold lacquer eyes and beak, a black lacquered floral design on the back and a formalised *tama* (jewel) on the head.



126



127



128

126  
**A LACQUER NETSUKE  
 OF A MANDARIN DUCK**  
 Late 19th century  
*Unsigned.*  
 5.7cm (2¼in) wide.

£1,200 - 1,500  
 JPY170,000 - 220,000  
 US\$1,700 - 2,100

木彫漆塗根付 鴛鴦 無銘 19世紀後期

**Provenance:**  
 Purchased at Sotheby's, London, 1961.

**Published:**  
 Katchen, N7, vol.2, p.435, no.K496.

Of typical form, the body and head lacquered gold, grey and brown, the beak and legs red and patched with *aogai* and *kirigane* to each side.

127  
**A LACQUERED WOOD NETSUKE  
 OF A CRANE**  
 19th century  
*Unsigned.*  
 4.5cm (1¾in) wide.

£800 - 1,200  
 JPY120,000 - 170,000  
 US\$1,100 - 1,700

木彫漆塗根付 鶴 無銘 19世紀

**Published:**  
 Katchen, N7, vol.2, p.433, no.K487.

Resting with its long neck folded and its legs drawn in for compactness, its body lacquered red, its plumage partly lacquered gold.

128<sup>Y</sup>  
**A MARINE TOOTH NETSUKE  
 OF A DOVE**  
 19th century  
*Unsigned.*  
 5.7cm (2¼in) wide.

£600 - 800  
 JPY87,000 - 120,000  
 US\$830 - 1,100

海牙彫根付 鳩 無銘 19世紀

**Provenance:**  
 W. W. Winkworth collection, purchased 1961.

**Published:**  
 Katchen, N7, vol.2, p.303, no.K489.

Of slender form, the formalised bird with head raised and facing ahead, the plumage engraved in a simplified manner and the eyes inlaid.



129



130



129 Y

**A WALRUS TUSK MANJU INLAID WITH A FLYING GOOSE**

Style of Tokoku, Tokyo, late 19th century

Unsigned.

4.5cm (1¾in) diam.

£800 - 1,200

JPY120,000 - 170,000

US\$1,100 - 1,700

セイウチ牙彫饅頭根付 雁と三日月・鳴子に鎌 無銘 19世紀後期

**Published:**

Katchen, N7, vol.2, p.301, no.K913.

Carved and pierced with wild flowers beneath clouds and inlaid in silver with a goose flying past the crescent moon, the reverse carved and pierced with a bird scarer and scythe.

**Amphibians**

130

**A WOOD NETSUKE OF A TOAD AND YOUNG**

By Tametaka, Nagoya, 18th century

Signed *Tametaka*.

5.1cm (2in) wide.

£4,000 - 5,000

JPY580,000 - 730,000

US\$5,500 - 6,900

木彫根付 蛙親子 銘「為隆」 18世紀

**Published:**

Moss, EIN, p.75, no.45.

Katchen, N7, vol.2, p.51, no.K615.

Seated, leaning forward, its head lowered and with two young climbing on its back, the wood rather worn and with a good patina.

A similar example was sold in these rooms, 10 November 2016, lot 257.





131



133



132

131

**AN EBONY NETSUKE OF A FROG**

By Seiyodo Bunshojo (1764-1838), Iwami Province, early 19th century  
Signed *Bunshojo chokoku*.  
6cm (2 3/8in) wide.

£4,000 - 5,000  
JPY580,000 - 730,000  
US\$5,500 - 6,900

黒檀彫根付 蓮葉に蛙 銘「文章女彫刻」  
19世紀前期

**Provenance:**

Gordon Fox collection.

**Published:**

Katchen, N7, vol.2, p.183, no.K625.

The frog seated atop a large folded lotus leaf, the arterial veins carved in relief and the elaborate minor veins carved in *ukibori*, the eyes inlaid in pale and dark horn.

For other examples by Bunshojo, see Davey, MTH, p.248, no.767, and Lazarnick, NIA, pp.319 and 322.

132

**A WOOD NETSUKE OF A TOAD ON A HALF-WALNUT**

By Matsuda Sukenaga, Takayama, Hida Province, 19th century  
Signed *Sukenaga*.  
3.8cm (1 1/2in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 胡桃に蝦蟇蛙 銘「亮長」  
19世紀

**Provenance:**

Roland Hartman gallery, New York, 1963.

**Published:**

Katchen, N7, vol.2, p.131, no.K619.

Resting on a half-walnut shell, facing ahead and with its head slightly raised, the well-toned wood stained for effect and the eyes of pale translucent horn with dark pupils.

For a very similar model by Sukenaga, see Coullery, Baur, no.C936. Another was sold at Sotheby's, London, 9 May 1973, lot 16.

133

**A WOOD NETSUKE OF A TOAD**

By Kokei, Kuwana, Ise Province, 19th century  
Signed *Kokei*.  
4.5cm (1 3/4in) wide.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

木彫根付 蝦蟇蛙 銘「虎溪」 19世紀

**Provenance:**

Joseph U. Seo, New York.

**Published:**

Katchen, N7, vol.2, p.99, no.K616.

The fat-bodied toad seated in a typical attitude, its head raised and facing ahead, its legs drawn in beneath, forming a compact composition in slightly worn and well-patinated wood.





134



136



135

134

**A STAG ANTLER NETSUKE OF A FROG**

By Ishikawa Rensai, Asakusa, Edo/Tokyo, late 19th century  
Signed in seal form *Ren*.  
4.5cm (1¾in) wide.

£4,000 - 5,000  
JPY580,000 - 730,000  
US\$5,500 - 6,900

鹿角彫根付 朝顔に蛙 銘「蓮」  
19世紀後期

**Provenance:**

Purchased in 1967.

**Published:**

Katchen, N7, vol.1, p.257, no.K612.

The frog climbing over the leaves and flower of morning glory, one bud and trailing stalks beneath, one of which forms the *himotoshi*.

135

**A WOOD NETSUKE OF A SALAMANDER**

Early 19th century  
*Unsigned*.  
3.8cm (1½in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 山椒魚 無銘 19世紀前期

**Provenance:**

Eskenazi Ltd., London.

**Published:**

Katchen, N7, vol.2, p.395, no.K607.

Climbing over the large section of a *hyotan* (double gourd), its head turned back to the right and its tail trailing over the side, the slightly worn wood with a good patina and the eyes inlaid.

**Marine and Aquatic**

136

**A STAG ANTLER AND SILVERED METAL NETSUKE OF A CRAB**

19th century  
*Unsigned*.  
5.4cm (2 1/8in) wide.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

鹿角彫・金工根付 蟹 無銘 19世紀

**Provenance:**

W. Guest collection.  
Henry Reiss collection.  
M. T. Hindson collection.

**Published:**

Davey, MTH, p.421, no.1255.  
Katchen, N7, vol.2, p.447, no.K537.

Of *kagamibuta* form, the silver-plated crab with extended legs forming a cover to a shaped stag-antler bowl, the single cord hole beneath with a carved petal surround.



137



138



139

137

**A WOOD NETSUKE OF A TORTOISE**

By Naokazu (Shoichi), 19th century  
Signed *Nanajuni o* (at the age of 72) *Naokazu (Shoichi) saku*.  
4.5cm (1¾in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 亀 銘「七十二翁 尚一作」  
19世紀

**Provenance:**

Hartman Gallery, New York, 1967.

**Published:**

Katchen, N7, vol.2, p.235, no.K463.

Fashioned in two sections, the carapace of mid-colour wood while the underside is of dark *kurogaki*, a central section of the shell removable and forms the *himotoshi*.

The maker appears to be unrecorded.

138<sup>Y</sup>

**A TORTOISESHELL NETSUKE OF A TORTOISE**

By Kiraku, Edo, 19th century  
Signed on a mother-of-pearl tablet *Kiraku*.  
3.8cm (1½in) wide.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

鼈甲彫根付 亀 銘「亀楽」 19世紀

**Provenance:**

Sold at Glendining & Co. Ltd., London, 1937.  
S. Marchant & Son, 1967.

**Published:**

Meinertzhagen, MCI, p.342 (not illustrated).  
Katchen, N7, vol.1, p.239, no.K459.

Carved from a very dark section of tortoiseshell, the head and legs almost completely enveloped within the shell.

139

**A WOOD NETSUKE OF AN OCTOPUS**

19th century  
*Unsigned*.  
5.1cm (2in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 蛸 無銘 19世紀

**Provenance:**

Jack Mang collection, purchased at Quinn's Auction Gallery, Virginia, U.S.A, December 2012, lot 234.

Standing on two tentacles in a human attitude, the other tentacles hanging around its body, the wood with a good patina.



140



141



142

140

**A WOOD NETSUKE OF AN OCTOPUS**

Early 19th century  
*Unsigned.*  
 4.8cm (1 7/8in) high.

£1,500 - 2,000  
 JPY220,000 - 290,000  
 US\$2,100 - 2,800

木彫根付 蛸 無銘 19世紀前期

**Provenance:**  
 Purchased 1964.

**Published:**  
 Katchen, N7, vol.2, p.389, no.K576.

Kneeling in a human attitude, resting on four tentacles, two forming arms at the sides and two rising up at the sides of the large head, the slightly worn wood with a fine patina and the pupils inlaid.

141

**A WOOD NETSUKE OF AN OCTOPUS**

By Rango, 19th century  
 Signed on an inlaid tablet *Rango.*  
 4.5cm (1 3/4in) high.

£2,000 - 2,500  
 JPY290,000 - 360,000  
 US\$2,800 - 3,400

木彫根付 蛸 銘「關午」 19世紀

**Provenance:**  
 Purchased in Berlin, 1959.

**Published:**  
 Katchen, N7, vol.2, p.241, no.K574.

The large-headed cephalopod facing ahead and resting on its curled tentacles, carved from dark reddish wood, the eyes inlaid in mother-of-pearl with dark pupils.

142

**A CERAMIC NETSUKE OF AN OCTOPUS**

By Teiji, 19th century  
 Signed *Teiji.*  
 4.5cm (1 3/4in) high.

£1,000 - 1,500  
 JPY150,000 - 220,000  
 US\$1,400 - 2,100

陶磁器根付 蛸 銘「貞二」 19世紀

**Provenance:**  
 Douglas J. K. Wright Ltd., London, 1963.

**Published:**  
 Katchen, N7, vol.2, p.47, no.K569.

The large-headed creature attempting to break out of a small *takotsubo* (octopus pot), two tentacles having broken through to one side, the head glazed white and the pot with a dry brown glaze.

For other examples of the subject by Teiji, see Arakawa, GO, p.135, no.265, Laura Muller, *Adornment in Clay, Ceramic Netsuke from the Richard R. Silverman Collection*, Toledo Museum of Art, Toledo, Ohio, 2010, p.45, no.36 and INSJ, vol.18, no.1, p.36, fig.1 and p.41, fig.6.



143

143 Y  
**A WOOD NETSUKE  
 OF A FUGU (PUFFER) FISH**

Late 18th century  
*Unsigned.*  
 5.4cm (2 1/8in) wide.

£800 - 1,000  
 JPY120,000 - 150,000  
 US\$1,100 - 1,400

木彫根付 河豚 無銘 18世紀後期

**Provenance:**  
 Purchased in 1966.

**Published:**  
 Katchen, N7, vol.2, p.389, no.K544.

Shown swimming, facing ahead, its stomach distended to ward off predators, its body partly carved with *ukibori* pimples, its eyes of silver and its beak inlaid with marine ivory.



144

144  
**A WOOD NETSUKE  
 OF A FUGU (PUFFER) FISH**

After Gessho, Edo, early 19th century  
*Inscribed Gessho.*  
 4.2cm (1 5/8in) wide.

£600 - 800  
 JPY87,000 - 120,000  
 US\$830 - 1,100

木彫根付 河豚 銘「月生」 19世紀前期

**Provenance:**  
 Purchased in Barcelona, 1959.

**Published:**  
 Katchen, N7, vol.1, p.253, no.K542.

Of simple round form, the fish having puffed itself up to deter predators, its tail curled around to the left for compactness, its upper body with *ukibori* pimples and its pupils inlaid.



145

145  
**A BOXWOOD NETSUKE  
 OF A FUGU (PUFFER) FISH**

By Michael Webb (1934-2011), Yorkshire, England, 1986  
*Signed MJW Carved at Cropton near York 1986 [...] LII (aged 52), 8 May.*  
 5.4cm (2 1/8in) wide.

£1,500 - 2,000  
 JPY220,000 - 290,000  
 US\$2,100 - 2,800

木彫根付 河豚 銘「MJW Carved at Cropton near York 1986 [...] LII 8 May」 1986年

**Provenance:**  
 Eskenazi Ltd., London.

**Published:**  
 Katchen, N7, vol.2, p.255, no.K914.

The large fish puffing itself up to deter predators, facing ahead and with its tail curled forward to the left for compactness, its body with a profusion of *ukibori* pimples, the wood variously stained and the eyes inlaid in shell with dark pupils.



146



147

146

**A STAG ANTLER NETSUKE OF A SARDINE**

19th Century

Unsigned.

18.7cm (7 3/8in) long.

£800 - 1,200

JPY120,000 - 170,000

US\$1,100 - 1,700

鹿角彫根付 鰯 無銘 19世紀

**Provenance:**

W. W. Winkworth collection, purchased 1960.

**Published:**

Katchen, N7, vol.2, p.309, no.K549.

Shown swimming, its body arched in a shallow crescent form, the large eyes inlaid and one fin forming the *himotoshi*.

147

**A DARK UMIMATSU SASHI NETSUKE OF TWO DRIED FISH**

19th century

Unsigned.

19cm (7 1/2in) long.

£1,000 - 1,200

JPY150,000 - 170,000

US\$1,400 - 1,700

海松彫差し根付 干魚 無銘 19世紀

**Provenance:**

Felix Tikotin collection, purchased 1967.

**Published:**

Katchen, N7, vol.2, p.391, no.K556.

Of differing size, resting side by side, their mouths open and their eyes inlaid with mother-of-pearl.



148



149



150

148

**A BAMBOO NETSUKE OF A CARP**

19th century

*Unsigned.*

9.5cm (3¾in) wide.

£600 - 800

JPY87,000 - 120,000

US\$830 - 1,100

竹彫根付 鯉 無銘

19世紀

**Published:**

Katchen, N7, vol.2, p.391, no.K541.

Of somewhat flattened crescent form, shown leaping, one fin inlaid in silver relief, one eye inlaid in mother-of-pearl with a dark pupil and the *himotoshi* formed by a silver ring on the reverse.

149<sup>Y</sup>

**A WOOD NETSUKE OF VENUS SHELLS**

By Masamitsu, 19th century

Signed *Masamitsu*.

3.5cm (1 3/8in) wide.

£1,000 - 1,500

JPY150,000 - 220,000

US\$1,400 - 2,100

木彫根付 浅蜷 銘「正光」

19世紀

**Provenance:**

Aoyama, Paris, purchased 1968.

**Published:**

Katchen, N7, vol.2, p.49, no.K587.

Fourteen small shells clustered together to form a compact composition, the tips mostly inlaid with marine ivory, the signature engraved with a distinctive flourish (see also lot 2).

For a very similar example by Masamitsu, see Davey, MTH, p.199, no.606.

150

**A MIXED-METAL NETSUKE OF SEA CREATURES**

Late 19th century

*Unsigned.*

5.1cm (2in) wide.

£1,000 - 1,500

JPY150,000 - 220,000

US\$1,400 - 2,100

金工根付 鮑に蛸壺 無銘 19世紀後期

**Provenance:**

Eskenazi Ltd., London, 1974.

**Published:**

Katchen, N7, vol.2, p.447, no.K578.

Two abalone shells of bronze, gilt and silvered metal, one containing a small octopus pot out of which slithers a small copper octopus, a branch of seaweed trailing to one side.





151



152



151

**A WOOD NETSUKE OF A NAMAKO (SEA SLUG)**

By Doraku, Osaka, 19th century  
Signed in a rectangular reserve *Doraku*.  
5.7cm (2 1/4in) long.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

木彫根付 海鼠 銘「道楽」 19世紀

**Provenance:**

Joseph U. Seo, New York, purchased 1967.

**Published:**

Katchen, N7, vol.1, p.167, no.K564.

The rough texture of the slug naturalistically rendered in the dark wood with distinctive high pimples on the upper side and stippling beneath.

For similar examples of *namako*, one by Ohara Mitsuhiro, a contemporary of Doraku working in Osaka, see Davey, MTH, pp.45/46, nos.99/100.

152

**A LARGE WOOD NETSUKE OF A SNAIL ON A MUSHROOM**

Late 18th/early 19th century  
*Unsigned*.  
7.3cm (2 7/8in) wide.

£4,000 - 6,000  
JPY580,000 - 870,000  
US\$5,500 - 8,300

木彫根付 茸に蝸牛 無銘 18世紀後期/19世紀前期

**Provenance:**

Purchased at Sotheby's, London, 1962.

**Published:**

Katchen, N7, vol.2, p.393, no.K638.

The mollusc slithering over the head of the large mushroom, its optic feelers extended and the thick fungoid stalk forming the *himotoshi*, the slightly worn wood with a good patina.





153

153  
**A WOOD NETSUKE OF TWO SNAILS ON A PUMPKIN**  
By Tadamichi, Nagoya, 19th century  
Signed with *ukibori* characters *Tadamichi*.  
3.8cm (1½in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 南瓜に蝸牛 銘「忠道」  
19世紀

**Provenance:**  
Wilensky, 1960.

**Published:**  
Katchen, N7, vol.2, p.53, no.K632.

The two snails, of differing size, slithering over the top of the vegetable, the wood slightly worn and of good tone.



154

154  
**A WOOD NETSUKE OF A SNAIL AND FUNGUS**  
Style of Tokoku, Tokyo, late 19th/early 20th century  
Inscribed on a gilt tablet *Tokoku*.  
4.5cm (1¾in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 茸に蝸牛 銘「東谷」  
19世紀後期/20世紀前期

**Provenance:**  
Hartman, New York.

**Published:**  
Katchen, N7, vol.1, p.265, no.K674.

The minute snail inlaid in buffalo horn, slithering over a group of a cluster of *reishi* fungi, naturalistically rendered in variously stained wood.

A similar example of the subject by Tokoku is illustrated in BAUR, p.299, no.C899.



155

## Insects

155  
**A WOOD NETSUKE OF A BEETLE**  
Style of Masanao of Yamada, Ise Province, 19th century  
*Unsigned*.  
3.8cm (1½in) wide.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

木彫根付 甲虫 無銘 19世紀

**Published:**  
Katchen, N7, vol.2, p.395, no.K588.

Clambering over a large curled leaf, a slender stalk beneath forming the *himotoshi*, the wood slightly worn and with a good patina.

A somewhat similar netsuke of a cicada on a leaf, signed *Masanao*, is illustrated in Harris, HGBM, p.91, no.444. Th work also shows affinities with that of Harumitsu of Ise, working in the latter part of the 19th century.



156

156

**AN EBONY NETSUKE OF A CICADA**

By Kanman, Iwami Province, early 19th century  
Signed *Iwami no kuni Kanman to* with a *kao*.  
7.3cm (2 7/8in) wide.

£2,500 - 3,000  
JPY360,000 - 440,000  
US\$3,400 - 4,100

木彫根付 蟬 銘「石見国貫満刀（花押）」 19世紀前期

**Published:**

Katchen, N7, vol.2, p.185, no.K596.

The large insect resting on a section of driftwood, its wings drawn in for compactness and its eyes inlaid.

The cicada was evidently one of Kanman's more popular subjects. For somewhat similar examples, see Lazarnick, NIA, p.588; and Earle, RSHIN, p.256, no.224.



157

157

**A WOOD NETSUKE OF A WASP IN A PEAR**

By Gekko, Edo, 19th century  
Signed in an oval reserve *Gekko*.  
5.1cm (2in) high.

£3,000 - 5,000  
JPY440,000 - 730,000  
US\$4,100 - 6,900

木彫根付 梨に雀蜂 銘「月江」 19世紀

**Provenance:**

Joseph Seo, New York, 1963.

**Published:**

Katchen, N7, vol.1, p.261, no.K606 (incorrectly described as by Gessho).

The large insect gnawing a large hole in one side of the fruit, the skin of which is covered with *ukibori* pimples and with three decayed holes on the reverse, two of which form the *himotoshi*.

For a discussion on the 'Wasp'; carvers, including Gekko, Kogetsu, Ichiminsai, Sangetsu and Gochiku, see Bushell, CN, pp.100-102.



## Flowers, Plants and Vegetables

158

### AN AMBER NETSUKE OF A CHRYSANTHEMUM BLOOM

By Kaigyokusai Masatsugu (1813-1892), Osaka, 19th century

Signed *Kaigyoku*.

4.5cm (1¾in) wide.

£10,000 - 15,000

JPY1,500,000 - 2,200,000

US\$14,000 - 21,000

琥珀彫根付 菊花 銘「懷玉」 19世紀

#### Provenance:

Albert Brockhaus collection.

Charles A. Greenfield collection.

P. S. Bradford collection.

Joseph Kurstin collection.

#### Published:

Albert Brockhaus, *Netsuke*, Leipzig, F. A Brockhaus, 1905/9, p.220.

Louise Ade Boger, *The Traditional Arts of Japan*, London,

W. H. Allen & Co., 1964, p.270.

Harold P. Stern, *The Magnificent Three: Lacquer, Netsuke and Tsuba: Selections from the Collections of Charles A. Greenfield Collection*, New York, Japan Society Inc., 1972, no.128, illustrated p.112.

Harold P. Stern, *Birds, Beasts, Blossoms and Bugs*,

Harold N. Abrams, New York, 1976, no.116.

Okada and Neill, *Real and Imaginary Beings, The Netsuke Collection of Joseph and Edith Kurstin*, New Haven, Yale University Art Gallery, 1980, p.107, no.113.

NKSJ, vol.5, no.3, 1985, p.32.

NKSJ, vol.15, no.3, Fall 1995, p.33 (Sydney L. Moss advert).

Meinertzhagen, MCI, p.298.

Katchen, N7, vol.1, p.181, no.K672.

The single bloom with tightly formed overlapping petals, curled in to form a compact composition in honey-coloured amber.



159



160



161

159 Y

**A WALRUS TUSK NETSUKE  
OF A FLOWER BASKET**

Asakusa, Edo/Tokyo, late 19th century  
Signed in seal form *Gyoku*.  
3.8cm (1½in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

セイウチ牙彫根付 花籠  
銘「玉（方印）」 19世紀後期

**Published:**  
Katchen, N7, vol.1, p.263, no.K921.

Of flattened and ribbed baluster form, filled with chrysanthemums which trail over the side.

The maker, signing with the single character in archaic form, may be Gyokumin, a fringe member of the Asakusa group.

160

**AN AMBER NETSUKE  
OF SIX DOUBLE GOURDS**

By Komin, Edo, late 19th century  
Signed *Komin* with a *kao*.  
4.8cm (1 7/8in) wide.

£1,500 - 2,000  
JPY220,000 - 290,000  
US\$2,100 - 2,800

琥珀彫根付 瓢箪 銘「光珉（花押）」  
19世紀後期

**Provenance:**  
George Cohen collection.

**Published:**  
Katchen, N7, vol.1, p.267, no.K663.

Of pale honey-coloured tone, the fruits clustered together and overlapping to form a compact composition with two large leaves on the reverse.

This appears to be the only recorded netsuke carved from amber made by Komin.

161 Y

**AN INLAID WOOD NETSUKE  
OF A GOURD**

Attributed to Shibayama Soichi,  
late 19th century  
Signed *So[.]*.  
4.2cm (1 5/8in) high.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

木彫象嵌根付 瓢箪 銘「宗[...]」  
19世紀後期

**Provenance:**  
Purchased at Hôtel Drouot, Paris, 1965.

**Published:**  
Katchen, N7, vol.1, p.265, no.K669.

Of lobed form, inlaid around the sides with a snail and large leaves in pewter, mother-of-pearl, horn and tortoiseshell, the cord holes ringed with bone.

The work is attributed to Shibayama Soichi, who made netsuke and *inro* in the late 19th century, the second *kanji* obliterated.



162

162  
**A STAG ANTLER NETSUKE  
OF A NATAMAME (SWORD BEAN)**  
19th century  
Signed in seal form possibly *Tsuno*.  
13cm (5 1/8in) long.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

鹿角彫根付 鉈豆 銘「角(推定)」  
19世紀

**Provenance:**  
Purchased in 1961.

**Published:**  
Katchen, N7, vol.1, p.267, no.K644.

The pod naturalistically bulging with the ripening beans within, the short stalk curled to form the *himotoshi*.



163

163  
**A WOOD NETSUKE  
OF EDAMAME (SOYBEANS)**  
By Masanao, Yamada, Ise Province,  
19th century  
Signed *Masanao*.  
4.5cm (1 3/4in) wide.

£700 - 800  
JPY100,000 - 120,000  
US\$970 - 1,100

木彫根付 枝豆 銘「正直」 19世紀

**Provenance:**  
Purchased in Prague, 1966.

**Published:**  
Katchen, N7, vol.2, p.151, no.K645.

The four fully grown pods resting with three young growths attached to a gnarled stalk which forms the *himotoshi*, the slightly worn wood with a good colour.

*Edamame* is a preparation of immature soybeans in the pod, which are boiled or steamed and served with salt.



164

164  
**A WOOD NETSUKE OF NUTS**  
By Masanao, Yamada, Ise Province,  
19th century  
Signed *Masanao*.  
3.8cm (1 1/2in) wide.

£800 - 1,200  
JPY120,000 - 170,000  
US\$1,100 - 1,700

木彫根付 銀杏 銘「正直」 19世紀

**Published:**  
Katchen, N7, Vol.2, p.151, no.K657.

Forming a compact composition with a natural *himotoshi*, the wood slightly worn and with a good patina.

A somewhat similar example is illustrated in Davey, MTH, p.223, no.685. Another, from the Betty Jahss collection, was sold at Sotheby's, London, 13 June 1991, lot 94.



165



166



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165

**A WOOD NETSUKE OF GINKGO NUTS**

By Sari, Iwashiro, 19th century  
Signed in an oval reserve *Sari*.  
3.5cm (1 3/8in) wide.

£600 - 800  
JPY87,000 - 120,000  
US\$830 - 1,100

木彫根付 銀杏 銘「左里」 19世紀

**Provenance:**

Joseph U. Seo, New York, purchased 1963.

**Published:**

Katchen, N7, vol.2, p.197, no.K658.

Comprising nine nuts of varying size clustered together to form a compact composition in slightly worn and well-patinated wood.

166

**A BOXWOOD NETSUKE OF MUSHROOMS**

By Juko (Toshimitsu), 19th century  
Signed *Juko (Toshimitsu)*.  
5cm (2in) wide.

£600 - 700  
JPY87,000 - 100,000  
US\$830 - 970

木彫根付 茸 銘「寿光」 19世紀

**Published:**

Katchen, N7, vol.2, p.57, no.K675.

Three fungi of differing size, joined at their stalks which form the *himotoshi*, the well-toned wood slightly worn.

The work bears similarities with that of various generations of the Masanao group, working in Yamada, Ise Province in the 19th century.

167

**A LACQUERED BAMBOO SINGLE BRUSH POT**

By Jikan Ganbun, Edo/Tokyo, late 19th century  
Signed *Ganbun saku*.  
9.2cm (3 5/8in) high.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

漆塗竹彫筆筒 竹節に蟻 銘「眼文作」  
19世紀後期

**Provenance:**

Eskenazi Ltd., London, 1969.

**Published:**

Katchen, N7, vol.2, p.185, no.K651.

The gnarled bamboo section lacquered black, the mouth lacquered gold and inlaid with several ants of *shakudo* and gilt metal.





## Mythological Beasts

168

### A WOOD NETSUKE OF A BAKU

Early 19th century

Unsigned.

5.1 cm (2in) wide.

£20,000 - 25,000

JPY2,900,000 - 3,600,000

US\$28,000 - 34,000

木彫根付 獺 無銘 19世紀前期

#### Provenance:

McTaggart collection.

#### Published:

Katchen, N7, vol.2, p.381, no.K355.

Walking with its head lowered, turned back to the left and its curly tail passing forward over the back to join the mane, the slightly worn wood with a fine patina.

The model is similar to an elephant or *baku* carved beneath the eaves of the Toshogu Shrine in Nikko which in turn was copied from drawings by Kano Tanyu (1602-1674).

Other examples of this model are in the collection of the Metropolitan Museum of Art, New York, the Peabody-Essex Museum, Salem, Massachusetts, the Musée d'Ennery, Paris and the Victoria and Albert Museum, London (ivory). Another, from the Harriet Szechenyi collection, is illustrated in Bandini, SON, p.18, no.30, and was sold in these rooms, 8 November 2011, lot 48.





169



170



169

**A WOOD NETSUKE OF A BAKU**

18th century

*Unsigned.*

4.8cm (1 7/8in) wide.

£1,200 - 1,500

JPY170,000 - 220,000

US\$1,700 - 2,100

木彫根付 獾 無銘 18世紀

**Provenance:**

Sydney L. Moss Ltd., London, 1963.

**Published:**

Katchen, N7, vol.2, p.383, no.K392.

Shown recumbent, turning back to the left, bearing the head of an elephant and the clawed feet of a *baku*, the whole forming a compact composition and the somewhat worn wood with a good patina.

170

**A WOOD NETSUKE OF A SHISHI**

18th century

*Unsigned.*

5.1cm (2in) high.

£5,000 - 7,000

JPY730,000 - 1,000,000

US\$6,900 - 9,600

木彫根付 獅子 無銘 18世紀

**Provenance:**

Purchased at Hôtel Drouot, Paris, 1965.

**Published:**

Katchen, N7, vol.2, p.379, no.K433.

Seated, its head turned back to the left as it bites one raised hind paw while its left forepaw rests on a small smooth ball, one hind leg forming the *himotoshi*, the slightly worn wood with a fine patina.



171



172

171

**AN EBONY SEAL NETSUKE OF A SHISHI**

19th century  
Inscribed *Tenko*.  
5.7cm (2 1/4in) wide.

£2,000 - 3,000  
JPY290,000 - 440,000  
US\$2,800 - 4,100

黒檀彫根付 印上獅子 銘「天工」 19世紀

**Provenance:**

W. W. Winkworth collection, purchased 1963.

**Published:**

Katchen, N7, vol.2, p.235, no.K443.

Standing on a rectangular seal base, its head lowered and turned to the left with a menacing expression, the eyes and teeth inlaid and the base carved beneath with formal seal characters.

Tenko may be the name of a maker or more likely an inscription, which translates as 'Heaven-gifted craftsman' (see Lazarnick's notation in Meinertzhagen, MCI, p.860).

172

**A WOOD NETSUKE OF A NUE**

19th century  
*Unsigned*.  
4.2cm (1 5/8in) wide.

£1,200 - 1,500  
JPY170,000 - 220,000  
US\$1,700 - 2,100

木彫根付 鶴 無銘 19世紀

**Provenance:**

Sydney L. Moss Ltd., London, 1963.

**Published:**

Katchen, N7, vol.2, p.382, no.K417.

Seated, its monkey-like head turned to the left, its body of a lion and its tail terminating with the head of a snake, curling forward over the back.



173



174



173

**A STAG ANTLER NETSUKE  
OF A MAKATSUGYO (DRAGON-FISH)**

Late 19th century

Unsigned.

6cm (2 3/8in) wide.

£700 - 800

JPY100,000 - 120,000

US\$970 - 1,100

鹿角彫根付 摩竭魚 無銘 19世紀後期

**Provenance:**

W. W. Winkworth collection, purchased 1960.

**Published:**

Katchen, N7, vol.2, p.263, no.K94.

The grotesque creature with wide open mouth containing a ball, its head and body covered with natural hair and its eyes inlaid.

174 Y

**A MARINE IVORY SEAL NETSUKE  
OF A SAIGYU (XINIU)**

18th century

Unsigned.

5.3cm (2 1/8in) high.

£800 - 1,200

JPY120,000 - 170,000

US\$1,100 - 1,700

海象牙彫印根付 犀牛 無銘 18世紀

**Provenance:**

Purchased in London, 1958.

**Published:**

Katchen, N7, vol.2, p.293, no.K448.

Seated on an oval base, its head turned back and one foreleg raised, a single *tensho* character carved in relief beneath, the somewhat worn ivory with a good patina.

An almost identical example from the W. L. Behrens collection is illustrated in Joly, LJA, opp.p.279, and in Weber, KH, vol.1, pl.XXIX, no.2.

The *saigyu* (Chinese *xiniu*) is a goat-like creature with horns, often regarded as one of the varied types of *kirin*.



175

175  
**A WOOD NETSUKE OF A KIRIN**  
 19th century  
*Unsigned.*  
 9.5cm (3 3/4in) high.

£2,000 - 3,000  
 JPY290,000 - 440,000  
 US\$2,800 - 4,100

木彫根付 麒麟 無銘 19世紀

**Provenance:**  
 Sydney L. Moss Ltd., London.

**Published:**  
 Katchen, N7, vol.2, p.385, no.K411.

Standing, its head raised and facing ahead, its hooves together and flames licking up the sides of its scaly body, the wood slightly worn and with a good patina.



176

176  
**A WOOD NETSUKE OF A KIRIN**  
 After Tomotada, Kyoto, 19th century  
*Inscribed Tomotada.*  
 10.2cm (4in) high.

£8,000 - 12,000  
 JPY1,200,000 - 1,700,000  
 US\$11,000 - 17,000

木彫根付 麒麟 銘「友忠」 19世紀

**Provenance:**  
 Purchased at Hôtel Drouot, Paris, via Eskenazi Ltd.

**Published:**  
 Katchen, N7, vol.1, p.73, no.K410.

Seated in a typical attitude, its head raised, its legs together and flames licking up both sides of its scaly body, the well-patinated wood slightly worn and the pupils inlaid.

The model, made by Tomotada and others from Kyoto generally in ivory, is illustrated among others in Davey, MTH, p.68, no.173, Meinertzhagen, MCI, pp.914-915, Lazarnick, NIA, p.1162 and Bushell, NH, p.187, no.194 (by Yoshimasa).



177

**A TALL WOOD NETSUKE  
OF THE GOD OF MOUNT SHITSUGOZAN**

Early 19th century

*Unsigned.*

14.5cm (5¾in) high.

£50,000 - 60,000

JPY7,300,000 - 8,700,000

US\$69,000 - 83,000

木彫根付 漆呉山神 無銘 19世紀前期

**Provenance:**

W. W. Winkworth collection.

M. T. Hindson collection.

**Published:**

Mark Hindson, *Collecting Netsuke, The Antique Collector*, London, July, 1946.

Mark Hindson, *Early Netsuke Carvers and the Hawk-eyed Collector, The Connoisseur*, London, November, 1965.

Davey, MTH, p.385, no.1156.

Katchen, N7, vol.2, p.377, no.K413.

The grimacing man-faced creature standing with one hand on its hip as it turns to the right, its scaly body with flames licking up its legs and its long tail trailing to one side.

The model is one that was made by Yoshimura Shuzan in painted wood, as illustrated in Inaba, *SK*, vol.7, pp.2-3, an example of which is illustrated in Earle, *NFR*, p.107, no.71.

The model has hitherto been believed to be the Shokuin which was first mentioned in the Chinese publication *Shan hai jing*, although the description is somewhat more benign than the present example. The God of Mount Shitsugoan (Chinese, *Qiwushan*) is described as having the torso of a dragon, scaly humanoid arms, chicken claws and flames.

For a very similar example, probably by the same hand, see Jirka-Shmitz, *TRUMPF*, pp.92-95.





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**A WOOD NETSUKE OF TENGU NO TAMAGO (HATCHING TENGU)**

By Masakazu, Gifu, Mino Province, 19th century  
Signed in an oval reserve *Masakazu*.  
4.2cm (1 5/8in) wide.

£3,000 - 5,000  
JPY440,000 - 730,000  
US\$4,100 - 6,900

木彫根付 天狗の卵 銘「正一」 19世紀

**Provenance:**

Marcel Lorber, London, 1959.

**Published:**

Katchen, N7, vol.2, p.119, no.K452.

The *tengu* struggling to release itself from the cracked shell, pulling one wing with its beak, the pale wood slightly worn and the eyes inlaid in pale translucent horn with dark pupils.

A very similar example is illustrated in Moss, ZBDC, no.67 and in Moss, MT, no.50, where it is stated that the model is derived from one by Naito Toyomasa, for an example of which, see Davey, MTH, p.241, no.745.

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**A WOOD NETSUKE OF A KAPPA**

By Hideharu, Nagoya, 19th century  
Signed in an elliptical reserve *Hideharu*.  
4.5cm (1 3/4in) wide.

£2,000 - 2,500  
JPY290,000 - 360,000  
US\$2,800 - 3,400

木彫根付 河童 銘「秀晴」 19世紀

**Provenance:**

Purchased at Sotheby's, London, 1965.

**Published:**

Katchen, N7, vol.2, p.35, no.K406.

Seated on a giant clam and trying to extricate one foot caught in the jaws of the shell, crisply carved in lightly stained wood, the eyes inlaid in pale translucent horn with dark pupils.

One of the more popular designs by Hideharu, one of which is illustrated with a line drawing in Meinertzhagen, MCI, p.136. A variation on this popular subject by Hideharu shows a mermaid in a similar distressed attitude (see Lazarnick, NIA, p.458).



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**Inro**

180  
**A YAMIMAKI-E (BLACK ON BLACK)  
LACQUER FIVE-CASE INRO**

19th century  
*Unsigned.*  
9.2cm (3 5/8in) high.

£1,000 - 1,500  
JPY150,000 - 220,000  
US\$1,400 - 2,100

龍図闇蒔絵印籠 無銘 19世紀

**Provenance:**  
Victor Rienaecker collection, no.50.

Of upright form, bearing a rich *roiro* ground, lacquered in black relief with two dragons in dispute amid swirling clouds, the interior of *nashiji* with *kinji* edges.



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181  
**A TSUIKOKU (CARVED BLACK  
LACQUER) FOUR-CASE INRO**

19th century  
*Unsigned.*  
8.9cm (3 1/2in) high.

£800 - 1,000  
JPY120,000 - 150,000  
US\$1,100 - 1,400

竹林七賢図堆黒印籠 無銘 19世紀

Carved in relief on the *rinzu* (diaper) ground with the seven Chinese scholars of the bamboo grove conversing beneath overhanging pine branches, with fallen bamboo leaves at their feet, the top and base similarly carved with chrysanthemums and the interior of black lacquer; with a gold-lacquered *ojime*.



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**A GOLD-LACQUER FOUR-CASE INRO**

19th century

Unsigned.

9.5cm (3 3/4in) high.

£2,000 - 3,000

JPY290,000 - 440,000

US\$2,800 - 4,100

海老図蒔絵印籠 無銘 19世紀

Of upright form, the shaded subdued *nashiji* ground lacquered with five langoustines among reeds in gold and silver *takamaki-e* and *togidashi*, the interior of matt gold lacquer.



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183 Y

**AN INLAID BLACK-LACQUER FIVE-CASE INRO**

By Jukakusai Hisataka, 19th century

Signed in seal form *Hisataka*.

8.9cm (3 1/2in) high.

£1,500 - 2,000

JPY220,000 - 290,000

US\$2,100 - 2,800

金魚図蒔絵象嵌印籠 銘「久孝」 19世紀

Bearing a *roiro* ground, lacquered in gold *takamaki-e* with swirling water and inlaid with two swimming goldfish in soapstone and tortoiseshell, the interior of *nashiji* with *kinji* edges.



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184  
**A BROWN-LACQUER FOUR-CASE INRO**  
 19th century  
*Unsigned.*  
 8.9cm (3½in) high.

£1,200 - 1,500  
 JPY170,000 - 220,000  
 US\$1,700 - 2,100

蟹図蒔絵印籠 無銘 19世紀

Bearing a rich, dark-brown-lacquer ground, decorated with a bold design of two large crabs, one climbing over the top, in dark grey *takamaki-e*, the interior of red lacquer with black-lacquer risers.

185  
**A GOLD-LACQUER THREE-CASE INRO**  
 Kajikawa family, 19th century  
 Signed *Kajikawa saku* with red pot seal *Ei*.  
 9.5cm (3¾in) high.

£2,000 - 3,000  
 JPY290,000 - 440,000  
 US\$2,800 - 4,100

伊勢海老図蒔絵印籠 銘「梶川作 英（壺印）」 19世紀

Of ribbed form, bearing a *nashiji* ground, decorated with a large lobster in red lacquer relief with a crab and scattered shellfish in gold *takamaki-e*, the interior of *nashiji* with *kinji* edges.





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186

**AN INLAID KIRI WOOD SINGLE-CASE INRO**

By Mochizuki Hanzan (1743-1790), 18th century

Signed in seal form *Hanzan*.

10.5cm (4 1/8in) high.

£1,200 - 1,500

JPY170,000 - 220,000

US\$1,700 - 2,100

海老図木製蒔絵印籠 銘「半山」 18世紀

Of upright form, inlaid in horn and ceramic with a continuous design of a large langoustine and scattered shell fish amid trailing aquatic plants of gold *takamaki-e*, the interior, containing a loose deep tray, of black lacquer with *kinji* edges.



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**A BLACK-LACQUER FOUR-CASE INRO**

By Arishima Chingi, 19th century

Signed *Chingi*.

9.5cm (3 3/4in) high.

£3,000 - 4,000

JPY440,000 - 580,000

US\$4,100 - 5,500

蛇図蒔絵印籠 銘「珍儀」 19世紀

Decorated on the *roiro* ground with a continuous design of a snake, slithering over itself and turning back in anger, its mouth open and its tongue protruding, lacquered brown, grey and black with red details, the interior of *nashiji* with matt grey lacquer edges; with a gilt metal *ojime* of a tightly coiled snake.



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**A WOOD TONKOTSU**

Style of Hasegawa Ikko, 19th century

Unsigned.

9.2cm (3 5/8in) wide.

£800 - 1,000

JPY120,000 - 150,000

US\$1,100 - 1,400

木彫とんこつ 無銘（長谷川一虎様式） 19世紀

Of straight-sided form, inlaid on one side in boxwood with two sparrows fighting in mid-air, and on the other with an ebony flying crow, their eyes of mother-of-pearl with dark pupils.

**END OF SALE**

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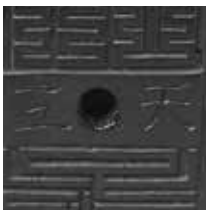
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Meiji era (1868-1912), late 19th century  
£30,000 – 40,000 \*

#### Provenance:

Purchased by the National Museum of Ethnology,  
Leiden in May 1896

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In most cases, an *Estimate* is printed beside the *Entry*. *Estimates* are only an expression of *Bonhams*' opinion made on behalf of the *Seller* of the range where *Bonhams* thinks the *Hammer Price* for the *Lot* is likely to fall; it is not an *Estimate* of value. It does not take into account any VAT or *Buyer's Premium* payable or any other fees payable by the *Buyer*, which are detailed in paragraph 7 of the *Notice to Bidders*, below. *Lots* can in fact sell for *Hammer Prices* below and above the *Estimate*. Any *Estimate* should not be relied on as an indication of the actual selling price or value of a *Lot*. *Estimates* are in the currency of the *Sale*.

#### **Condition Reports**

In respect of most *Lots*, you may ask for a *Condition Report* on its physical condition from *Bonhams*. If you do so, this will be provided by *Bonhams* on behalf of the *Seller* free of charge. *Bonhams* is not entering into a contract with you in respect of the *Condition Report* and accordingly does not assume responsibility to you in respect of it. Nor does the *Seller* owe or agree to owe you as a *Bidder* any obligation or duty in respect of this free report about a *Lot*, which is available for your own inspection or for inspection by an expert instructed by you. However, any written *Description* of the physical condition of the *Lot* contained in a *Condition Report* will form part of the *Contractual Description* of the *Lot* under which it is sold to any *Buyer*.

#### **The Seller's responsibility to you**

The *Seller* does not make or agree to make any representation of fact or contractual promise, *Guarantee* or warranty and undertakes no obligation or duty, whether in contract or in tort (other than to the eventual *Buyer* as set out above), in respect of the accuracy or completeness of any statement or representation made by him or on his behalf, which is in any way descriptive of any *Lot* or as to the anticipated or likely selling price of any *Lot*. Other than as set out above, no statement or representation in any way descriptive of a *Lot* or any *Estimate* is incorporated into any *Contract for Sale* between a *Seller* and a *Buyer*.

#### **Bonhams' responsibility to you**

You have the opportunity of examining the *Lot* if you want to and the *Contract for Sale* for a *Lot* is with the *Seller* and not with *Bonhams*; *Bonhams* acts as the *Seller's* agent only (unless *Bonhams* sells the *Lot* as principal).

*Bonhams* undertakes no obligation to you to examine, investigate or carry out any tests, either in sufficient depth or at all, on each *Lot* to establish the accuracy or otherwise of any *Descriptions* or opinions given by *Bonhams*, or by any person on *Bonhams*' behalf, whether in the *Catalogue* or elsewhere.

You should not suppose that such examinations, investigations or tests have occurred.

*Bonhams* does not make or agree to make any representation of fact, and undertakes no obligation or duty (whether in contract or tort) in respect of the accuracy or completeness of any statement or representation made by *Bonhams* or on *Bonhams*' behalf which is in any way descriptive of any *Lot*

or as to the anticipated or likely selling price of any *Lot*. No statement or representation by *Bonhams* or on its behalf in any way descriptive of any *Lot* or any *Estimate* is incorporated into our *Buyer's Agreement*.

#### **Alterations**

*Descriptions* and *Estimates* may be amended at *Bonhams*' discretion from time to time by notice given orally or in writing before or during a *Sale*.

THE *LOT* IS AVAILABLE FOR INSPECTION AND YOU MUST FORM YOUR OWN OPINION IN RELATION TO IT. YOU ARE STRONGLY ADVISED TO EXAMINE ANY *LOT* OR HAVE IT EXAMINED ON YOUR BEHALF BEFORE THE *SALE*.

### 4. CONDUCT OF THE SALE

Our *Sales* are public auctions which persons may attend and you should take the opportunity to do so. We do reserve the right at our sole discretion to refuse admission to our premises or to any *Sale* without stating a reason. We have complete discretion as to whether the *Sale* proceeds, whether any *Lot* is included in the *Sale*, the manner in which the *Sale* is conducted and we may offer *Lots for Sale* in any order we choose notwithstanding the numbers given to *Lots* in the *Catalogue*. You should therefore check the date and starting time of the *Sale*, whether there have been any withdrawals or late entries. Remember that withdrawals and late entries may affect the time at which a *Lot* you are interested in is put up for *Sale*. We have complete discretion to refuse any bid, to nominate any bidding increment we consider appropriate, to divide any *Lot*, to combine two or more *Lots*, to withdraw any *Lot* from a *Sale* and, before the *Sale* has been closed, to put up any *Lot* for auction again. Auction speeds can exceed 100 *Lots* to the hour and bidding increments are generally about 10%. However these do vary from *Sale* to *Sale* and from *Auctioneer* to *Auctioneer*. Please check with the department organising the *Sale* for advice on this. Where a *Reserve* has been applied to a *Lot*, the *Auctioneer* may, in his absolute discretion, place bids (up to an amount not equalling or exceeding such *Reserve*) on behalf of the *Seller*. We are not responsible to you in respect of the presence or absence of any *Reserve* in respect of any *Lot*. If there is a *Reserve* it will normally be no higher than the lower figure for any *Estimate* in the *Catalogue*, assuming that the currency of the *Reserve* has not fluctuated adversely against the currency of the *Estimate*. The *Buyer* will be the *Bidder* who makes the highest bid acceptable to the *Auctioneer* for any *Lot* (subject to any applicable *Reserve*) to whom the *Lot* is knocked down by the *Auctioneer* at the fall of the *Auctioneer's* hammer. Any dispute as to the highest acceptable bid will be settled by the *Auctioneer* in his absolute discretion. All bids tendered will relate to the actual *Lot* number announced by the *Auctioneer*. An electronic currency converter may be used at the *Sale*. This equipment is provided as a general guide as to the equivalent amount in certain currencies of a given bid. We do not accept any responsibility for any errors which may occur in the use of the currency converter. We may use video cameras to record the *Sale* and may record telephone calls for reasons of security and to assist in solving any disputes which may arise in relation to bids made at the *Sale*. At some *Sales*, for example, jewellery *Sales*, we may use screens on which images of the *Lots* will be projected. This service is provided to assist viewing at the *Sale*. The image on the screen should be treated as an indication only of the current *Lot*. It should be noted that all bids tendered will relate to the actual *Lot* number announced by the *Auctioneer*. We do not accept any responsibility for any errors which may occur in the use of the screen.

### 5. BIDDING

We do not accept bids from any person who has not completed and delivered to us one of our *Bidding Forms*, either our *Bidder Registration Form*, *Absentee Bidding Form* or *Telephone Bidding Form*. You will be asked for proof of identity, residence and references, which, when asked for, you must supply if your bids are to be accepted by us. Please bring your passport, driving licence (or similar photographic proof of identity) and proof of address. We may request a deposit from you before allowing you to bid. We may refuse entry to a *Sale* to any person even if that person has completed a *Bidding Form*.



## Bidding in person

You should come to our *Bidder* registration desk at the *Sale* venue and fill out a *Bidder* Registration Form on (or, if possible, before) the day of the *Sale*. The bidding number system is sometimes referred to as "paddle bidding". You will be issued with a large card (a "paddle") with a printed number on it. This will be attributed to you for the purposes of the *Sale*. Should you be a successful *Bidder* you will need to ensure that your number can be clearly seen by the *Auctioneer* and that it is your number which is identified as the *Buyer's*. You should not let anyone else use your paddle as all *Lots* will be invoiced to the name and address given on your *Bidder* Registration Form. Once an invoice is issued it will not be changed. If there is any doubt as to the *Hammer Price* of, or whether you are the successful *Bidder* of, a particular *Lot*, you must draw this to the attention of the *Auctioneer* before the next *Lot* is offered for *Sale*. At the end of the *Sale*, or when you have finished bidding please return your paddle to the *Bidder* registration desk.

## Bidding by telephone

If you wish to bid at the *Sale* by telephone, please complete a *Telephone Bidding Form*, which is available from our offices or in the *Catalogue*. Please then return it to the office responsible for the *Sale* at least 24 hours in advance of the *Sale*. It is your responsibility to check with our Bids Office that your bid has been received. Telephone calls will be recorded. The telephone bidding facility is a discretionary service and may not be available in relation to all *Lots*. We will not be responsible for bidding on your behalf if you are unavailable at the time of the *Sale* or if the telephone connection is interrupted during bidding. Please contact us for further details.

## Bidding by post or fax

Absentee *Bidding Forms* can be found in the back of this *Catalogue* and should be completed and sent to the office responsible for the *Sale*. It is in your interests to return your form as soon as possible, as if two or more *Bidders* submit identical bids for a *Lot*, the first bid received takes preference. In any event, all bids should be received at least 24 hours before the start of the *Sale*. Please check your Absentee *Bidding Form* carefully before returning it to us, fully completed and signed by you. It is your responsibility to check with our Bids Office that your bid has been received. This additional service is complimentary and is confidential. Such bids are made at your own risk and we cannot accept liability for our failure to receive and/or place any such bids. All bids made on your behalf will be made at the lowest level possible subject to *Reserves* and other bids made for the *Lot*. Where appropriate your bids will be rounded down to the nearest amount consistent with the *Auctioneer's* bidding increments. New *Bidders* must also provide proof of identity and address when submitting bids. Failure to do this will result in your bid not being placed.

## Bidding via the internet

Please visit our *Website* at <http://www.bonhams.com> for details of how to bid via the internet.

## Bidding through an agent

Bids will be accepted as placed on behalf of the person named as the principal on the *Bidding Form* although we may refuse to accept bids from an agent on behalf of a principal and will require written confirmation from the principal confirming the agent's authority to bid. Nevertheless, as the *Bidding Form* explains, any person placing a bid as agent on behalf of another (whether or not he has disclosed that fact or the identity of his principal) will be jointly and severally liable with the principal to the *Seller* and to *Bonhams* under any contract resulting from the acceptance of a bid. Subject to the above, please let us know if you are acting on behalf of another person when bidding for *Lots* at the *Sale*.

Equally, please let us know if you intend to nominate another person to bid on your behalf at the *Sale* unless this is to be carried out by us pursuant to a Telephone or Absentee *Bidding Form* that you have completed. If we do not approve the agency arrangements in writing before the *Sale*, we are entitled to assume that the person bidding at the *Sale* is bidding on his own behalf. Accordingly, the person bidding at the *Sale* will be the *Buyer* and will be liable to pay the *Hammer Price* and

*Buyer's Premium* and associated charges. If we approve the identity of your client in advance, we will be in a position to address the invoice to your principal rather than you. We will require proof of the agent's client's identity and residence in advance of any bids made by the agent on his behalf. Please refer to our *Conditions of Business* and contact our Customer Services Department for further details.

## 6. CONTRACTS BETWEEN THE BUYER AND SELLER AND THE BUYER AND BONHAMS

On the *Lot* being knocked down to the *Buyer*, a *Contract for Sale* of the *Lot* will be entered into between the *Seller* and the *Buyer* on the terms of the *Contract for Sale* set out in Appendix 1 at the back of the *Catalogue*. You will be liable to pay the *Purchase Price*, which is the *Hammer Price* plus any applicable *VAT*. At the same time, a separate contract is also entered into between us as *Auctioneers* and the *Buyer*. This is our *Buyer's Agreement*, the terms of which are set out in Appendix 2 at the back of the *Catalogue*. Please read the terms of the *Contract for Sale* and our *Buyer's Agreement* contained in the *Catalogue* in case you are the successful *Bidder*. We may change the terms of either or both of these agreements in advance of their being entered into, by setting out different terms in the *Catalogue* and/or by placing an insert in the *Catalogue* and/or by notices at the *Sale* venue and/or by oral announcements before and during the *Sale*. You should be alert to this possibility of changes and ask if there have been any.

## 7. BUYER'S PREMIUM AND OTHER CHARGES PAYABLE BY THE BUYER

Under the *Buyer's Agreement*, a premium (the *Buyer's Premium*) is payable to us by the *Buyer* in accordance with the terms of the *Buyer's Agreement* and at rates set out below, calculated by reference to the *Hammer Price* and payable in addition to it. For this *Sale* the following rates of *Buyer's Premium* will be payable by *Buyers* of *Lots*:

25% up to £175,000 of the *Hammer Price*  
20% from £175,001 to £3,000,000 of the *Hammer Price*  
12.5% from £3,000,001 of the *Hammer Price*

Storage and handling charges may also be payable by the *Buyer* as detailed on the specific *Sale* Information page at the front of the catalogue.

The *Buyer's Premium* and all other charges payable to us by the *Buyer* are subject to *VAT* at the prevailing rate, currently 20%.

*VAT* may also be payable on the *Hammer Price* of the *Lot*, where indicated by a symbol beside the *Lot* number. See paragraph 8 below for details.

On certain *Lots*, which will be marked "AR" in the *Catalogue* and which are sold for a *Hammer Price* of €1,000 or greater (converted into the currency of the *Sale* using the European Central Bank Reference rate prevailing on the date of the *Sale*), the *Additional Premium* will be payable to us by the *Buyer* to cover our *Expenses* relating to the payment of royalties under the Artists Resale Right Regulations 2006. The *Additional Premium* will be a percentage of the amount of the *Hammer Price* calculated in accordance with the table below, and shall not exceed €12,500 (converted into the currency of the *Sale* using the European Central Bank Reference rate prevailing on the date of the *Sale*).

<i>Hammer Price</i>	Percentage amount
From €0 to €50,000	4%
From €50,000.01 to €200,000	3%
From €200,000.01 to €350,000	1%
From €350,000.01 to €500,000	0.5%
Exceeding €500,000	0.25%

## 8. VAT

The prevailing rate of *VAT* at the time of going to press is 20%, but this is subject to government change and the rate payable will be the rate in force on the date of the *Sale*.

The following symbols, shown beside the *Lot* number, are used to denote that *VAT* is due on the *Hammer Price* and *Buyer's Premium*:

- † *VAT* at the prevailing rate on *Hammer Price* and *Buyer's Premium*
- Ω *VAT* on imported items at the prevailing rate on *Hammer Price* and *Buyer's Premium*
- \* *VAT* on imported items at a preferential rate of 5% on *Hammer Price* and the prevailing rate on *Buyer's Premium*
- G Gold bullion exempt from *VAT* on the *Hammer Price* and subject to *VAT* at the prevailing rate on the *Buyer's Premium*
- Zero rated for *VAT*, no *VAT* will be added to the *Hammer Price* or the *Buyer's Premium*
- α *Buyers* from within the EU: *VAT* is payable at the prevailing rate on just the *Buyer's Premium* (NOT the *Hammer Price*). *Buyers* from outside the EU: *VAT* is payable at the prevailing rate on both *Hammer Price* and *Buyer's Premium*. If a *Buyer*, having registered under a non-EU address, decides that the item is not to be exported from the EU, then he should advise *Bonhams* immediately.

In all other instances no *VAT* will be charged on the *Hammer Price*, but *VAT* at the prevailing rate will be added to *Buyer's Premium* which will be invoiced on a *VAT* inclusive basis.

## 9. PAYMENT

It is of critical importance that you ensure that you have readily available funds to pay the *Purchase Price* and the *Buyer's Premium* (plus *VAT* and any other charges and *Expenses* to us) in full before making a bid for the *Lot*. If you are a successful *Bidder*, payment will be due to us by 4.30 pm on the second working day after the *Sale* so that all sums are cleared by the eighth working day after the *Sale*. Payments made by anyone other than the registered *Buyer* will not be accepted. *Bonhams* reserves the right to vary the terms of payment at any time.

### Bonhams' preferred payment method is by bank transfer.

You may electronically transfer funds to our *Trust Account*. If you do so, please quote your paddle number and invoice number as the reference. Our *Trust Account* details are as follows:

Bank: National Westminster Bank Plc  
Address: PO Box 4RY  
250 Regent Street  
London W1A 4RY  
Account Name: Bonhams 1793 Limited Trust Account  
Account Number: 25563009  
Sort Code: 56-00-27  
IBAN Number: GB 33 NWBK 560027 25563009

If paying by bank transfer, the amount received after the deduction of any bank fees and/or conversion of the currency of payment to pounds sterling must not be less than the sterling amount payable, as set out on the invoice.

Payment may also be made by one of the following methods:

**Sterling personal cheque drawn on a UK branch of a bank or building society:** all cheques must be cleared before you can collect your purchases and should be made payable to Bonhams 1793 Limited.

**Cash:** you may pay for *Lots* purchased by you at this *Sale* with notes or coins in the currency in which the *Sale* is conducted (but not any other currency) provided that the total amount payable by you in respect of all *Lots* purchased by you at the *Sale* does not exceed £3,000, or the equivalent in the currency in which the *Sale* is conducted, at the time when payment is made. If the amount payable by you for *Lots* exceeds that sum, the balance must be paid otherwise than in coins or notes; this limit applies to both payment at our premises and direct deposit into our bank account.

**Debit cards (including China Union Pay (CUP) cards and debit cards issued by Visa and MasterCard only).** There is no limit on payment value if payment is made in person using Chip & Pin verification.

**Payment by telephone** may also be accepted up to £5,000, subject to appropriate verification procedures, although this facility is not available for first time buyers. If the amount payable by you for *Lots* exceeds that sum, the balance must be paid by other means.

**Credit cards (including China Union Pay (CUP) cards and credit cards issued by Visa and MasterCard only).** There is a £5,000 limit on payment value if payment is made in person using Chip & Pin verification.

It may be advisable to notify your debit or credit card provider of your intended purchase in advance to reduce delays caused by us having to seek authority when you come to pay.

**Note: only one debit or credit card may be used for payment of an account balance.** If you have any questions with regards to card payments, please contact our Customer Services Department.

## 10. COLLECTION AND STORAGE

The *Buyer* of a *Lot* will not be allowed to collect it until payment in full and in cleared funds has been made (unless we have made a special arrangement with the *Buyer*). For collection and removal of purchased *Lots*, please refer to *Sale* Information at the front of the *Catalogue*. Our offices are open 9.00am – 5pm Monday to Friday. Details relating to the collection of a *Lot*, the storage of a *Lot* and our *Storage Contractor* after the *Sale* are set out in the *Catalogue*.

## 11. SHIPPING

For information and estimates on domestic and international shipping as well as export licenses please contact Alban Shipping on +44 (0) 1582 493 099 enquiries@albanshipping.co.uk

## 12. EXPORT/TRADE RESTRICTIONS

It is your sole responsibility to comply with all export and import regulations relating to your purchases and also to obtain any relevant export and/or import licence(s). Export licences are issued by Arts Council England and application forms can be obtained from its Export Licensing Unit. The detailed provisions of the export licencing arrangements can be found on the ACE website <http://www.artscouncil.org.uk/what-we-do/supporting-museums/cultural-property/export-controls/export-licensing/> or by phoning ACE on +44 (0)20 7973 5188. The need for import licences varies from country to country and you should acquaint yourself with all relevant local requirements and provisions. The refusal of any import or export licence(s) or any delay in obtaining such licence(s) shall not permit the rescission of any *Sale* nor allow any delay in making full payment for the *Lot*. Generally, please contact our shipping department before the *Sale* if you require assistance in relation to export regulations.

## 13. CITES REGULATIONS

Please be aware that all *Lots* marked with the symbol Y are subject to CITES regulations when exporting these items outside the EU. These regulations may be found at <http://www.defra.gov.uk/ahvla-en/imports-exports/cites/> or may be requested from:

Animal Health and Veterinary Laboratories Agency (AHVLA)  
Wildlife Licencing  
Floor 1, Zone 17, Temple Quay House  
2 The Square, Temple Quay  
BRISTOL BS1 6EB  
Tel: +44 (0) 117 372 8774

## 14. THE SELLERS AND/OR BONHAMS' LIABILITY

Other than any liability of the *Seller* to the *Buyer* of a *Lot* under the *Contract for Sale*, neither we nor the *Seller* are liable (whether in negligence or otherwise) for any error or misdescription or omission in any *Description* of a *Lot* or any *Estimate* in respect of it, whether contained in the *Catalogue* or

otherwise, whether given orally or in writing and whether given before or during the *Sale*. Neither we nor the *Seller* will be liable for any loss of *Business*, profits, revenue or income, or for loss of reputation, or for disruption to *Business* or wasted time on the part of management or staff, or for indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract (if any) or statutory duty, restitutionary claim or otherwise. In any circumstances where we and/or the *Seller* are liable in relation to any *Lot* or any *Description* or *Estimate* made of any *Lot*, or the conduct of any *Sale* in relation to any *Lot*, whether in damages, for an indemnity or contribution, or for a restitutionary remedy or otherwise, our and/or the *Seller's* liability (combined, if both we and the *Seller* are liable) will be limited to payment of a sum which will not exceed by way of maximum the amount of the *Purchase Price* of the *Lot* irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from any negligence, other tort, breach of contract (if any) or statutory duty or otherwise. Nothing set out above will be construed as excluding or restricting (whether directly or indirectly) our liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by our negligence (or by the negligence of any person under our control or for whom we are legally responsible), or (iii) acts or omissions for which we are liable under the Occupiers Liability Act 1957, or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law or (v) our undertakings under paragraphs 9 (in relation to specialist *Stamp* or *Book Sales* only) and 10 of the *Buyer's Agreement*. The same applies in respect of the *Seller*, as if references to us in this paragraph were substituted with references to the *Seller*.

## 15. BOOKS

As stated above, all *Lots* are sold on an "as is" basis, subject to all faults, imperfections and errors of *Description* save as set out below. However, you will be entitled to reject a *Book* in the circumstances set out in paragraph 11 of the *Buyers Agreement*. Please note that *Lots* comprising printed *Books*, unframed maps and bound manuscripts are not liable to VAT on the *Buyer's Premium*.

## 16. CLOCKS AND WATCHES

All *Lots* are sold "as is", and the absence of any reference to the condition of a clock or watch does not imply that the *Lot* is in good condition and without defects, repairs or restorations. Most clocks and watches have been repaired in the course of their normal lifetime and may now incorporate parts not original to them. Furthermore, *Bonhams* makes no representation or warranty that any clock or watch is in working order. As clocks and watches often contain fine and complex mechanisms, *Bidders* should be aware that a general service, change of battery or further repair work, for which the *Buyer* is solely responsible, may be necessary. *Bidders* should be aware that the importation of watches such as Rolex, Frank Muller and Corum into the United States is highly restricted. These watches may not be shipped to the USA and can only be imported personally.

## 17. FIREARMS – PROOF, CONDITION AND CERTIFICATION

### Proof of Firearms

The term "proof exemption" indicates that a firearm has been examined at a Proof House, but not proved, as either (a) it was deemed of interest and not intended for use, or (b) ammunition was not available. In either case, the firearm must be regarded as unsafe to fire unless subsequently proved. Firearms proved for Black Powder should not be used with smokeless ammunition.

The term "Certificate of Unprovability" indicates that a firearm has been examined at a Proof House and is deemed both unsuitable for proof and use. Reproof is required before any such firearm is to be used.

### Guns Sold as Parts

Barrels of guns sold as parts will only be made available for

sleeving and measurements once rendered unserviceable according to the Gun Barrel Proof Act of 1968 to 1978 and the Rules of Proof.

## Condition of Firearms

Comment in this *Catalogue* is restricted, in general, to exceptional condition and to those defects that might affect the immediate safety of a firearm in normal use. An intending *Bidder* unable to make technical examinations and assessments is recommended to seek advice from a gunmaker or from a modern firearms specialist. All prospective *Bidders* are advised to consult the ° of bore and wall-thickness measurements posted in the saleroom and available from the department. *Bidders* should note that guns are stripped only where there is a strong indication of a mechanical malfunction. Stripping is not, otherwise, undertaken. Guns intended for use should be stripped and cleaned beforehand. Hammer guns should have their rebound mechanisms checked before use. The safety mechanisms of all guns must be tested before use. All measurements are approximate.

## Original Gun Specifications Derived from Gunmakers

The Sporting Gun Department endeavours to confirm a gun's original specification and date of manufacture with makers who hold their original records.

## Licensing Requirements Firearms Act 1968 as amended

*Bonhams* is constantly reviewing its procedures and would remind you that, in the case of firearms or shotguns subject to certification, to conform with current legislation, *Bonhams* is required to see, as appropriate, your original registered firearms dealer's certificate / shot gun certificate / firearm certificate / museum firearms licence / Section 5 authority or import licence (or details of any exemption from which you may benefit, for instance Crown servant status) for the firearm(s) you have purchased prior to taking full payment of the amount shown on your invoice. Should you not already be in possession of such an authority or exemption, you are required to initially pay a deposit of 95% of the total invoice with the balance of 5% payable on presentation of your valid certificate or licence showing your authority to hold the firearm(s) concerned.

Please be advised that if a successful *Bidder* is then unable to produce the correct paperwork, the *Lot(s)* will be reoffered by *Bonhams* in the next appropriate *Sale*, on standard terms for *Sellers*, and you will be responsible for any loss incurred by *Bonhams* on the original *Sale* to you.

In the case of RFD certificates and Section 5 authorities, we wish to keep an up-to-date copy on file. Please supply us with a Fax or photocopy. It would be helpful if you could send us an updated copy whenever your certificate or authority is renewed or changed.

*Lots* marked 'S1' and bearing red labels are Section 1 firearms and require a valid British Firearms certificate, RFD Licence or import licence.

*Lots* marked 'S2' and bearing blue labels are Section 2 firearms and require a valid British Shotgun certificate, RFD licence or import licence.

*Lots* marked 'S5' and bearing specially marked red labels are Section 5 prohibited firearms and require a valid Section 5 Authority or import licence.

*Lots* marked with a 'S58' and bearing yellow labels are for obsolete calibres and no licence is required unless ammunition is held.

Unmarked *Lots* require no licence.

Please do not hesitate to contact the Modern Sporting Gun Department should you have any queries.

## Taxidermy and Related Items

As a *Seller* of these articles, *Bonhams* undertakes to comply fully with Cites and DEFRA regulations. *Buyers* are advised to inform themselves of all such regulations and should expect the exportation of items to take some time to arrange.



## 18. FURNITURE

### Upholstered Furniture

Whilst we take every care in cataloguing furniture which has been upholstered we offer no *Guarantee* as to the originality of the wood covered by fabric or upholstery.

## 19. JEWELLERY

### Gemstones

Historically many gemstones have been subjected to a variety of treatments to enhance their appearance. Sapphires and rubies are routinely heat treated to improve their colour and clarity, similarly emeralds are frequently treated with oils or resin for the same purpose. Other treatments such as staining, irradiation or coating may have been used on other gemstones. These treatments may be permanent, whilst others may need special care or re-treatment over the years to retain their appearance. *Bidders* should be aware that *Estimates* assume that gemstones may have been subjected to such treatments. A number of laboratories issue certificates that give more detailed *Descriptions* of gemstones. However there may not be consensus between different laboratories on the degrees, or types of treatment for any particular gemstone. In the event that *Bonhams* has been given or has obtained certificates for any *Lot* in the *Sale* these certificates will be disclosed in the *Catalogue*. Although, as a matter of policy, *Bonhams* endeavours to provide certificates from recognised laboratories for certain gemstones, it is not feasible to obtain certificates for each *Lot*. In the event that no certificate is published in the *Catalogue*, *Bidders* should assume that the gemstones may have been treated. Neither *Bonhams* nor the *Seller* accepts any liability for contradictions or differing certificates obtained by *Buyers* on any *Lots* subsequent to the *Sale*.

### Estimated Weights

If a stone(s) weight appears within the body of the *Description* in capital letters, the stone(s) has been unmounted and weighed by *Bonhams*. If the weight of the stone(s) is stated to be approximate and does not appear in capital letters, the stone(s) has been assessed by us within its/their settings, and the stated weight is a statement of our opinion only. This information is given as a guide and *Bidders* should satisfy themselves with regard to this information as to its accuracy.

### Signatures

#### 1. A diamond brooch, by Kutchinsky

When the maker's name appears in the title, in *Bonhams'* opinion the piece is by that maker.

#### 2. A diamond brooch, signed Kutchinsky

Has a signature that, in *Bonhams'* opinion, is authentic but may contain gemstones that are not original, or the piece may have been altered.

#### 3. A diamond brooch, mounted by Kutchinsky

Has been created by the jeweller, in *Bonhams'* opinion, but using stones or designs supplied by the client.

## 20. PHOTOGRAPHS

### Explanation of Catalogue Terms

- "Bill Brandt": in our opinion a work by the artist.
- "Attributed to Bill Brandt": in our opinion probably a work by the artist, but less certainty to authorship is expressed than in the preceding category.
- "Signed and/or titled and/or dated and/or inscribed": in our opinion the signature and/or title and/or date and/or inscription are in the artist's hand.
- "Signed and/or titled and/or dated and/or inscribed in another hand": in our opinion the signature and/or title and/or date and/or inscription have been added by another hand.
- The date given is that of the image (negative). Where no further date is given, this indicates that the photographic print is vintage (the term "vintage" may also be included in the *Lot Description*). A vintage photograph is one which was made within approximately 5-10 years of the negative. Where a second, later date appears, this refers to the date of printing. Where the exact printing date is not known, but understood to be later, "printed later" will appear in the *Lot Description*.

- Unless otherwise specified, dimensions given are those of the piece of paper on which the image is printed, including any margins. Some photographs may appear in the *Catalogue* without margins illustrated.
- All photographs are sold unframed unless stated in the *Lot Description*.

## 21. PICTURES

### Explanation of Catalogue Terms

The following terms used in the *Catalogue* have the following meanings but are subject to the general provisions relating to *Descriptions* contained in the *Contract for Sale*:

- "Jacopo Bassano": in our opinion a work by the artist. When the artist's forename(s) is not known, a series of asterisks, followed by the surname of the artist, whether preceded by an initial or not, indicates that in our opinion the work is by the artist named;
- "Attributed to Jacopo Bassano": in our opinion probably a work by the artist but less certainty as to authorship is expressed than in the preceding category;
- "Studio/Workshop of Jacopo Bassano": in our opinion a work by an unknown hand in a studio of the artist which may or may not have been executed under the artist's direction;
- "Circle of Jacopo Bassano": in our opinion a work by a hand closely associated with a named artist but not necessarily his pupil;
- "Follower of Jacopo Bassano": in our opinion a work by a painter working in the artist's style, contemporary or nearly contemporary, but not necessarily his pupil;
- "Manner of Jacopo Bassano": in our opinion a work in the style of the artist and of a later date;
- "After Jacopo Bassano": in our opinion, a copy of a known work of the artist;
- "Signed and/or dated and/or inscribed": in our opinion the signature and/or date and/or inscription are from the hand of the artist;
- "Bears a signature and/or date and/or inscription": in our opinion the signature and/or date and/or inscription have been added by another hand.

## 22. PORCELAIN AND GLASS

### Damage and Restoration

For your guidance, in our *Catalogues* we detail, as far as practicable, recorded all significant defects, cracks and restoration. Such practicable *Descriptions* of damage cannot be definitive, and in providing *Condition Reports*, we cannot *Guarantee* that there are no other defects present which have not been mentioned. *Bidders* should satisfy themselves by inspection, as to the condition of each *Lot*. Please see the *Contract for Sale* printed in this *Catalogue*. Because of the difficulty in determining whether an item of glass has been repolished, in our *Catalogues* reference is only made to visible chips and cracks. No mention is made of repolishing, severe or otherwise.

## 23. VEHICLES

### The Veteran Car Club of Great Britain

#### Dating Plates and Certificates

When mention is made of a Veteran Car Club Dating Plate or Dating Certificate in this *Catalogue*, it should be borne in mind that the Veteran Car Club of Great Britain using the services of Veteran Car Company Ltd, does from time to time, review cars already dated and, in some instances, where fresh evidence becomes available, the review can result in an alteration of date. Whilst the Club and Veteran Car Company Ltd make every effort to ensure accuracy, the date shown on the Dating Plate or Dating Certificate cannot be guaranteed as correct and intending purchasers should make their own enquiries as to the date of the car.

## 24. WINE

*Lots* which are lying under Bond and those liable to VAT may not be available for immediate collection.

### Examining the wines

It is occasionally possible to provide a pre-*Sale* tasting for larger parcels (as defined below). This is generally limited to more recent and everyday drinking wines. Please contact the department for details.

It is not our policy to inspect every unopened case. In the case of wines older than 20 years the boxes will usually have been opened and levels and appearance noted in the *Catalogue* where necessary. You should make proper allowance for variations in ullage levels and conditions of corks, capsules and labels.

### Corks and Ullages

Ullage refers to the space between the base of the cork and the wine. Ullage levels for Bordeaux shaped bottles are only normally noted when below the neck and for Burgundy, Alsace, German and Cognac shaped bottles when greater than 4 centimetres (cm). Acceptable ullage levels increase with age; generally acceptable levels are as follows:

Under 15 years old – into neck or less than 4cm  
15 to 30 years old – top shoulder (ts) or up to 5cm  
Over 30 years old – high shoulder (hs) or up to 6cm

It should be noted that ullages may change between publication of the *Catalogue* and the *Sale* and that corks may fail as a result of transporting the wine. We will only accept responsibility for *Descriptions* of condition at the time of publication of the *Catalogue* and cannot accept responsibility for any loss resulting from failure of corks either before or after this point.

### Options to buy parcels

A parcel is a number of *Lots* of identical size of the same wine, bottle size and *Description*. The *Buyer* of any of these *Lots* has the option to accept some or all of the remaining *Lots* in the parcel at the same price, although such options will be at the *Auctioneer's* sole discretion. Absentee *Bidders* are, therefore, advised to bid on the first *Lot* in a parcel.

### Wines in Bond

Wines lying in Bond are marked Δ. All *Lots* sold under Bond, and which the *Buyer* wishes to remain under Bond, will be invoiced without VAT or Duty on the *Hammer Price*. If the *Buyer* wishes to take the *Lot* as Duty paid, UK Excise Duty and VAT will be added to the *Hammer Price* on the invoice.

*Buyers* must notify *Bonhams* at the time of the *sale* whether they wish to take their wines under Bond or Duty paid. If a *Lot* is taken under Bond, the *Buyer* will be responsible for all VAT, Duty, clearance and other charges that may be payable thereon.

*Buyers* outside the UK must be aware that any forwarding agent appointed to export their purchases must have a movement certificate for *Lots* to be released under Bond.

### Bottling Details and Case Terms

The following terms used in the *Catalogue* have the following meanings:

CB – Château bottled  
DB – Domaine bottled  
EstB – Estate bottled  
BB – Bordeaux bottled  
BE – Belgian bottled  
FB – French bottled  
GB – German bottled  
OB – Oporto bottled  
UK – United Kingdom bottled  
owc – original wooden case  
iwc – individual wooden case  
oc – original carton

## SYMBOLS

### THE FOLLOWING SYMBOLS ARE USED TO DENOTE

- Y Subject to CITES regulations when exporting these items outside the EU, see clause 13.
- TP Objects displayed with a TP will be located at the Cadogan Tate warehouse and will only be available for collection from this location.
- W Objects displayed with a w will be located in the Bonhams Warehouse and will only be available for collection from this location.
- Δ Wines lying in Bond.
- AR An *Additional Premium* will be payable to us by the *Buyer* to cover our *Expenses* relating to payment of royalties under the Artists Resale Right Regulations 2006. See clause 7 for details.
- The *Seller* has been guaranteed a minimum price for the *Lot*, either by *Bonhams* or a third party. This may take the form of an irrevocable bid by a third party, who may make a financial gain on a successful *Sale* or a financial loss if unsuccessful.
- ▲ *Bonhams* owns the *Lot* either wholly or partially or may otherwise have an economic interest.
- Ⓞ This lot contains or is made of ivory. The United States Government has banned the import of ivory into the USA.

•, †, \*, G, Ω, α see clause 8, VAT, for details.

## DATA PROTECTION – USE OF YOUR INFORMATION

Where we obtain any personal information about you, we shall only use it in accordance with the terms of our Privacy Policy (subject to any additional specific consent(s) you may have given at the time your information was disclosed). A copy of our Privacy Policy can be found on our Website [www.bonhams.com](http://www.bonhams.com) or requested by post from Customer Services Department, 101 New Bond Street, London, W1S 1SR or by email from [info@bonhams.com](mailto:info@bonhams.com)

## APPENDIX 1

### CONTRACT FOR SALE

**IMPORTANT:** These terms may be changed in advance of the *Sale* of the *Lot* to you, by the setting out of different terms in the *Catalogue* for the *Sale* and/or by placing an insert in the *Catalogue* and/or by notices at the *Sale* venue and/or by oral announcements before and during the *Sale* at the *Sale* venue. You should be alert to this possibility of changes and ask in advance of bidding if there have been any.

Under this contract the *Seller's* liability in respect of the quality of the *Lot*, its fitness for any purpose and its conformity with any *Description* is limited. You are strongly advised to examine the *Lot* for yourself and/or obtain an independent examination of it before you buy it.

#### 1 THE CONTRACT

- 1.1 These terms govern the *Contract for Sale* of the *Lot* by the *Seller* to the *Buyer*.
- 1.2 The Definitions and Glossary contained in Appendix 3 in the *Catalogue* are incorporated into this *Contract for Sale* and a separate copy can also be provided by *Bonhams* on request. Where words and phrases are used which are in the List of Definitions, they are printed in italics.
- 1.3 The *Seller* sells the *Lot* as the principal to the *Contract for Sale*, such contract being made between the *Seller* and you through *Bonhams* which acts in the sole capacity as the *Seller's* agent and not as an additional principal. However, if the *Catalogue* states that *Bonhams* sells the *Lot* as principal, or such a statement is made by an announcement by the *Auctioneer*, or by a notice at the *Sale*, or an insert in the *Catalogue*, then *Bonhams* is the *Seller* for the purposes of this agreement.

- 1.4 The contract is made on the fall of the *Auctioneer's* hammer in respect of the *Lot* when it is knocked down to you.

#### 2 SELLER'S UNDERTAKINGS

- 2.1 The *Seller* undertakes to you that:
- 2.1.1 the *Seller* is the owner of the *Lot* or is duly authorised to sell the *Lot* by the owner;
- 2.1.2 save as disclosed in the *Entry* for the *Lot* in the *Catalogue*, the *Seller* sells the *Lot* with full title guarantee or, where the *Seller* is an executor, trustee, liquidator, receiver or administrator, with whatever right, title or interest he may have in the *Lot*;
- 2.1.3 except where the *Sale* is by an executor, trustee, liquidator, receiver or administrator the *Seller* is both legally entitled to sell the *Lot*, and legally capable of conferring on you quiet possession of the *Lot* and that the *Sale* conforms in every respect with the terms implied by the *Sale* of Goods Act 1979, Sections 12(1) and 12(2) (see the Definitions and Glossary);
- 2.1.4 the *Seller* has complied with all requirements, legal or otherwise, relating to any export or import of the *Lot*, and all duties and taxes in respect of the export or import of the *Lot* have (unless stated to the contrary in the *Catalogue* or announced by the *Auctioneer*) been paid and, so far as the *Seller* is aware, all third parties have complied with such requirements in the past;
- 2.1.5 subject to any alterations expressly identified as such made by announcement or notice at the *Sale* venue or by the *Notice to Bidders* or by an insert in the *Catalogue*, the *Lot* corresponds with the *Contractual Description* of the *Lot*, being that part of the *Entry* about the *Lot* in the *Catalogue* which is in bold letters and (except for colour) with any photograph of the *Lot* in the *Catalogue* and the contents of any *Condition Report* which has been provided to the *Buyer*.

#### 3 DESCRIPTIONS OF THE LOT

- 3.1 Paragraph 2.1.5 sets out what is the *Contractual Description* of the *Lot*. In particular, the *Lot* is not sold as corresponding with that part of the *Entry* in the *Catalogue* which is not printed in bold letters, which merely sets out (on the *Seller's* behalf) *Bonhams'* opinion about the *Lot* and which is not part of the *Contractual Description* upon which the *Lot* is sold. Any statement or representation other than that part of the *Entry* referred to in paragraph 2.1.5 (together with any express alteration to it as referred to in paragraph 2.1.5), including any *Description* or *Estimate*, whether made orally or in writing, including in the *Catalogue* or on *Bonhams'* Website, or by conduct, or otherwise, and whether by or on behalf of the *Seller* or *Bonhams* and whether made prior to or during the *Sale*, is not part of the *Contractual Description* upon which the *Lot* is sold.
- 3.2 Except as provided in paragraph 2.1.5, the *Seller* does not make or give and does not agree to make or give any contractual promise, undertaking, obligation, guarantee, warranty, or representation of fact, or undertake any duty of care, in relation to any *Description* of the *Lot* or any *Estimate* in relation to it, nor of the accuracy or completeness of any *Description* or *Estimate* which may have been made by or on behalf of the *Seller* including by *Bonhams*. No such *Description* or *Estimate* is incorporated into this *Contract for Sale*.

#### 4 FITNESS FOR PURPOSE AND SATISFACTORY QUALITY

- 4.1 The *Seller* does not make and does not agree to make any contractual promise, undertaking, obligation, guarantee, warranty, or representation of fact in relation to the satisfactory quality of the *Lot* or its fitness for any purpose.

- 4.2 The *Seller* will not be liable for any breach of any undertaking, whether implied by the *Sale* of Goods Act 1979 or otherwise, as to the satisfactory quality of the *Lot* or its fitness for any purpose.

#### 5 RISK, PROPERTY AND TITLE

- 5.1 Risk in the *Lot* passes to you when it is knocked down to you on the fall of the *Auctioneer's* hammer in respect of the *Lot*. The *Seller* will not be responsible thereafter for the *Lot* prior to you collecting it from *Bonhams* or the *Storage Contractor*, with whom you have separate contract(s) as *Buyer*. You will indemnify the *Seller* and keep the *Seller* fully indemnified from and against all claims, proceedings, costs, expenses and losses arising in respect of any injury, loss and damage caused in the *Lot* after the fall of the *Auctioneer's* hammer until you obtain full title to it.
- 5.2 Title to the *Lot* remains in and is retained by the *Seller* until the *Purchase Price* and all other sums payable by you to *Bonhams* in relation to the *Lot* have been paid in full to, and received in cleared funds by, *Bonhams*.

#### 6 PAYMENT

- 6.1 Your obligation to pay the *Purchase Price* arises when the *Lot* is knocked down to you on the fall of the *Auctioneer's* hammer in respect of the *Lot*.
- 6.2 Time will be of the essence in relation to payment of the *Purchase Price* and all other sums payable by you to *Bonhams*. Unless agreed in writing with you by *Bonhams* on the *Seller's* behalf (in which case you must comply with the terms of that agreement), all such sums must be paid to *Bonhams* by you in the currency in which the *Sale* was conducted by not later than 4.30pm on the second working day following the *Sale* and you must ensure that the funds are cleared by the seventh working day after the *Sale*. Payment must be made to *Bonhams* by one of the methods stated in the *Notice to Bidders* unless otherwise agreed with you in writing by *Bonhams*. If you do not pay any sums due in accordance with this paragraph, the *Seller* will have the rights set out in paragraph 8 below.

#### 7 COLLECTION OF THE LOT

- 7.1 Unless otherwise agreed in writing with you by *Bonhams*, the *Lot* will be released to you or to your order only when *Bonhams* has received cleared funds to the amount of the full *Purchase Price* and all other sums owed by you to the *Seller* and to *Bonhams*.
- 7.2 The *Seller* is entitled to withhold possession from you of any other *Lot* he has sold to you at the same or at any other *Sale* and whether currently in *Bonhams'* possession or not until payment in full and in cleared funds of the *Purchase Price* and all other sums due to the *Seller* and/or *Bonhams* in respect of the *Lot*.
- 7.3 You will collect and remove the *Lot* at your own expense from *Bonhams'* custody and/ or control or from the *Storage Contractor's* custody in accordance with *Bonhams'* instructions or requirements.
- 7.4 You will be wholly responsible for packing, handling and transport of the *Lot* on collection and for complying with all import or export regulations in connection with the *Lot*.
- 7.5 You will be wholly responsible for any removal, storage or other charges or *Expenses* incurred by the *Seller* if you do not remove the *Lot* in accordance with this paragraph 7 and will indemnify the *Seller* against all charges, costs, including any legal costs and fees, *Expenses* and losses suffered by the *Seller* by reason of your failure to remove the *Lot* including any charges due under any *Storage Contract*. All such sums due to the *Seller* will be payable on demand.

<b>8 FAILURE TO PAY FOR THE LOT</b>	<b>9 THE SELLER'S LIABILITY</b>	<b>10.3</b>	If either party to the <i>Contract for Sale</i> is prevented from performing that party's respective obligations under the <i>Contract for Sale</i> by circumstances beyond its reasonable control or if performance of its obligations would by reason of such circumstances give rise to a significantly increased financial cost to it, that party will not, for so long as such circumstances prevail, be required to perform such obligations. This paragraph does not apply to the obligations imposed on you by paragraph 6.
8.1 If the <i>Purchase Price</i> for a <i>Lot</i> is not paid to <i>Bonhams</i> in full in accordance with the <i>Contract for Sale</i> the <i>Seller</i> will be entitled, with the prior written agreement of <i>Bonhams</i> but without further notice to you, to exercise one or more of the following rights (whether through <i>Bonhams</i> or otherwise):	9.1 The <i>Seller</i> will not be liable for any injury, loss or damage caused by the <i>Lot</i> after the fall of the <i>Auctioneer's</i> hammer in respect of the <i>Lot</i> .	10.4	Any notice or other communication to be given under the <i>Contract for Sale</i> must be in writing and may be delivered by hand or sent by first class post or air mail or fax transmission, if to the <i>Seller</i> , addressed c/o <i>Bonhams</i> at its address or fax number in the <i>Catalogue</i> (marked for the attention of the Company Secretary), and if to you to the address or fax number of the <i>Buyer</i> given in the <i>Bidding Form</i> (unless notice of any change of address is given in writing). It is the responsibility of the sender of the notice or communication to ensure that it is received in a legible form within any applicable time period.
8.1.1 to terminate immediately the <i>Contract for Sale</i> of the <i>Lot</i> for your breach of contract;	9.2 Subject to paragraph 9.3 below, except for breach of the express undertaking provided in paragraph 2.1.5, the <i>Seller</i> will not be liable for any breach of any term that the <i>Lot</i> will correspond with any <i>Description</i> applied to it by or on behalf of the <i>Seller</i> , whether implied by the Sale of Goods Act 1979 or otherwise.	10.5	If any term or any part of any term of the <i>Contract for Sale</i> is held to be unenforceable or invalid, such unenforceability or invalidity will not affect the enforceability and validity of the remaining terms or the remainder of the relevant term.
8.1.2 to resell the <i>Lot</i> by auction, private treaty or any other means on giving seven days' written notice to you of the intention to resell;	9.3 Unless the <i>Seller</i> sells the <i>Lot</i> in the course of a <i>Business</i> and the <i>Buyer</i> buys it as a <i>Consumer</i> ,	10.6	References in the <i>Contract for Sale</i> to <i>Bonhams</i> will, where appropriate, include reference to <i>Bonhams'</i> officers, employees and agents.
8.1.3 to retain possession of the <i>Lot</i> ;	9.3.1 the <i>Seller</i> will not be liable (whether in negligence, other tort, breach of contract or statutory duty or in restitution or under the Misrepresentation Act 1967, or in any other way) for any lack of conformity with, or inaccuracy, error, misdescription or omission in any <i>Description</i> of the <i>Lot</i> or any <i>Entry</i> or <i>Estimate</i> in relation to the <i>Lot</i> made by or on behalf of the <i>Seller</i> (whether made in writing, including in the <i>Catalogue</i> , or on the <i>Website</i> , or orally, or by conduct or otherwise) and whether made before or after this agreement or prior to or during the <i>Sale</i> ;	10.7	The headings used in the <i>Contract for Sale</i> are for convenience only and will not affect its interpretation.
8.1.4 to remove and store the <i>Lot</i> at your expense;	9.3.2 the <i>Seller</i> will not be liable for any loss of <i>Business</i> , <i>Business</i> profits or revenue or income or for loss of reputation or for disruption to <i>Business</i> or wasted time on the part of the <i>Buyer</i> or of the <i>Buyer's</i> management or staff or, for any indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract, statutory duty, restitutionary claim or otherwise;	10.8	In the <i>Contract for Sale</i> "including" means "including, without limitation".
8.1.5 to take legal proceedings against you for any sum due under the <i>Contract for Sale</i> and/or damages for breach of contract;	9.3.3 in any circumstances where the <i>Seller</i> is liable to you in respect of the <i>Lot</i> , or any act, omission, statement, or representation in respect of it, or this agreement or its performance, and whether in damages, for an indemnity or contribution or for a restitutionary remedy or in any way whatsoever, the <i>Seller's</i> liability will be limited to payment of a sum which will not exceed by way of maximum the amount of the <i>Purchase Price</i> of the <i>Lot</i> irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from any negligence, other tort, breach of contract, statutory duty, bailee's duty, restitutionary claim or otherwise.	10.9	References to the singular will include reference to the plural (and vice versa) and reference to any one gender will include reference to the other genders.
8.1.6 to be paid interest on any monies due (after as well as before judgement or order) at the annual rate of 5% per annum above the base rate of National Westminster Bank Plc from time to time to be calculated on a daily basis from the date upon which such monies become payable until the date of actual payment;	9.4 Nothing set out in paragraphs 9.1 to 9.3 above will be construed as excluding or restricting (whether directly or indirectly) any person's liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by the <i>Seller's</i> negligence (or any person under the <i>Seller's</i> control or for whom the <i>Seller</i> is legally responsible), or (iii) acts or omissions for which the <i>Seller</i> is liable under the Occupiers Liability Act 1957, or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law.	10.10	Reference to a numbered paragraph is to a paragraph of the <i>Contract for Sale</i> .
8.1.7 to repossess the <i>Lot</i> (or any part thereof) which has not become your property, and for this purpose (unless the <i>Buyer</i> buys the <i>Lot</i> as a <i>Consumer</i> from the <i>Seller</i> selling in the course of a <i>Business</i> ) you hereby grant an irrevocable licence to the <i>Seller</i> by himself and to his servants or agents to enter upon all or any of your premises (with or without vehicles) during normal <i>Business</i> hours to take possession of the <i>Lot</i> or part thereof;		10.11	Save as expressly provided in paragraph 10.12 nothing in the <i>Contract for Sale</i> confers (or purports to confer) on any person who is not a party to the <i>Contract for Sale</i> any benefit conferred by, or the right to enforce any term of, the <i>Contract for Sale</i> .
8.1.8 to retain possession of any other property sold to you by the <i>Seller</i> at the <i>Sale</i> or any other auction or by private treaty until all sums due under the <i>Contract for Sale</i> shall have been paid in full in cleared funds;		10.12	Where the <i>Contract for Sale</i> confers an immunity from, and/or an exclusion or restriction of, the responsibility and/or liability of the <i>Seller</i> , it will also operate in favour and for the benefit of <i>Bonhams</i> , <i>Bonhams'</i> holding company and the subsidiaries of such holding company and the successors and assigns of <i>Bonhams</i> and of such companies and of any officer, employee and agent of <i>Bonhams</i> and such companies, each of whom will be entitled to rely on the relevant immunity and/or exclusion and/or restriction within and for the purposes of Contracts (Rights of Third Parties) Act 1999, which enables the benefit of a contract to be extended to a person who is not a party to the contract, and generally at law.
8.1.9 to retain possession of, and on seven days written notice to sell, <i>Without Reserve</i> , any of your other property in the possession of the <i>Seller</i> and/or of <i>Bonhams</i> (as bailee for the <i>Seller</i> ) for any purpose (including, without limitation, other goods sold to you) and to apply any monies due to you as a result of such <i>Sale</i> in satisfaction or part satisfaction of any amounts owed to the <i>Seller</i> or to <i>Bonhams</i> ; and		<b>11 GOVERNING LAW</b>	All transactions to which the <i>Contract for Sale</i> applies and all connected matters will be governed by and construed in accordance with the laws of that part of the United Kingdom where the <i>Sale</i> takes place and the <i>Seller</i> and you each submit to the exclusive jurisdiction of the courts of that part of the United Kingdom, save that the <i>Seller</i> may bring proceedings against you in any other court of competent jurisdiction to the extent permitted by the laws of the relevant jurisdiction. <i>Bonhams</i> has a complaints procedure in place.
8.1.10 so long as such goods remain in the possession of the <i>Seller</i> or <i>Bonhams</i> as its bailee, to rescind the contract for the <i>Sale</i> of any other goods sold to you by the <i>Seller</i> at the <i>Sale</i> or at any other auction or by private treaty and apply any monies received from you in respect of such goods in part or full satisfaction of any amounts owed to the <i>Seller</i> or to <i>Bonhams</i> by you.	<b>10 MISCELLANEOUS</b>	10.1	You may not assign either the benefit or burden of the <i>Contract for Sale</i> .
8.2 You agree to indemnify the <i>Seller</i> against all legal and other costs of enforcement, all losses and other <i>Expenses</i> and costs (including any monies payable to <i>Bonhams</i> in order to obtain the release of the <i>Lot</i> ) incurred by the <i>Seller</i> (whether or not court proceedings will have been issued) as a result of <i>Bonhams</i> taking steps under this paragraph 8 on a full indemnity basis together with interest thereon (after as well as before judgement or order) at the rate specified in paragraph 8.1.6 from the date upon which the <i>Seller</i> becomes liable to pay the same until payment by you.	10.2	10.2	The <i>Seller's</i> failure or delay in enforcing or exercising any power or right under the <i>Contract for Sale</i> will not operate or be deemed to operate as a waiver of his rights under it except to the extent of any express waiver given to you in writing. Any such waiver will not affect the <i>Seller's</i> ability subsequently to enforce any right arising under the <i>Contract for Sale</i> .
8.3 On any resale of the <i>Lot</i> under paragraph 8.1.2, the <i>Seller</i> will account to you in respect of any balance remaining from any monies received by him or on his behalf in respect of the <i>Lot</i> , after the payment of all sums due to the <i>Seller</i> and to <i>Bonhams</i> , within 28 days of receipt of such monies by him or on his behalf.			

## APPENDIX 2

### BUYER'S AGREEMENT

**IMPORTANT:** These terms may be changed in advance of the Sale of the Lot to you, by the setting out of different terms in the Catalogue for the Sale and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale at the Sale venue. You should be alert to this possibility of changes and ask in advance of bidding if there have been any.

#### 1 THE CONTRACT

- 1.1 These terms govern the contract between *Bonhams* personally and the *Buyer*, being the person to whom a Lot has been knocked down by the *Auctioneer*.
- 1.2 The Definitions and Glossary contained in Appendix 3 to the Catalogue for the Sale are incorporated into this agreement and a separate copy can also be provided by us on request. Where words and phrases which are defined in the List of Definitions are used in this agreement, they are printed in italics. Reference is made in this agreement to information printed in the *Notice to Bidders*, printed in the Catalogue for the Sale, and where such information is referred to it is incorporated into this agreement.
- 1.3 Except as specified in paragraph 4 of the *Notice to Bidders* the Contract for Sale of the Lot between you and the Seller is made on the fall of the *Auctioneer's* hammer in respect of the Lot, when it is knocked down to you. At that moment a separate contract is also made between you and *Bonhams* on the terms in this *Buyer's Agreement*.
- 1.4 We act as agents for the Seller and are not answerable or personally responsible to you for any breach of contract or other default by the Seller, unless *Bonhams* sells the Lot as principal.
- 1.5 Our personal obligations to you are governed by this agreement and we agree, subject to the terms below, to the following obligations:
- 1.5.1 we will, until the date and time specified in the *Notice to Bidders* or otherwise notified to you, store the Lot in accordance with paragraph 5;
- 1.5.2 subject to any power of the Seller or us to refuse to release the Lot to you, we will release the Lot to you in accordance with paragraph 4 once you have paid to us, in cleared funds, everything due to us and the Seller;
- 1.5.3 we will provide guarantees in the terms set out in paragraphs 9 and 10.
- 1.6 We do not make or give and do not agree to make or give any contractual promise, undertaking, obligation, *Guarantee*, warranty, representation of fact in relation to any *Description* of the Lot or any *Estimate* in relation to it, nor of the accuracy or completeness of any *Description* or *Estimate* which may have been made by us or on our behalf or by or on behalf of the Seller (whether made orally or in writing, including in the Catalogue or on *Bonhams' Website*, or by conduct, or otherwise), and whether made before or after this agreement or prior to or during the Sale. No such *Description* or *Estimate* is incorporated into this agreement between you and us. Any such *Description* or *Estimate*, if made by us or on our behalf, was (unless *Bonhams* itself sells the Lot as principal) made as agent on behalf of the Seller.

#### 2 PERFORMANCE OF THE CONTRACT FOR SALE

You undertake to us personally that you will observe and comply with all your obligations and undertakings to the Seller under the *Contract for Sale* in respect of the Lot.

#### 3 PAYMENT

- 3.1 Unless agreed in writing between you and us or as otherwise set out in the *Notice to Bidders*, you must pay to us by not later than 4.30pm on the second working day following the Sale:
- 3.1.1 the *Purchase Price* for the Lot;
- 3.1.2 a *Buyer's Premium* in accordance with the rates set out in the *Notice to Bidders* on each lot, and
- 3.1.3 if the Lot is marked [AR], an *Additional Premium* which is calculated and payable in accordance with the *Notice to Bidders* together with VAT on that sum if applicable so that all sums due to us are cleared funds by the seventh working day after the Sale.
- 3.2 You must also pay us on demand any *Expenses* payable pursuant to this agreement.
- 3.3 All payments to us must be made in the currency in which the Sale was conducted, using, unless otherwise agreed by us in writing, one of the methods of payment set out in the *Notice to Bidders*. Our invoices will only be addressed to the registered Bidder unless the Bidder is acting as an agent for a named principal and we have approved that arrangement, in which case we will address the invoice to the principal.
- 3.4 Unless otherwise stated in this agreement all sums payable to us will be subject to VAT at the appropriate rate and VAT will be payable by you on all such sums.
- 3.5 We may deduct and retain for our own benefit from the monies paid by you to us the *Buyer's Premium*, the *Commission* payable by the Seller in respect of the Lot, any *Expenses* and VAT and any interest earned and/or incurred until payment to the Seller.
- 3.6 Time will be of the essence in relation to any payment payable to us. If you do not pay the *Purchase Price*, or any other sum due to us in accordance with this paragraph 3, we will have the rights set out in paragraph 7 below.
- 3.7 Where a number of Lots have been knocked down to you, any monies we receive from you will be applied firstly pro-rata to pay the *Purchase Price* of each Lot and secondly pro-rata to pay all amounts due to *Bonhams*.

#### 4 COLLECTION OF THE LOT

- 4.1 Subject to any power of the Seller or us to refuse to release the Lot to you, once you have paid to us, in cleared funds, everything due to the Seller and to us, we will release the Lot to you or as you may direct us in writing. The Lot will only be released on production of a buyer collection document, obtained from our cashier's office.
- 4.2 You must collect and remove the Lot at your own expense by the date and time specified in the *Notice to Bidders*, or if no date is specified, by 4.30pm on the seventh day after the Sale.
- 4.3 For the period referred to in paragraph 4.2, the Lot can be collected from the address referred to in the *Notice to Bidders* for collection on the days and times specified in the *Notice to Bidders*. Thereafter, the Lot may be removed elsewhere for storage and you must enquire from us as to when and where you can collect it, although this information will usually be set out in the *Notice to Bidders*.

- 4.4 If you have not collected the Lot by the date specified in the *Notice to Bidders*, you authorise us, acting as your agent and on your behalf, to enter into a contract (the "*Storage Contract*") with the *Storage Contractor* for the storage of the Lot on the then current standard terms and conditions agreed between *Bonhams* and the *Storage Contractor* (copies of which are available on request). If the Lot is stored at our premises storage fees at our current daily rates (currently a minimum of £3 plus VAT per Lot per day) will be payable from the expiry of the period referred to in paragraph 4.2. These storage fees form part of our *Expenses*.
- 4.5 Until you have paid the *Purchase Price* and any *Expenses* in full the Lot will either be held by us as agent on behalf of the Seller or held by the *Storage Contractor* as agent on behalf of the Seller and ourselves on the terms contained in the *Storage Contract*.
- 4.6 You undertake to comply with the terms of any *Storage Contract* and in particular to pay the charges (and all costs of moving the Lot into storage) due under any *Storage Contract*. You acknowledge and agree that you will not be able to collect the Lot from the *Storage Contractor's* premises until you have paid the *Purchase Price*, any *Expenses* and all charges due under the *Storage Contract*.
- 4.7 You will be wholly responsible for packing, handling and transport of the Lot on collection and for complying with all import or export regulations in connection with the Lot.
- 4.8 You will be wholly responsible for any removal, storage, or other charges for any Lot not removed in accordance with paragraph 4.2, payable at our current rates, and any *Expenses* we incur (including any charges due under the *Storage Contract*), all of which must be paid by you on demand and in any event before any collection of the Lot by you or on your behalf.

#### 5 STORING THE LOT

We agree to store the Lot until the earlier of your removal of the Lot or until the time and date set out in the *Notice to Bidders*, on the Sale Information Page or at the back of the catalogue (or if no date is specified, by 4.30pm on the seventh day after the Sale) and, subject to paragraphs 6 and 10, to be responsible as *bailee* to you for damage to or the loss or destruction of the Lot (notwithstanding that it is not your property before payment of the *Purchase Price*). If you do not collect the Lot before the time and date set out in the *Notice to Bidders* (or if no date is specified, by 4.30pm on the seventh day after the Sale) we may remove the Lot to another location, the details of which will usually be set out in the relevant section of the Catalogue. If you have not paid for the Lot in accordance with paragraph 3, and the Lot is moved to any third party's premises, the Lot will be held by such third party strictly to *Bonhams'* order and we will retain our lien over the Lot until we have been paid in full in accordance with paragraph 3.

#### 6 RESPONSIBILITY FOR THE LOT

- 6.1 Only on the payment of the *Purchase Price* to us will title in the Lot pass to you. However under the *Contract for Sale*, the risk in the Lot passed to you when it was knocked down to you.
- 6.2 You are advised to obtain insurance in respect of the Lot as soon as possible after the Sale.

<b>7</b>	<b>FAILURE TO PAY OR TO REMOVE THE LOT AND PART PAYMENTS</b>	7.3	If you pay us only part of the sums due to us such payment shall be applied firstly to the <i>Purchase Price</i> of the <i>Lot</i> (or where you have purchased more than one <i>Lot</i> pro-rata towards the <i>Purchase Price</i> of each <i>Lot</i> ) and secondly to the <i>Buyer's Premium</i> (or where you have purchased more than one <i>Lot</i> pro-rata to the <i>Buyer's Premium</i> on each <i>Lot</i> ) and thirdly to any other sums due to us.	9.3	Paragraph 9 will not apply in respect of a <i>Forgery</i> if:
7.1	If all sums payable to us are not so paid in full at the time they are due and/or the <i>Lot</i> is not removed in accordance with this agreement, we will without further notice to you be entitled to exercise one or more of the following rights (without prejudice to any rights we may exercise on behalf of the <i>Seller</i> ):	7.4	We will account to you in respect of any balance we hold remaining from any monies received by us in respect of any <i>Sale</i> of the <i>Lot</i> under our rights under this paragraph 7 after the payment of all sums due to us and/or the <i>Seller</i> within 28 days of receipt by us of all such sums paid to us.	9.3.1	the <i>Entry</i> in relation to the <i>Lot</i> contained in the <i>Catalogue</i> reflected the then accepted general opinion of scholars and experts or fairly indicated that there was a conflict of such opinion or reflected the then current opinion of an expert acknowledged to be a leading expert in the relevant field; or
7.1.1	to terminate this agreement immediately for your breach of contract;	9.3.2		9.3.2	it can be established that the <i>Lot</i> is a <i>Forgery</i> only by means of a process not generally accepted for use until after the date on which the <i>Catalogue</i> was published or by means of a process which it was unreasonable in all the circumstances for us to have employed.
7.1.2	to retain possession of the <i>Lot</i> ;	<b>8</b>	<b>CLAIMS BY OTHER PERSONS IN RESPECT OF THE LOT</b>	9.4	You authorise us to carry out such processes and tests on the <i>Lot</i> as we in our absolute discretion consider necessary to satisfy ourselves that the <i>Lot</i> is or is not a <i>Forgery</i> .
7.1.3	to remove, and/or store the <i>Lot</i> at your expense;	8.1	Whenever it becomes apparent to us that the <i>Lot</i> is the subject of a claim by someone other than you and other than the <i>Seller</i> (or that such a claim can reasonably be expected to be made), we may, at our absolute discretion, deal with the <i>Lot</i> in any manner which appears to us to recognise the legitimate interests of ourselves and the other parties involved and lawfully to protect our position and our legitimate interests. Without prejudice to the generality of the discretion and by way of example, we may:	9.5	If we are satisfied that a <i>Lot</i> is a <i>Forgery</i> we will (as principal) purchase the <i>Lot</i> from you and you will transfer the title to the <i>Lot</i> in question to us, with full title guarantee, free from any liens, charges, encumbrances and adverse claims, in accordance with the provisions of Sections 12(1) and 12(2) of the Sale of Goods Act 1979 and we will pay to you an amount equal to the sum of the <i>Purchase Price</i> , <i>Buyer's Premium</i> , <i>VAT</i> and <i>Expenses</i> paid by you in respect of the <i>Lot</i> .
7.1.4	to take legal proceedings against you for payment of any sums payable to us by you (including the <i>Purchase Price</i> ) and/or damages for breach of contract;	8.1.1	retain the <i>Lot</i> to investigate any question raised or reasonably expected by us to be raised in relation to the <i>Lot</i> ; and/or	9.6	The benefit of paragraph 9 is personal to, and incapable of assignment by, you.
7.1.5	to be paid interest on any monies due to us (after as well as before judgement or order) at the annual rate of 5% per annum above the base lending rate of National Westminster Bank Plc from time to time to be calculated on a daily basis from the date upon which such monies become payable until the date of actual payment;	8.1.2	deliver the <i>Lot</i> to a person other than you; and/or	9.7	If you sell or otherwise dispose of your interest in the <i>Lot</i> , all rights and benefits under this paragraph will cease.
7.1.6	to repossess the <i>Lot</i> (or any part thereof) which has not become your property, and for this purpose (unless you buy the <i>Lot</i> as a <i>Consumer</i> ) you hereby grant an irrevocable licence to us, by ourselves, our servants or agents, to enter upon all or any of your premises (with or without vehicles) during normal business hours to take possession of any <i>Lot</i> or part thereof;	8.1.3	commence interpleader proceedings or seek any other order of any court, mediator, arbitrator or government body; and/or	9.8	Paragraph 9 does not apply to a <i>Lot</i> made up of or including a Chinese painting or Chinese paintings, a motor vehicle or motor vehicles, a <i>Stamp</i> or <i>Stamps</i> or a <i>Book</i> or <i>Books</i> .
7.1.7	to sell the <i>Lot Without Reserve</i> by auction, private treaty or any other means on giving you three months' written notice of our intention to do so;	8.1.4	require an indemnity and/or security from you in return for pursuing a course of action agreed to by you.	<b>10</b>	<b>OUR LIABILITY</b>
7.1.8	to retain possession of any of your other property in our possession for any purpose (including, without limitation, other goods sold to you or with us for <i>Sale</i> ) until all sums due to us have been paid in full;	8.2	The discretion referred to in paragraph 8.1:	10.1	We will not be liable whether in negligence, other tort, breach of contract or statutory duty or in restitution or under the Misrepresentation Act 1967 or in any other way for lack of conformity with or any inaccuracy, error, misdescription or omission in any <i>Description</i> of the <i>Lot</i> or any <i>Entry</i> or <i>Estimate</i> in respect of it, made by us or on our behalf or by or on behalf of the <i>Seller</i> (whether made in writing, including in the <i>Catalogue</i> , or on the <i>Bonhams' Website</i> , or orally, or by conduct or otherwise) and whether made before or after this agreement or prior to or during the <i>Sale</i> .
7.1.9	to apply any monies received from you for any purpose whether at the time of your default or at any time thereafter in payment or part payment of any sums due to us by you under this agreement;	8.2.1	may be exercised at any time during which we have actual or constructive possession of the <i>Lot</i> , or at any time after such possession, where the cessation of such possession has occurred by reason of any decision, order or ruling of any court, mediator, arbitrator or government body; and	10.2	Our duty to you while the <i>Lot</i> is at your risk and/or your property and in our custody and/or control is to exercise reasonable care in relation to it, but we will not be responsible for damage to the <i>Lot</i> or to other persons or things caused by:
7.1.10	on three months' written notice to sell, <i>Without Reserve</i> , any of your other property in our possession or under our control for any purpose (including other goods sold to you or with us for <i>Sale</i> ) and to apply any monies due to you as a result of such <i>Sale</i> in payment or part payment of any amounts owed to us;	8.2.2	will not be exercised unless we believe that there exists a serious prospect of a good arguable case in favour of the claim.	10.2.1	handling the <i>Lot</i> if it was affected at the time of <i>Sale</i> to you by woodworm and any damage is caused as a result of it being affected by woodworm; or
7.1.11	refuse to allow you to register for a future <i>Sale</i> or to reject a bid from you at any future <i>Sale</i> or to require you to pay a deposit before any bid is accepted by us at any future <i>Sale</i> in which case we will be entitled to apply such deposit in payment or part payment, as the case may be, of the <i>Purchase Price</i> of any <i>Lot</i> of which you are the <i>Buyer</i> .	<b>9</b>	<b>FORGERIES</b>	10.2.2	changes in atmospheric pressure; nor will we be liable for:
7.2	You agree to indemnify us against all legal and other costs, all losses and all other <i>Expenses</i> (whether or not court proceedings will have been issued) incurred by us as a result of our taking steps under this paragraph 7 on a full indemnity basis together with interest thereon (after as well as before judgement or order) at the rate specified in paragraph 7.1.5 from the date upon which we become liable to pay the same until payment by you.	9.1	We undertake a personal responsibility for any <i>Forgery</i> in accordance with the terms of this paragraph 9.	10.2.3	damage to tension stringed musical instruments; or
		9.2	Paragraph 9 applies only if:	10.2.4	damage to gilded picture frames, plaster picture frames or picture frame glass; and if the <i>Lot</i> is or becomes dangerous, we may dispose of it without notice to you in advance in any manner we think fit and we will be under no liability to you for doing so.
		9.2.1	your name appears as the named person to whom the original invoice was made out by us in respect of the <i>Lot</i> and that invoice has been paid; and		
		9.2.2	you notify us in writing as soon as reasonably practicable after you have become aware that the <i>Lot</i> is or may be a <i>Forgery</i> , and in any event within one year after the <i>Sale</i> , that the <i>Lot</i> is a <i>Forgery</i> ; and		
		9.2.3	within one month after such notification has been given, you return the <i>Lot</i> to us in the same condition as it was at the time of the <i>Sale</i> , accompanied by written evidence that the <i>Lot</i> is a <i>Forgery</i> and details of the <i>Sale</i> and <i>Lot</i> number sufficient to identify the <i>Lot</i> .		



10.3.1 We will not be liable to you for any loss of *Business*, *Business* profits, revenue or income or for loss of *Business* reputation or for disruption to *Business* or wasted time on the part of the *Buyer's* management or staff or, if you are buying the *Lot* in the course of a *Business*, for any indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract, statutory duty, bailee's duty, a restitutionary claim or otherwise.

10.3.2 Unless you buy the *Lot* as a *Consumer*, in any circumstances where we are liable to you in respect of a *Lot*, or any act, omission, statement, representation in respect of it, or this agreement or its performance, and whether in damages, for an indemnity or contribution or for a restitutionary remedy or in any way whatsoever, our liability will be limited to payment of a sum which will not exceed by way of maximum the amount of the *Purchase Price* of the *Lot* plus *Buyer's Premium* (less any sum you may be entitled to recover from the *Seller*) irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from negligence, other tort, breach of contract, statutory duty, bailee's duty, a restitutionary claim or otherwise.

You may wish to protect yourself against loss by obtaining insurance.

10.4 Nothing set out above will be construed as excluding or restricting (whether directly or indirectly) any person's liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by our negligence (or any person under our control or for whom we are legally responsible), or (iii) acts or omissions for which we are liable under the Occupiers Liability Act 1957, or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law, or (v) under our undertaking in paragraph 9 of these conditions.

## 11 BOOKS MISSING TEXT OR ILLUSTRATIONS

Where the *Lot* is made up wholly of a *Book* or *Books* and any *Book* does not contain text or illustrations (in either case referred to as a "non-conforming *Lot*"), we undertake a personal responsibility for such a non-conforming *Lot* in accordance with the terms of this paragraph, if:

the original invoice was made out by us to you in respect of the *Lot* and that invoice has been paid; and

you notify us in writing as soon as reasonably practicable after you have become aware that the *Lot* is or may be a non-conforming *Lot*, and in any event within 20 days after the *Sale* (or such longer period as we may agree in writing) that the *Lot* is a non-conforming *Lot*; and

within 20 days of the date of the relevant *Sale* (or such longer period as we may agree in writing) you return the *Lot* to us in the same condition as it was at the time of the *Sale*, accompanied by written evidence that the *Lot* is a non-conforming *Lot* and details of the *Sale* and *Lot* number sufficient to identify the *Lot*.

but not if:

the *Entry* in the *Catalogue* in respect of the *Lot* indicates that the rights given by this paragraph do not apply to it; or

the *Entry* in the *Catalogue* in respect of the *Lot* reflected the then accepted general opinion of scholars and experts or fairly indicated that there was a conflict of such opinion; or

it can be established that the *Lot* is a non-conforming *Lot* only by means of a process not generally accepted for use until after the date on which the *Catalogue* was published or by means of a process which it was unreasonable in all the circumstances for us to have employed; or

the *Lot* comprises atlases, maps, autographs, manuscripts, extra illustrated books, music or periodical publications; or

the *Lot* was listed in the *Catalogue* under "collections" or "collections and various" or the *Lot* was stated in the *Catalogue* to comprise or contain a collection, issue or *Books* which are undescribed or the missing text or illustrations are referred to or the relevant parts of the *Book* contain blanks, half titles or advertisements.

If we are reasonably satisfied that a *Lot* is a non-conforming *Lot*, we will (as principal) purchase the *Lot* from you and you will transfer the title to the *Lot* in question to us, with full title guarantee, free from any liens, charges, encumbrances and adverse claims and we will pay to you an amount equal to the sum of the *Purchase Price* and *Buyer's Premium* paid by you in respect of the *Lot*.

The benefit of paragraph 10 is personal to, and incapable of assignment by, you and if you sell or otherwise dispose of your interest in the *Lot*, all rights and benefits under this paragraph will cease.

## 12 MISCELLANEOUS

12.1 You may not assign either the benefit or burden of this agreement.

12.2 Our failure or delay in enforcing or exercising any power or right under this agreement will not operate or be deemed to operate as a waiver of our rights under it except to the extent of any express waiver given to you in writing. Any such waiver will not affect our ability subsequently to enforce any right arising under this agreement.

12.3 If either party to this agreement is prevented from performing that party's respective obligations under this agreement by circumstances beyond its reasonable control or if performance of its obligations would by reason of such circumstances give rise to a significantly increased financial cost to it, that party will not, for so long as such circumstances prevail, be required to perform such obligations. This paragraph does not apply to the obligations imposed on you by paragraph 3.

12.4 Any notice or other communication to be given under this agreement must be in writing and may be delivered by hand or sent by first class post or air mail or fax transmission (if to *Bonhams* marked for the attention of the Company Secretary), to the address or fax number of the relevant party given in the *Contract Form* (unless notice of any change of address is given in writing). It is the responsibility of the sender of the notice or communication to ensure that it is received in a legible form within any applicable time period.

12.5 If any term or any part of any term of this agreement is held to be unenforceable or invalid, such unenforceability or invalidity will not affect the enforceability and validity of the remaining terms or the remainder of the relevant term.

12.6 References in this agreement to *Bonhams* will, where appropriate, include reference to *Bonhams'* officers, employees and agents.

12.7 The headings used in this agreement are for convenience only and will not affect its interpretation.

12.8 In this agreement "including" means "including, without limitation".

12.9 References to the singular will include reference to the plural (and vice versa) and reference to any one gender will include reference to the other genders.

12.10 Reference to a numbered paragraph is to a paragraph of this agreement.

12.11 Save as expressly provided in paragraph 12.12 nothing in this agreement confers (or purports to confer) on any person who is not a party to this agreement any benefit conferred by, or the right to enforce any term of, this agreement.

12.12 Where this agreement confers an immunity from, and/or an exclusion or restriction of, the responsibility and/or liability of *Bonhams*, it will also operate in favour and for the benefit of *Bonhams'* holding company and the subsidiaries of such holding company and the successors and assigns of *Bonhams* and of such companies and of any officer, employee and agent of *Bonhams* and such companies, each of whom will be entitled to rely on the relevant immunity and/or exclusion and/or restriction within and for the purposes of Contracts (Rights of Third Parties) Act 1999, which enables the benefit of a contract to be extended to a person who is not a party to the contract, and generally at law.

## 13 GOVERNING LAW

All transactions to which this agreement applies and all connected matters will be governed by and construed in accordance with the laws of that part of the United Kingdom where the *Sale* takes (or is to take) place and we and you each submit to the exclusive jurisdiction of the courts of that part of the United Kingdom, save that we may bring proceedings against you in any other court of competent jurisdiction to the extent permitted by the laws of the relevant jurisdiction. *Bonhams* has a complaints procedure in place.

## DATA PROTECTION – USE OF YOUR INFORMATION

Where we obtain any personal information about you, we shall only use it in accordance with the terms of our Privacy Policy (subject to any additional specific consent(s) you may have given at the time your information was disclosed). A copy of our Privacy Policy can be found on our *Website* [www.bonhams.com](http://www.bonhams.com) or requested by post from Customer Services Department, 101 New Bond Street, London W1S 1SR, United Kingdom or by email from [info@bonhams.com](mailto:info@bonhams.com).

## APPENDIX 3

### DEFINITIONS AND GLOSSARY

Where these Definitions and Glossary are incorporated, the following words and phrases used have (unless the context otherwise requires) the meanings given to them below. The Glossary is to assist you to understand words and phrases which have a specific legal meaning with which you may not be familiar.

### LIST OF DEFINITIONS

"**Additional Premium**" a premium, calculated in accordance with the *Notice to Bidders*, to cover *Bonhams'* Expenses relating to the payment of royalties under the Artists Resale Right Regulations 2006 which is payable by the *Buyer* to *Bonhams* on any *Lot* marked [AR] which sells for a *Hammer Price* which together with the *Buyer's Premium* (but excluding any VAT) equals or exceeds 1000 euros (converted into the currency of the *Sale* using the European Central Bank Reference rate prevailing on the date of the *Sale*).  
"**Auctioneer**" the representative of *Bonhams* conducting the *Sale*.

**"Bidder"** a person who has completed a *Bidding Form*.

**"Bidding Form"** our Bidding Registration Form, our Absentee Bidding Form or our Telephone Bidding Form.

**"Bonhams"** Bonhams 1793 Limited or its successors or assigns. *Bonhams* is also referred to in the *Buyer's Agreement*, the Conditions of Business and the *Notice to Bidders* by the words "we", "us" and "our".

**"Book"** a printed *Book* offered for *Sale* at a specialist *Book Sale*.

**"Business"** includes any trade, *Business* and profession.

**"Buyer"** the person to whom a *Lot* is knocked down by the *Auctioneer*. The *Buyer* is also referred to in the *Contract for Sale* and the *Buyer's Agreement* by the words "you" and "your".

**"Buyer's Agreement"** the contract entered into by *Bonhams* with the *Buyer* (see Appendix 2 in the *Catalogue*).

**"Buyer's Premium"** the sum calculated on the *Hammer Price* at the rates stated in the *Notice to Bidders*.

**"Catalogue"** the *Catalogue* relating to the relevant *Sale*, including any representation of the *Catalogue* published on our *Website*.

**"Commission"** the *Commission* payable by the *Seller* to *Bonhams* calculated at the rates stated in the *Contract Form*.

**"Condition Report"** a report on the physical condition of a *Lot* provided to a *Bidder* or potential *Bidder* by *Bonhams* on behalf of the *Seller*.

**"Conditions of Sale"** the *Notice to Bidders*, *Contract for Sale*, *Buyer's Agreement* and Definitions and Glossary.

**"Consignment Fee"** a fee payable to *Bonhams* by the *Seller* calculated at rates set out in the Conditions of Business.

**"Consumer"** a natural person who is acting for the relevant purpose outside his trade, *Business* or profession.

**"Contract Form"** the *Contract Form*, or vehicle *Entry form*, as applicable, signed by or on behalf of the *Seller* listing the *Lots* to be offered for *Sale* by *Bonhams*.

**"Contract for Sale"** the *Sale* contract entered into by the *Seller* with the *Buyer* (see Appendix 1 in the *Catalogue*).

**"Contractual Description"** the only *Description* of the *Lot* (being that part of the *Entry* about the *Lot* in the *Catalogue* which is in bold letters, any photograph (except for the colour) and the contents of any *Condition Report*) to which the *Seller* undertakes in the *Contract for Sale* the *Lot* corresponds.

**"Description"** any statement or representation in any way descriptive of the *Lot*, including any statement or representation relating to its authorship, attribution, condition, provenance, authenticity, style, period, age, suitability, quality, origin, value, estimated selling price (including the *Hammer Price*).

**"Entry"** a written statement in the *Catalogue* identifying the *Lot* and its *Lot* number which may contain a *Description* and illustration(s) relating to the *Lot*.

**"Estimate"** a statement of our opinion of the range within which the hammer is likely to fall.

**"Expenses"** charges and *Expenses* paid or payable by *Bonhams* in respect of the *Lot* including legal *Expenses*, banking charges and *Expenses* incurred as a result of an electronic transfer of money, charges and *Expenses* for loss and damage cover, insurance, *Catalogue* and other reproductions and illustrations, any customs duties, advertising, packing or shipping costs, reproductions rights' fees, taxes, levies, costs of testing, searches or enquiries, preparation of the *Lot* for *Sale*, storage charges, removal charges, removal charges or costs of collection from the *Seller* as the *Seller's* agents or from a defaulting *Buyer*, plus *VAT* if applicable.

**"Forgery"** an imitation intended by the maker or any other person to deceive as to authorship, attribution, origin, authenticity, style, date, age, period, provenance, culture, source or composition, which at the date of the *Sale* had a value materially less than it would have had if the *Lot* had not been such an imitation, and which is not stated to be such an imitation in any description of the *Lot*. A *Lot* will not be a *Forgery* by reason of any damage to, and/or restoration and/ or modification work (including repainting or over painting) having been carried out on the *Lot*, where that damage, restoration or modification work (as the case may be) does not substantially affect the identity of the *Lot* as one conforming to the *Description* of the *Lot*.

**"Guarantee"** the obligation undertaken personally by *Bonhams* to the *Buyer* in respect of any *Forgery* and, in the case of specialist *Stamp Sales* and/or specialist *Book Sales*, a *Lot* made up of a *Stamp* or *Stamps* or a *Book* or *Books* as set out in the *Buyer's Agreement*.

**"Hammer Price"** the price in the currency in which the *Sale* is conducted at which a *Lot* is knocked down by the *Auctioneer*.

**"Loss and Damage Warranty"** means the warranty described in paragraph 8.2 of the Conditions of Business.

**"Loss and Damage Warranty Fee"** means the fee described in paragraph 8.2.3 of the Conditions of Business.

**"Lot"** any item consigned to *Bonhams* with a view to its *Sale* at auction or by private treaty (and reference to any *Lot* will include, unless the context otherwise requires, reference to individual items comprised in a group of two or more items offered for *Sale* as one *Lot*).

**"Motoring Catalogue Fee"** a fee payable by the *Seller* to *Bonhams* in consideration of the additional work undertaken by *Bonhams* in respect of the cataloguing of motor vehicles and in respect of the promotion of *Sales* of motor vehicles.

**"New Bond Street"** means *Bonhams'* saleroom at 101 New Bond Street, London W1S 1SR.

**"Notional Charges"** the amount of *Commission* and *VAT* which would have been payable if the *Lot* had been sold at the *Notional Price*.

**"Notional Fee"** the sum on which the *Consignment Fee* payable to *Bonhams* by the *Seller* is based and which is calculated according to the formula set out in the Conditions of Business.

**"Notional Price"** the latest in time of the average of the high and low *Estimates* given by us to you or stated in the *Catalogue* or, if no such *Estimates* have been given or stated, the *Reserve* applicable to the *Lot*.

**"Notice to Bidders"** the notice printed at the back or front of our *Catalogues*.

**"Purchase Price"** the aggregate of the *Hammer Price* and *VAT* on the *Hammer Price* (where applicable), the *Buyer's Premium* and *VAT* on the *Buyer's Premium* and any *Expenses*.

**"Reserve"** the minimum price at which a *Lot* may be sold (whether at auction or by private treaty).

**"Sale"** the auction *Sale* at which a *Lot* is to be offered for *Sale* by *Bonhams*.

**"Sale Proceeds"** the net amount due to the *Seller* from the *Sale* of a *Lot*, being the *Hammer Price* less the *Commission*, any *VAT* chargeable thereon, *Expenses* and any other amount due to us in whatever capacity and howsoever arising.

**"Seller"** the person who offers the *Lot* for *Sale* named on the *Contract Form*. Where the person so named identifies on the form another person as acting as his agent, or where the person named on the *Contract Form* acts as an agent for a principal (whether such agency is disclosed to *Bonhams* or not), "*Seller*" includes both the agent and the principal who shall be jointly and severally liable as such. The *Seller* is also referred to in the Conditions of Business by the words "you" and "your".

**"Specialist Examination"** a visual examination of a *Lot* by a specialist on the *Lot*.

**"Stamp"** means a postage *Stamp* offered for *Sale* at a Specialist *Stamp Sale*.

**"Standard Examination"** a visual examination of a *Lot* by a non-specialist member of *Bonhams'* staff.

**"Storage Contract"** means the contract described in paragraph 8.3.3 of the Conditions of Business or paragraph 4.4 of the *Buyer's Agreement* (as appropriate).

**"Storage Contractor"** means the company identified as such in the *Catalogue*.

**"Terrorism"** means any act or threatened act of terrorism, whether any person is acting alone or on behalf of or in connection with any organisation(s) and/or government(s), committed for political, religious or ideological or similar purposes including, but not limited to, the intention to influence any government and/or put the public or any section of the public into fear.

**"Trust Account"** the bank account of *Bonhams* into which all sums received in respect of the *Purchase Price* of any *Lot* will be paid, such account to be a distinct and separate account to *Bonhams'* normal business bank account.

**"VAT"** value added tax at the prevailing rate at the date of the *Sale* in the United Kingdom.

**"Website"** *Bonhams Website* at [www.bonhams.com](http://www.bonhams.com)

**"Withdrawal Notice"** the *Seller's* written notice to *Bonhams* revoking *Bonhams'* instructions to sell a *Lot*.

**"Without Reserve"** where there is no minimum price at which a *Lot* may be sold (whether at auction or by private treaty).

## GLOSSARY

The following expressions have specific legal meanings with which you may not be familiar. The following glossary is intended to give you an understanding of those expressions but is not intended to limit their legal meanings:

**"artist's resale right"**: the right of the creator of a work of art to receive a payment on *Sales* of that work subsequent to the original *Sale* of that work by the creator of it as set out in the Artists Resale Right Regulations 2006.

**"bailee"**: a person to whom goods are entrusted.

**"indemnity"**: an obligation to put the person who has the benefit of the indemnity in the same position in which he would have been, had the circumstances giving rise to the indemnity not arisen and the expression "indemnify" is construed accordingly.

**"interpleader proceedings"**: proceedings in the Courts to determine ownership or rights over a *Lot*.

**"knocked down"**: when a *Lot* is sold to a *Bidder*, indicated by the fall of the hammer at the *Sale*.

**"lien"**: a right for the person who has possession of the *Lot* to retain possession of it.

**"risk"**: the possibility that a *Lot* may be lost, damaged, destroyed, stolen, or deteriorate in condition or value.

**"title"**: the legal and equitable right to the ownership of a *Lot*.

**"tort"**: a legal wrong done to someone to whom the wrong doer has a duty of care.

## SALE OF GOODS ACT 1979

The following is an extract from the Sale of Goods Act 1979:

"Section 12 Implied terms about title, etc

- (1) In a contract of sale, other than one to which subsection (3) below applies, there is an implied term on the part of the seller that in the case of a sale he has a right to sell the goods, and in the case of an agreement to sell he will have such a right at the time when the property is to pass.
- (2) In a contract of sale, other than one to which subsection (3) below applies, there is also an implied term that-
  - (a) the goods are free, and will remain free until the time when the property is to pass, from any charge or encumbrance not disclosed or known to the buyer before the contract is made, and
  - (b) the buyer will enjoy quiet possession of the goods except in so far as it may be disturbed by the owner or other person entitled to the benefit of any charge or encumbrance so disclosed or known.
- (3) This subsection applies to a contract of sale in the case of which there appears from the contract or is to be inferred from its circumstances an intention that the seller should transfer only such title as he or a third person may have.
- (4) In a contract to which subsection (3) above applies there is an implied term that all charges or encumbrances known to the seller and not known to the buyer have been disclosed to the buyer before the contract is made.
- (5) In a contract to which subsection (3) above applies there is also an implied term that none of the following will disturb the buyer's quiet possession of the goods, namely:
  - (a) the seller;
  - (b) in a case where the parties to the contract intend that the seller should transfer only such title as a third person may have, that person;
  - (c) anyone claiming through or under the seller or that third person otherwise than under a charge or encumbrance disclosed or known to the buyer before the contract is made.
- (5A) As regards England and Wales and Northern Ireland, the term implied by subsection (1) above is a condition and the terms implied by subsections (2), (4) and (5) above are warranties."

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# Registration and Bidding Form

(Attendee / Absentee / Online / Telephone Bidding)  
Please circle your bidding method above.



Paddle number (for office use only)

# Bonhams

**This sale will be conducted in accordance with Bonhams' Conditions of Sale and bidding and buying at the Sale will be regulated by these Conditions. You should read the Conditions in conjunction with the Sale Information relating to this Sale which sets out the charges payable by you on the purchases you make and other terms relating to bidding and buying at the Sale. You should ask any questions you have about the Conditions before signing this form. These Conditions also contain certain undertakings by bidders and buyers and limit Bonhams' liability to bidders and buyers.**

### Data protection – use of your information

Where we obtain any personal information about you, we shall only use it in accordance with the terms of our Privacy Policy (subject to any additional specific consent(s) you may have given at the time your information was disclosed). A copy of our Privacy Policy can be found on our website ([www.bonhams.com](http://www.bonhams.com)) or requested by post from Customer Services Department, 101 New Bond Street, London W1S 1SR United Kingdom or by e-mail from [info@bonhams.com](mailto:info@bonhams.com). We may disclose your personal information to any member of our group which means our subsidiaries, our ultimate holding company and its subsidiaries (whether registered in the UK or elsewhere). We will not disclose your data to anyone outside our group but we may from time to time provide you with information about goods and services which we feel maybe of interest to you including those provided by third parties.

Would you like to receive information from us by email?  or post

### Notice to Bidders.

Clients are requested to provide photographic proof of ID - passport, driving licence, ID card, together with proof of address - utility bill, bank or credit card statement etc. Corporate clients should also provide a copy of their articles of association / company registration documents, together with a letter authorising the individual to bid on the company's behalf. Failure to provide this may result in your bids not being processed. For higher value lots you may also be asked to provide a bank reference.

### If successful

I will collect the purchases myself

Please arrange shippers to contact me with a quote and I agree that you may pass them my contact details.

Sale title: The Julius and Arlette Katchen Collection of Fine Netsuke: Part III	Sale date: Tuesday 6 November 2018
Sale no. 24456	Sale venue: New Bond Street, London

If you are not attending the sale in person, please provide details of the Lots on which you wish to bid at least 24 hours prior to the sale. Bids will be rounded down to the nearest increment. Please refer to the Notice to Bidders in the catalogue for further information relating to Bonhams executing telephone, online or absentee bids on your behalf. Bonhams will endeavour to execute these bids on your behalf but will not be liable for any errors or failing to execute bids.

### General Bid Increments:

£10 - 200 .....by 10s	£10,000 - 20,000 .....by 1,000s
£200 - 500 .....by 20 / 50 / 80s	£20,000 - 50,000 .....by 2,000 / 5,000 / 8,000s
£500 - 1,000 .....by 50s	£50,000 - 100,000 .....by 5,000s
£1,000 - 2,000 .....by 100s	£100,000 - 200,000 .....by 10,000s
£2,000 - 5,000 .....by 200 / 500 / 800s	above £200,000 .....at the auctioneer's discretion
£5,000 - 10,000 .....by 500s	

**The auctioneer has discretion to split any bid at any time.**

Customer Number	Title
First Name	Last Name
Company name (to be invoiced if applicable)	
Address	
City	County / State
Post / Zip code	Country
Telephone mobile	Telephone daytime
Telephone evening	Fax
Preferred number(s) in order for Telephone Bidding (inc. country code)	
E-mail (in capitals)	
By providing your email address above, you authorise Bonhams to send to this address information relating to Sales, marketing material and news concerning Bonhams. Bonhams does not sell or trade email addresses.	
I am registering to bid as a private buyer <input type="checkbox"/>	I am registering to bid as a trade buyer <input type="checkbox"/>
If registered for VAT in the EU please enter your registration here: □□ / □□□ - □□□□ - □□	Please tick if you have registered with us before <input type="checkbox"/>

**Please note that all telephone calls are recorded.**

Telephone or Absentee (T / A)	Lot no.	Brief description	MAX bid in GBP (excluding premium & VAT)	Covering bid ★

<b>FOR WINE SALES ONLY</b>	
Please leave lots "available under bond" in bond <input type="checkbox"/>	Please include delivery charges (minimum charge of £20 + VAT) <input type="checkbox"/>

<b>BY SIGNING THIS FORM YOU AGREE THAT YOU HAVE SEEN THE CATALOGUE AND HAVE READ AND UNDERSTOOD OUR CONDITIONS OF SALE AND WISH TO BE BOUND BY THEM, AND AGREE TO PAY THE BUYER'S PREMIUM, VAT AND ANY OTHER CHARGES MENTIONED IN THE NOTICE TO BIDDERS. THIS AFFECTS YOUR LEGAL RIGHTS.</b>	
Your signature:	Date:

★ Covering Bid: A maximum bid (exclusive of Buyers Premium and VAT) to be executed by Bonhams only if we are unable to contact you by telephone, or should the connection be lost during bidding.

**NB. Payment will only be accepted from an account in the same name as shown on the invoice and Auction Registration form.**

Please email or fax the completed Auction Registration form and requested information to:

Bonhams, Customer Services, 101 New Bond Street, London, W1S 1SR. Tel: +44 (0) 20 7447 7447 Fax: +44 (0) 20 7447 7401, [bids@bonhams.com](mailto:bids@bonhams.com)

Bonhams 1793 Limited. Montpelier Street, London SW7 1HH. Incorporated in England. Company Number 4326560.

UK/07/18





EB 1793

**Bonhams**

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